Part I

2. Types and Basic Design Methods for Randomized Algorithms

Chapter 2. DESIGN METHODS for RANDOMIZED ALGORITHMS

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- If one takes a random variable that assigns to every computation its complexity (number of steps), then the expectation of this random variable equals the expected time complexity of A on x.

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The idea is to overcome such a situation when a set S of deterministic algorithms for P is given such that for each of them there exist bad inputs (for which the algorithm gives wrong result or computes inefficiently) and for each input there exist a lot of algorithms in S giving a correct answer efficiently).

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Fingerprinting. For solving various problems it can be much more efficient to work with very small fingerprints (hashes) of large inputs than with such large inputs directly.

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- An amplification of the success probability of a randomized algorithm can be achieved by repeating independent computations on the same input (but, of course, with different random auxiliary inputs).

Example for random rounding

A $\{0,1\}$ -problem P, in which the task is to find a proper assignment of the values from the set $\{0,1\}$ to each variable, A $\{0,1\}$ -problem *P*, in which the task is to find a proper assignment of the values from the set $\{0, 1\}$ to each variable, is transferred to a [0, 1]-problem in which the task is to assign to each variable x a number $n_x \in [0, 1]$ such that to solve the problem P with high probability the value 1 is assigned with the probability n_x .

STRINGS EQUALITY PROBLEM

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Each deterministic protocol clearly requires sending at least n bits in the worth case.

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Example: For $n = 2^{64} \approx 10^{21}$ the protocol requires to send at most 256 bits.

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The so-called Prime number theorem says that there are approximately $\frac{n}{\ln n}$ primes among the first *n* integers.

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Probability of the error of the above equality protocol is therefore:

$$\frac{n-1}{\Pr(n^2)} \le \frac{n-1}{n^2/\ln n^2} \le \frac{\ln n^2}{n} = \frac{2\ln n}{n}$$

which is at most $0.369 \cdot 10^{-14}$ in case $n = 10^{16}$.

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Reminder: Probability of the correct output is at least

$$1-\frac{2\ln n}{n}$$
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Let Alice have 10 *n* bit strings $x_i \in \{0,1\}^n$, $1 \le i \le 10$, n > 200 and Bob have 10 *n* bit strings $y_i \in \{0,1\}^n$, $1 \le i \le 10$. The task for them is to determine, by communication, whether there exists an i_0 such that $x_{i_0} = y_{i_0}$.

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We show the existence of a Las Vegas algorithm to solve the above problem (that is to decide whether such an i exists) with communication complexity

 $n + \mathcal{O}(\lg n).$

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 Afterwards, Alice computes all

$$s_i = \text{Number}(x_i) \mod p_i, i \in \{1, .2, ..., 10\}$$

and, finally, she sends to Bob all 20 numbers:

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If x_j = y_j, Alice outputs YES (or 1); otherwise she outputs ?? (because it may exist other k with x_k = y_k).

COMPLEXITY ANALYSIS of the PROTOCOL 1/2

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for all i, and therefore the probability that the above protocol produces the correct output is at least

$$\left(1-\frac{2\ln n}{n}\right)^{10}$$

because, according to the analysis of the strings equality algorithm, the probability that for any $1 \le i \le 10$ Number $(x_i) \mod p_i \ne$ Number $(y_i) \mod p_i$ is at least $1 - \frac{2 \ln n}{n}$.

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Moreover, it can be shown that for for sufficiently large n.

$$\left(1-\frac{2\ln n}{n}\right)^{10} \ge 1-\frac{20\ln n}{n} \ge \frac{1}{2}.$$

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and therefore the protocol outputs YES (1) with probability at least $1 - \frac{18 \ln n}{n}$, which is larger than $\frac{1}{2}$ for all $n \ge 189$.

■ Let us now consider the complementary case - namely that there is a j such that x_i = y_i and let j₀ be the smallest such j.

Protocol then accepts the input iff

Number(x_i) mod $p_i \neq$ Number(x_i) mod p_i

for all $i < j_0$. Let us denote such an event by E_{j_0} .

If $j_0 = 1$, then the protocol accepts the input with certainty. If $j_0 > 1$, then, as discussed before, probability of the event E_{j_0} is at least

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TWO TYPES of LAS VEGAS ALGORITHMS

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As already defines, there are two types of Las Vegas algorithms:

Algorithms that never produce ??.

- Algorithms that never produce ??.
- Algorithms that may produce ??.

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Claim: Any Las Vegas algorithm A_1 can be converted to a Las Vegas algorithm A_2 that solves the same problem and never produces ??.

Construction of A_2 is simple. Each time A_1 is to produce the output ??, what can be done only with bounded probability, a new run of A_1 is initialized with the same input.

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Because of the exponential decrease of error probability using repeated applications, 1MC algorithms are very popular.

Let A be a 2MC algorithm for a function F and $\varepsilon > 0$ such that

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One can show that if an $\delta > 0$ is fixed, then

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If ε and δ are considered as constant, then so is k and therefore

$$\operatorname{Time}_{A_k}(n) = \mathcal{O}(\operatorname{Time}_A(n)).$$

2MC versus UMC algorithms

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As a consequence, if we design, given an $\delta > 0$, an algorithm A_k , that performs k independent runs of A and

$$\mathsf{Prob}(A_k(x)) = F(x)) > 1 - \delta$$

then running time of A_k may be exponential in the input length.

SECRET SHARING between TWO

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This way, none of the parties P_1 and P_2 alone has a slightest idea about S, but both together easily recover S by computing

$$b\oplus(S\oplus b)=S.$$

Let $G = \langle V, E \rangle$ be an undirected graph. A subset $X \subseteq E$ is said to be a *matching* of G if no two edges in X have a common node.

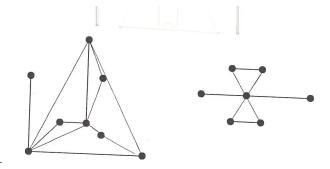
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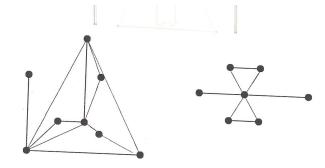
Example: Which of the graphs in the next figure has a perfect matching?



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Example: Which of the graphs in the next figure has a perfect matching?



There are polynomial time algorithms to decide whether a given graph has perfect matching, but none is so simple as the randomized algorithm based on so called Tutte theorem presented bellow.

TUTTE MATRIX

Basic concept: Tutte matrix of a graph

Let $G = \langle V, E \rangle$ be a graph with nodes $V = \{1, 2, ..., n\}$, |V| even. The *Tutte matrix* $A_G = \{a_{ij}\}_{i,j=1}^n$ of G is defined by

$$\mathsf{a}_{ij} = \left\{ \begin{array}{ll} x_{ij} & \text{if } (i,j) \in E, \ i < j; \\ -x_{ij} & \text{if } (i,j) \in E, \ i > j; \\ 0 & \text{if } (i,j) \notin E \end{array} \right\},\$$

where x_{ij} are variables, all different for different i, j.

$$\left(\begin{array}{ccccccc} 0 & 0 & 0 & x_{14} & x_{15} & 0 \\ 0 & 0 & 0 & 0 & x_{25} & x_{26} \\ 0 & 0 & 0 & 0 & x_{35} & x_{36} \\ -x_{14} & 0 & 0 & 0 & 0 \\ -x_{15} & -x_{25} & -x_{35} & 0 & 0 & 0 \\ 0 & -x_{26} & -x_{36} & 0 & 0 & 0 \end{array}\right)$$

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Tutte theorem: A graph $G = \langle V, E \rangle$, with |V| even, has a perfect matching iff the determinant of the corresponding Tutte matrix is not identically zero.

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Tutte theorem: A graph $G = \langle V, E \rangle$, with |V| even, has a perfect matching iff the determinant of the corresponding Tutte matrix is not identically zero.

Proof: The determinant of A_G equals $\sum_{\pi} \sigma_{\pi} \prod_{i=1}^{n} a_{i\pi(i)}$ where π are permutations of $\{1, 2, ..., n\}$ and $\sigma_{\pi} = 1$ ($\sigma_{\pi} = -1$) if π is a product of an even (odd) number of transpositions.

Observation 1: For a permutation π , $\prod_{i=1}^{n} a_{i\pi(i)} \neq 0$ iff $G_{\pi} = \{(i, \pi(i)), 1 \le i \le n\}$ is a subgraph of G.

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Notation For a perfect matching E' let $t_{E'}$ denote the product of the a's corresponding to the edges of E'.

TUTTE THEOREM - CASE ANALYSIS

Case I: $\pi = \pi^r \Rightarrow G_{\pi}$ consist of the cycles of length 2, π corresponds to a perfect matching E' such that $\prod_{i=1}^{n} a_{i\pi(i)} = (t_{E'})^2$.

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Conclusion;

$$det(A_G) = (t_{E'_1} + \dots + t_{E'_k})^2$$

where E'_i denotes *i*-th perfect matching.

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 $\pi = \pi^r : 1 \rightarrow 2, 2 \rightarrow 1, 3 \rightarrow 4, 4 \rightarrow 3$

Perfect matching E' is 1-2, 3-4, $t_{E'} = a_{12}a_{34}$ and

$$\prod_{i=1}^{4} a_{i\pi(i)} = a_{12}a_{21}a_{34}a_{43} = x_{12}x_{12}x_{34}x_{34} = (t_{E'})^2$$

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This is clearly a Las Vegas algorithm.

CLASSIFICATION of RANDOMIZED OPTIMIZATION ALGORITHMS

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All that means that a different approach to the classification of randomized approximation algorithms is needed.

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and we have a constant probability $1 - \frac{1}{e}$ of computing an optimal solution.

Definition: An optimization problem is a 6-tuple $\mathcal{P} = (\Sigma_I, \Sigma_O, L, \mathcal{M}, \text{cost}, \text{goal})$, where

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- **goal** \in (minimum, maximum) is an objective.

SOLVING an OPTIMIZATION PROBLEM

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If the goal is to find minimum (maximum) we talk about a minimization (maximization) problem.

Input: A weighted complete graph (G, c), where G = (V, E), $V = \{v_1, \ldots, v_n\}$, $E \subset V \times V$ and $c : E \to \mathbf{N}$ is a cost function.

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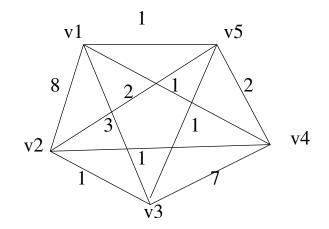
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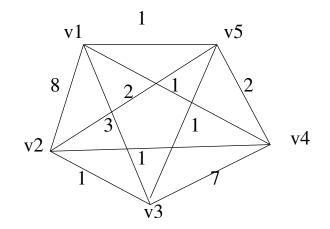
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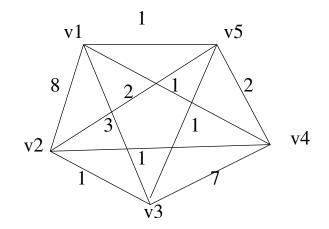
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Goal: minimum - to find a Hamiltonian cycle with minimum cost.

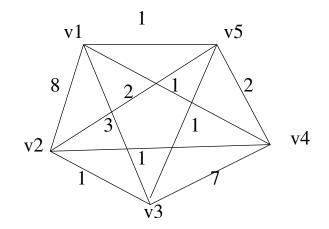




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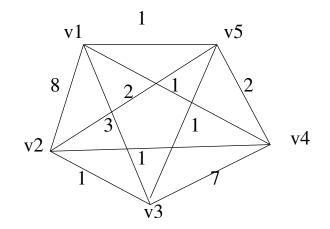
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IV054 1. 2. Types and Basic Design Methods for Randomized Algorithms



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IV054 1. 2. Types and Basic Design Methods for Randomized Algorithms

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$$\operatorname{cost}(X,c)) = c \cdot X = \sum_{i=1}^{n} c_i x_i.$$

Goal: minimum

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Definition Let $\mathcal{P} = (\Sigma_I, \Sigma_O, L, \mathcal{M}, \text{cost}, \text{goal})$ be an optimization problem. We say that A is a consistent algorithm for \mathcal{P} if, for every $x \in L$, the output A(x) is a feasible solution for x - that is $A(x) \in \mathcal{M}(x)$.

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$$\max_{|x|=n} \left\{ \frac{\operatorname{cost}(A(x))}{\operatorname{cost}(\operatorname{Opt}(x))}, \frac{\operatorname{cost}(\operatorname{Opt}(x))}{\operatorname{cost}(A(x))} \right\} \le \rho_A(n)$$
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IV054 1. 2. Types and Basic Design Methods for Randomized Algorithms

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are chosen to correspond to our intuition and to apply simultaneously to minimization and maximization problems. Both of these bounds compare an approximation solution with the optimal one, but in two different ways.

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The ratio bound is never less than one. An optimal algorithm has ratio bound 1. The larger is the best possible ratio bound of an approximation algorithm, the worse is the algorithm.

Approximation algorithms for NP problems

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The approximation scheme problem: Given an **NP**-complete problem *P*, does there exist for *P* with a cost of solution function *c* a polynomial time algorithm for designing, given an $\varepsilon > 0$ and an input instance *x*, an approximation for *P* and *x* with the relative error bound ε ?

Approximation thresholds

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Note also that if P has approximation threshold 1, this means that no universal (polynomial time) approximation method is possible.

Examples

Example 1 The approximation threshold for the optimization version of the **KNAPSACK PROBLEM** is 0.

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Example 3 Unless P = NP, thee approximation threshold for the **TRAVELING SALESMAN PROBLEM** is 1.

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These two different aims lead to two ways randomized approximation algorithms are defined.

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Online algorithms

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Key question. How good can an online algorithm (that does not know the future) be in comparison to an algorithm that knows the whole input (the future) from the beginning?

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For every input $x \in L$, the competitive ratio $comp_A(x)$ of A on x is the number

$$\mathsf{comp}_{\mathsf{A}}(\mathsf{x}) = \max\left\{\frac{\mathsf{Opt}_{\mathcal{P}}(x)}{\mathsf{cost}_{\mathcal{A}}(x)}, \frac{\mathsf{cost}_{\mathcal{A}}(x)}{\mathsf{Opt}_{\mathcal{P}}(x)}\right\}$$

¹An optimization problem can be viewed as an online problem when each prefix y of every input x can be viewed also as a problem instance, and one is required to provide a solution for y that has to remain unchanged as a part of the solution for the whole input x. NOVE 1.2. Types and Basic Design Methods for Randomized Algorithms. 47/50

Let $P == (\Sigma_i, \Sigma_0, L, M, \text{cost, goal})$ be an optimization problem that can be viewed as an online problem¹ An algorithm A is an online algorithm for P if, for every input $x = x_1 x_2 \dots x_n \in L$ the following conditions are satisfied:

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Let $\delta \ge 1$ be a real. We say that an online problem *P* is δ -hard if there does not exist any *d*-competitive online algorithm for *P* with $d < \delta$.

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RANDOMNESS EXTRACTORS

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An extractor is a certain kind of pseudorandom generator.

No extractor is currently know that has been proven to work when applied to any type of high-entropy source.

von NEUMANN EXTRACTOR

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The von Neumann extractor can be shown to produce a uniform output, even if the distribution of the input bits is not uniform, so long as each bit has the same probability of being one and there is no correlation between successive bits.

EXTRACTORS - FORMAL DEFINITION

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Definition A (k, ε) -extractor Ext is a mapping

$$\mathsf{Ext}: \{0,1\}^n \times \{0,1\}^d \to \{0,1\}^m$$

such that for every distribution X on $\{0,1\}^n$ with $H_{\infty}(X) \ge k$ and the seed s, the distribution Ext(X,s) is ε -close to the uniform distribution on $\{0,1\}^m$.

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By a probabilistic method to be discussed later one can show that there exists a (k, ε) extractor for many k and ε .

Note H_{∞} stands for so-called *min-entropy*, which is a measure of the amount of randomness in the worst case.