

# Time Stamping Preprint and Electronic Journal Server Environment

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Towards a Digital Mathematics Library 2011

# Backgrounds

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- While many universities operate local preprint and journal servers, the security of these digital documents may be at risk.
- Cracking, disasters and careless operations cause the serious problem.
- Full text file may be diffused hands by hands with annotations or comments.
- Currently, most articles still have the printed issue in addition to digital file distribution.
- In this dual publication scheme, the printed issue is regarded as the trusted original version.

# Backgrounds

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- However, in paperless publication, it is difficult to distinguish a copy from the original. That is, the security level of the preprint files has to be raised in order to protect the research results.
- In the field of business, the security of digital documents containing patentable ideas and intellectual property are guaranteed by means of an electronic signature and timestamp technique.
- These technologies can be applied to academic publishing to ensure reliable digital content.
- This study proposes a secured preprint server environment and describes its application to the mathematical e-journal and preprint service at Hokkaido University.

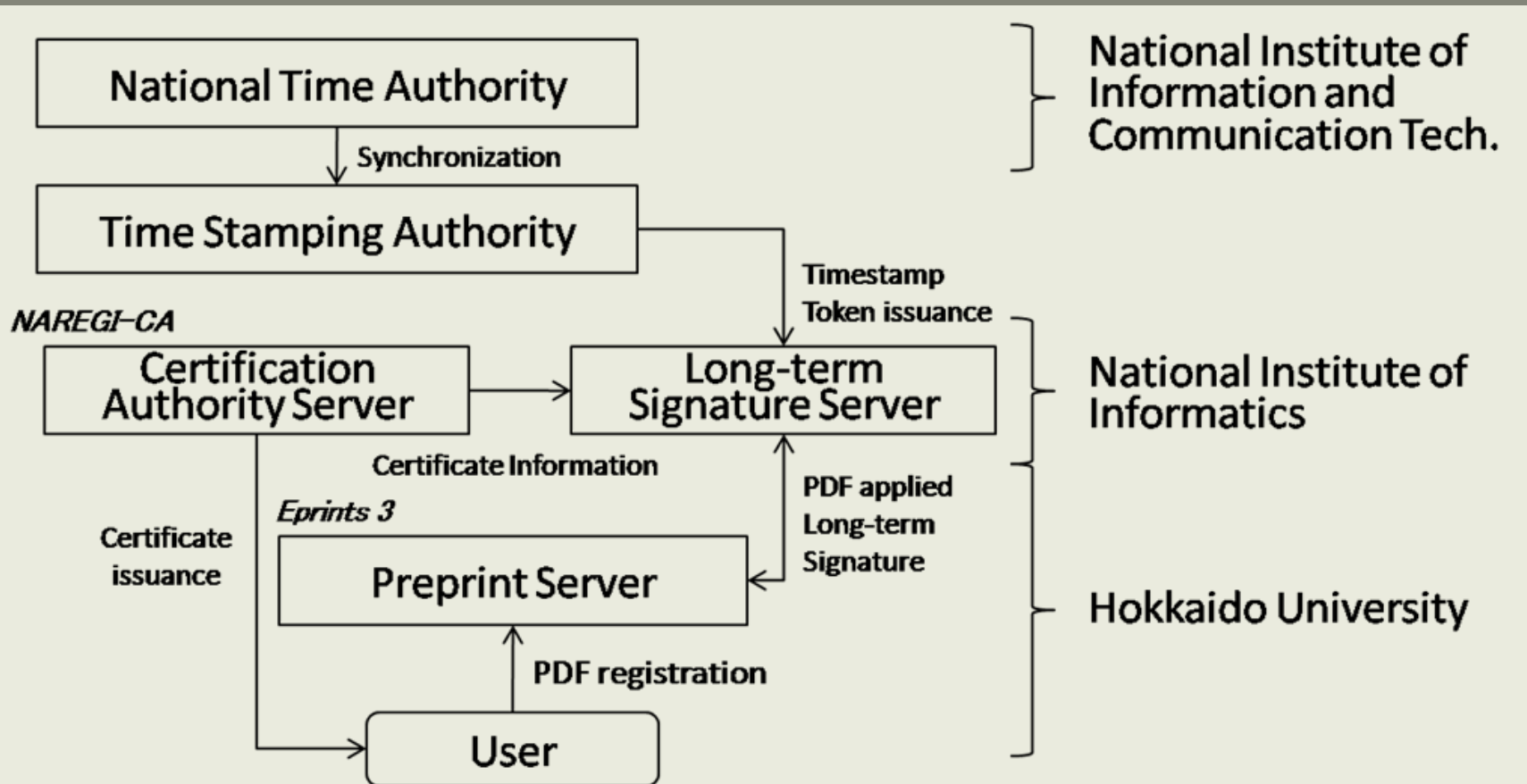
# Possible Solutions

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- Mirroring and backup
- Hash/Checksum
- Read only full text file
- Password protection
- There is no guarantee that nobody have changed the created time information of full text file.
- Cracking, disasters and careless operations will cause unrecoverable problem.

# Overview of our Solution

## System architecture



# Electronic Signature

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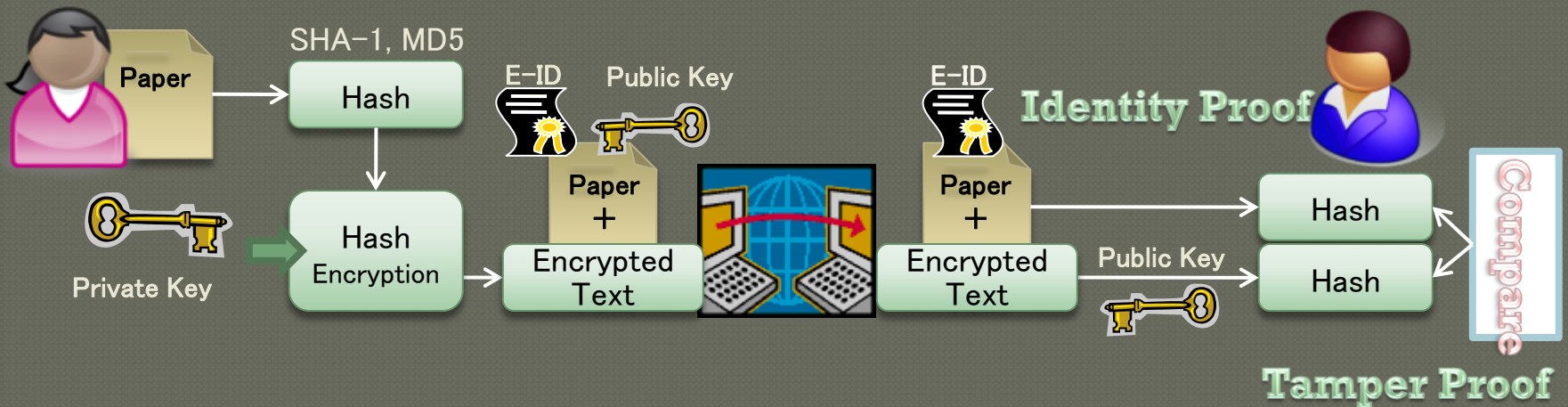
- ⦿ One solution is Long-term Signature.
- ⦿ The electronic signature (ES) ensures integrity and signer of a document.
- ⦿ The digital signature is created from an encrypted hash value of a digital document.
- ⦿ The recipient (client application) can detect a falsification of the document by comparing hash values calculated from the original document and decrypted from the digital signature



# Electronic Signature

Electronic signature ensures integrity and signer of a document

ES by PKI (Public Key Infrastructure)



## Application of the ES to Article

- Author (Editor)
  - Contents
- } can be certified

# Timestamp

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- The timestamp (TS) technology guarantees existential evidence of digital documents.
- The combination of ES and TS, as indicated by ES-T in Figure, ensures the authenticity of the digital documents.



# Timestamp

Timestamp technology guarantees existential evidence of the digital documents

International Standard

- RFC 3161
- ETSI TS 101 861



- Application of the TS to Research Paper
  - Publication Date
  - Contentscan be certified

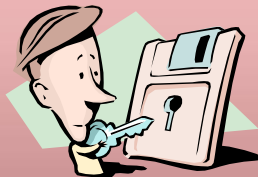
# Disadvantage of ES & TS

Electronic signature and timestamp are useful technology however...

## Digital Signature Technology



Compromisation of hash algorithm



Leakage of private key

against

- validity period
- revocation functions

Disadvantage for Long-term preservation

## ● Long-term signature

- solves disadvantage of ES and TS above
- embeds a complete certificate and revocation reference
- International standard RFC 3126

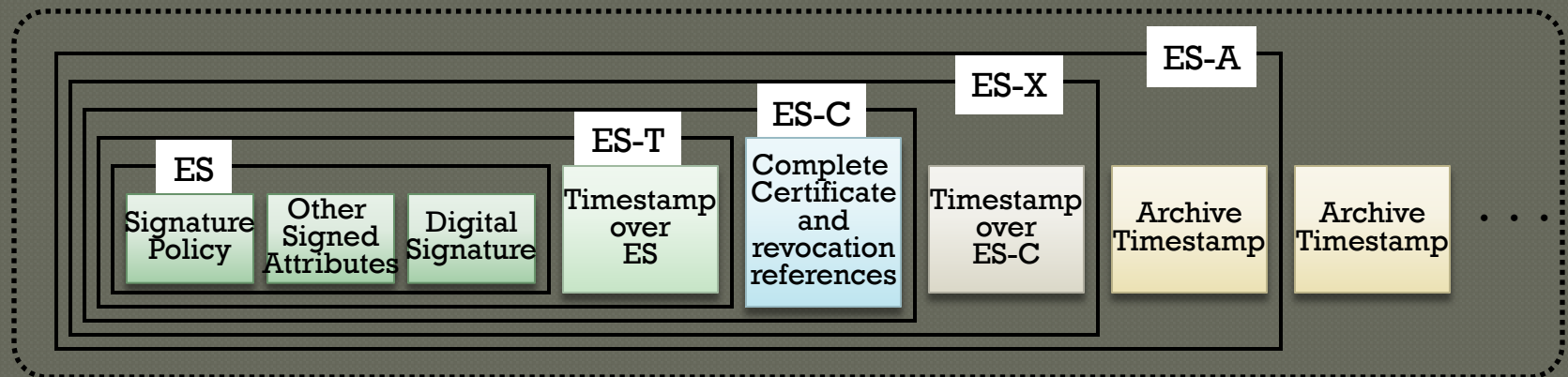
# Long-Term Signature

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- The ES and TS have a validity period and revocation functions. However, the temporary nature of these functions causes a problem for long-term preservation.
- To solve this problem, a long-term signature has been proposed.
- This signature format embeds a complete certificate and revocation references shown as ES-C.
- Therefore, ES and TS can be verified even after the signature expires.
- This study employed international standard RFC3126 as a long-term signature format and applied it to the article PDF documents.

# Long-Term Signature

## Long-term Signature Format (RFC3126)



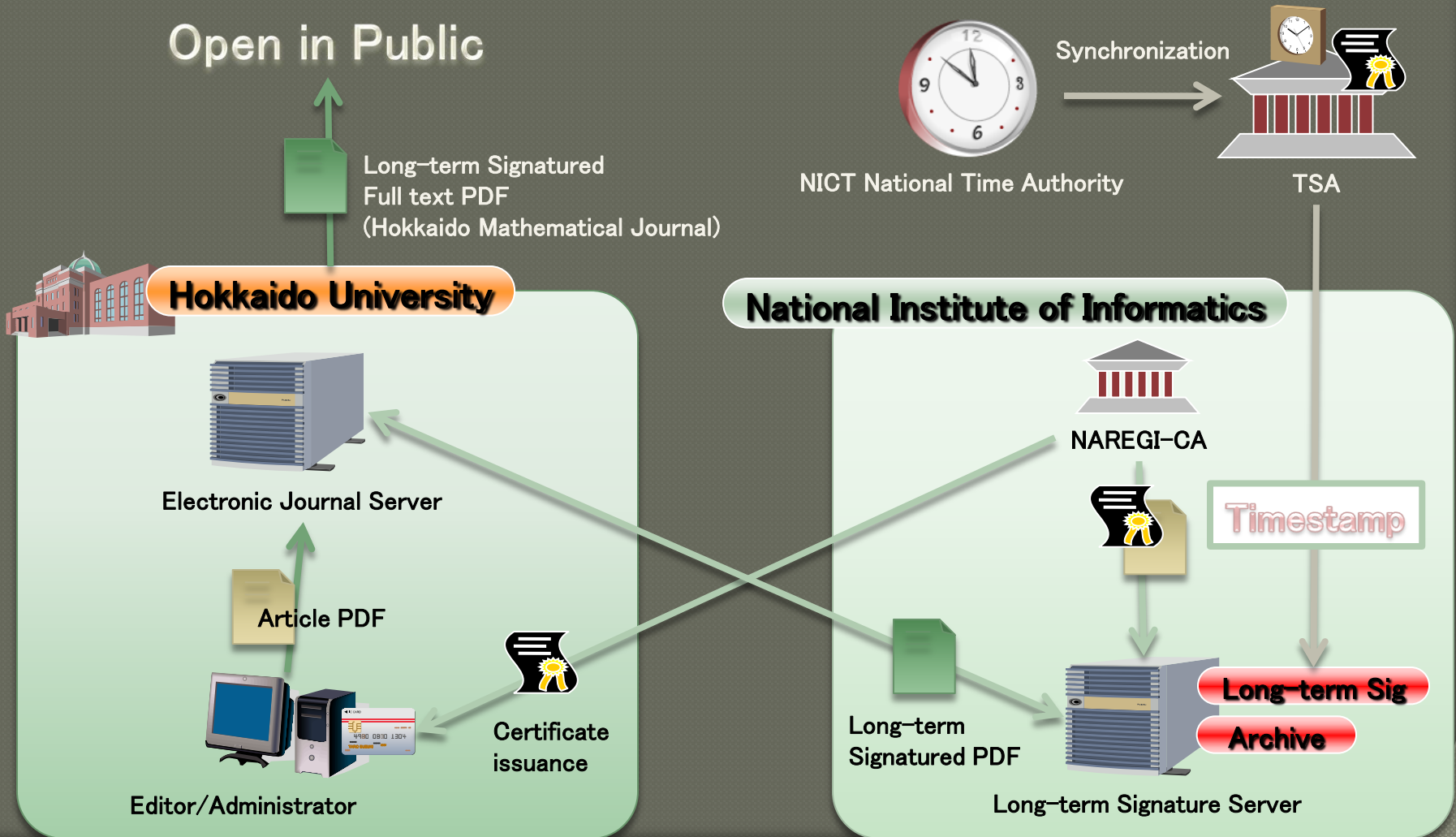
Application of LTS to Full text PDF

# System Environment

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- Application of the long-term signature requires a certification authority (CA) server and long-term signature server. The CA issues a digital certificate to a user who would like to register a document on the server.
- CA was established by NAREGI-CA which is an open source software originally developed for grid computing .
- The long-term signature server obtains a timestamp token from the time stamping authority managed by a trusted third party.

# System Architecture





# LTSed Fulltext PDF Sample

720.pdf - Adobe Acrobat Professional  
ファイル(F) 編集(E) 表示(V) 文書(O) 注釈(Q) フォーム(O) ツール(T) アドバンス(A) ウィンドウ(W) ヘルプ(H)

Hokkaido Mathematical Journal Vol. 37 (2008) p. 455-462

A product formula  
for hypergeometric polynomials of type  ${}_2F_0$

Tomoyuki YOSHIDA  
(Received March 7, 2007)

**Abstract.** In this paper, we give a combinatorial proof to the following new product formula:  
$$\prod_{i=1}^m {}_2F_0(-a_i, -b_i; z) = \prod_{r=0}^m p(r) {}_2F_0(-n, -r; z).$$

*Key words:* hypergeometric polynomial, product formula, hypergeometric distribution.

1. Main theorem

The generalized hypergeometric series

$${}_2F_0(\alpha, \beta; z) := \sum_{k=0}^{\infty} \frac{(\alpha)_k (\beta)_k}{k!} z^k$$

has the convergence radius 0 unless  $\alpha, \beta$  are non-positive integers. The formal power series  ${}_2F_0(\alpha, \beta; z)$  is a solution of the differential equation

$$z^2 y'' + ((1 + \alpha + \beta)z - 1)y' + \alpha\beta y = 0,$$

and satisfies the following recursion formula:

$$d \dots$$

アーカイブタイムスタンプ検証詳細

タイムスタンプ情報

バージョン: 1

ポリシーOID: 0.2.440.200192.100.200.100

生成時刻: 2008/09/08 10:15:36 (GMT) [2008/09/08 19:15:36 (東京 (標準時))]

シリアル番号: 48549c251f93

nonce: 00f9062707b28b14b1

順序性: TRUE 精度: 0.500000 秒

TSAの名称: cn=dse200-204  
ou=nCipher DSE ESN:A548-EC99-0C1C  
o=e-timing TSA  
c=AMANO Corporation  
l=Yokohama

ハッシュ情報

ハッシュOIDと名称: 2.16.840.1.101.3.4.2.1 (sha-256)

ハッシュ値: 7c1e4cf22047ec6e2e67fa5f4addf19c8cbedfcb125172f34635fb8e

TSA署名検証結果: 正常      ハッシュ値検証結果: 正常

TSA証明書検証結果

検証時刻: N/A

結果: N/A

**Valid LTS**

詳細(S)

OK



# Validation Example

検証詳細

署名検証 | 署名タイムスタンプ時刻署名検証 | 署名タイムスタンプ検証 | アーカイブタイムスタンプ検証

署名検証  
結果: 正常

署名者証明書検証結果  
検証時刻(現在時刻): **Expired ES**

結果: 検証エラー(-1004) [証拠情報の出力\(Q\)](#)

メッセージ(M) | 検証に使用したポリシー(P)

construct certificate tree  
ISSUER:cn=Class2 Individual Certificate :  
SERIALNO:7DCB9DB90C470BAB050FFE:  
-1004

検証に使用したCRLとOCSPレスポンス(B)

失効情報の種類 | CRLまたはOCSPレスポンス

閉じる

検証詳細

署名検証 | 署名タイムスタンプ時刻署名検証 | 署名タイムスタンプ検証 | アーカイブタイムスタンプ検証

タイムスタンプ情報

バージョン: 1  
ポリシーOID: 0.2.440.200192.100.200.100  
生成時刻: 2008/09/08 10:15:35 (GMT) [2008/09/08 19:15:35 (東京 (標準時))]  
シリアル番号: 48549a471fcd  
nonce: 434238519166bcae  
順序性: TRUE | 精度: 0.500000 | 秒  
TSAの名称: cn=dse200-104  
ou=nCipher DSE ESN:E456-1333-FC1A  
ou=e-timing TSA  
o=AMANO Corporation  
l=Yokohama

ハッシュ情報

ハッシュOIDと名称: 2.16.840.1.101.3.4.2.1 (sha-256)  
ハッシュ値: 265f6e1506a20d149acd30bfa31f49eec00297f18f3e99b659aac08

TSA署名検証結果: 正常 | ハッシュ値検証結果: 正常

TSA証明書検証結果

検証時刻: N/A  
結果: N/A **Valid TS** [詳細\(S\)](#)

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# Discussion

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- Using Long-Term Signature technology published date and contents of full text PDF for electronic journal articles are certified.
- It works well even after embedded ES was expired.