## Part I

## 2. Types and Basic Design Methods for Randomized Algorithms

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- If one takes a random variable that assigns to every computation its complexity (number of steps), then the expectation of this random variable equals the expected time complexity of $A$ on $x$.


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The idea is to overcome such a situation when a set $S$ of deterministic algorithms for $P$ is given such that for each of them there exist bad inputs (for which the algorithm gives wrong result or computes inefficiently) and for each input there exist a lot of algorithms in $S$ giving a correct answer efficiently).

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If one finds a set $S$ such that at least half of its elements are witnesses for $P$ and $x$, then a random choice of an element in the set $S$ leads to a witness for $P$ and $x$, with probability at least $\frac{1}{2}$.

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$\square$ Fingerprinting. For solving various problems it can be much more efficient to work with very small fingerprints (hashes) of large inputs than with such large inputs directly.

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- An amplification of the success probability of a randomized algorithm can be achieved by repeating independent computations on the same input (but, of course, with different random auxiliary inputs).


## Example for random rounding

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A $\{0,1\}$-problem $P$, in which the task is to find a proper assignment of the values from the set $\{0,1\}$ to each variable, is transferred to a $[0,1]$-problem in which the task is to assign to each variable $x$ a number $n_{x} \in[0,1]$ such that to solve the problem $P$ with high probability the value 1 is assigned with the probability $n_{x}$.

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Each deterministic protocol clearly requires sending at least $n$ bits in the worth case.

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Example: For $n=2^{64} \approx 10^{21}$ the protocol requires to send at most 256 bits.

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The so-called Prime number theorem says that there are approximately $\frac{n}{\ln n}$ primes among the first $n$ integers.

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Observe that $w$ can be uniquely factorized as

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Probability of the error of the above equality protocol is therefore:

$$
\frac{n-1}{\operatorname{Prim}\left(n^{2}\right)} \leq \frac{n-1}{n^{2} / \ln n^{2}} \leq \frac{\ln n^{2}}{n}=\frac{2 \ln n}{n}
$$

which is at most $0.369 \cdot 10^{-14}$ in case $n=10^{16}$.

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In case the above protocol is repeated 10 times, each time with a different prime, and the answer is $x=y$ each time, then the probability of an error is at most

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Reminder: Probability of the correct output is at least

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We show the existence of a Las Vegas algorithm to solve the above problem (that is to decide whether such an $i$ exists) with communication complexity

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n+\mathcal{O}(\lg n)
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and, finally, she sends to Bob all 20 numbers:

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If $s_{i} \neq r_{i}$ for all $i$, then Bob outputs NO (or 0 ).

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s_{i}=\operatorname{Number}\left(x_{i}\right) \bmod p_{i}, i \in\{1, .2, \ldots, 10\}
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and, finally, she sends to Bob all 20 numbers:

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p_{1}, \ldots, p_{10}, s_{1}, \ldots, s_{10} .
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- If $x_{j}=y_{j}$, Alice outputs YES (or 1 );
otherwise she outputs ?? (because it may exist other $k$ with $x_{k}=y_{k}$ ).


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Moreover, it can be shown that for for sufficiently large $n$.

$$
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Claim: Any Las Vegas algorithm $A_{1}$ can be converted to a Las Vegas algorithm $A_{2}$ that solves the same problem and never produces ??.

Construction of $A_{2}$ is simple. Each time $A_{1}$ is to produce the output ??, what can be done only with bounded probability, a new run of $A_{1}$ is initialized with the same input.

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Because of the exponential decrease of error probability using repeated applications, 1MC algorithms are very popular.

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One can show that if an $\delta>0$ is fixed, then

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\operatorname{Prob}\left(A_{k}(x)=F(x)\right) \geq 1-\delta
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If $\varepsilon$ and $\delta$ are considered as constant, then so is $k$ and therefore

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\operatorname{Time}_{A_{k}}(n)=\mathcal{O}\left(\operatorname{Time}_{A}(n)\right) .
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As a consequence, if we design, given an $\delta>0$, an algorithm $A_{k}$, that performs $k$ independent runs of $A$ and

$$
\left.\operatorname{Prob}\left(A_{k}(x)\right)=F(x)\right)>1-\delta
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then running time of $A_{k}$ may be exponential in the input length.

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Problem: The task is to "partition", by a moderator, a given binary-string secret $S$ between two parties $P_{1}$ and $P_{2}$ in such a way that none of the parties alone has the slightest idea what $S$ is, but if they get together they can easily determine $S$.

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This way, none of the parties $P_{1}$ and $P_{2}$ alone has a slightest idea about $S$, but both together easily recover $S$ by computing

$$
b \oplus(S \oplus b)=S
$$

## PERFECT MATCHING ALGORITHM - I.

Let $G=\langle V, E\rangle$ be an undirected graph. A subset $X \subseteq E$ is said to be a matching of $G$ if no two edges in $X$ have a common node.

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There are polynomial time algorithms to decide whether a given graph has perfect matching, but none is so simple as the randomized algorithm based on so called Tutte theorem presented bellow.

## TUTTE MATRIX

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## Basic concept: Tutte matrix of a graph

 Let $G=\langle V, E\rangle$ be a graph with nodes $V=\{1,2, \ldots, n\},|V|$ even. The Tutte matrix $A_{G}=\left\{a_{i j}\right\}_{i, j=1}^{n}$ of $G$ is defined by$$
a_{i j}=\left\{\begin{array}{cl}
x_{i j} & \text { if }(i, j) \in E, i<j ; \\
-x_{i j} & \text { if }(i, j) \in E, i>j ; \\
0 & \text { if }(i, j) \notin E
\end{array}\right\},
$$

where $x_{i j}$ are variables, all different for different $i, j$.

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Example: For the graph of six nodes at which node 1 is connected with nodes 4 and 5 , node 2 with nodes 5 and 6 and the node 3 is connected with nodes 5 and 6 the Tutte matrix has the form:

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Proof: The determinant of $A_{G}$ equals $\sum_{\pi} \sigma_{\pi} \prod_{i=1}^{n} a_{i \pi(i)}$ where $\pi$ are permutations of $\{1,2, \ldots, n\}$ and $\sigma_{\pi}=1\left(\sigma_{\pi}=-1\right)$ if $\pi$ is a product of an even (odd) number of transpositions.

## TUTTE THEOREM - OBSERVATIONS

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Observation 2: Permutations $\pi$ with at least one odd cycle do not contribute at all to the determinant of $A$, because to each such permutation $\pi$ there is a permutation $\pi^{\prime}$ such that $\prod_{i=1}^{n} a_{i \pi(i)}=-\prod_{i=1}^{n} a_{i \pi^{\prime}(i)}$

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Notation For a perfect matching $E^{\prime}$ let $t_{E^{\prime}}$ denote the product of the $a^{\prime} s$ corresponding to the edges of $E^{\prime}$.

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Conclusion;

$$
\operatorname{det}\left(A_{G}\right)=\left(t_{E_{1}^{\prime}}+\cdots+t_{E_{k}^{\prime}}\right)^{2}
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where $E_{i}^{\prime}$ denotes $i$-th perfect matching.

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Perfect matching $E^{\prime}$ is $1-2,3-4, t_{E^{\prime}}=a_{12} a_{34}$ and

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\prod_{i=1}^{4} a_{i \pi(i)}=a_{12} a_{21} a_{34} a_{43}=x_{12} x_{12} x_{34} x_{34}=\left(t_{E^{\prime}}\right)^{2}
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This is clearly a Las Vegas algorithm.

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Moreover, in case of optimization problems our goal is not always to find an optimal solution. We are usually quite happy to find (and in a feasible way) an almost optimal solution - whose cost (quality) does not differ much from the cost (quality) of some optimal solution.

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All that means that a different approach to the classification of randomized approximation algorithms is needed.

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and we have a constant probability $1-\frac{1}{e}$ of computing an optimal solution.

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If the goal is to find minimum (maximum) we talk about a minimization (maximization) problem.

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Goal: minimum - to find a Hamiltonian cycle with minimum cost.

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Cost of a solution: For $X=\left(x_{1}, \ldots, x_{n}\right) \in \mathcal{M}(A, b)$

$$
\operatorname{cost}(X, c))=c \cdot X=\sum_{i=1}^{n} c_{i} x_{i} .
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Goal: minimum

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Definition Let $\mathcal{P}=\left(\Sigma_{I}, \Sigma_{O}, L, \mathcal{M}\right.$, cost, goal) be an optimization problem. We say that $A$ is a consistent algorithm for $\mathcal{P}$ if, for every $x \in L$, the output $A(x)$ is a feasible solution for $x$ - that is $A(x) \in \mathcal{M}(x)$.
We say that an approximation algorithm $A$ mapping each instance $x$ of an optimization problem $P$ to one of its feasible solutions has the ratio bound $\rho_{A}(n)$ and the relative error bound $\varepsilon_{A}(n)$ if

## QUANTIFICATION of "ALMOST OPTIMAL SOLUTIONS" $1 / 2$

Many very important optimization problems are NP-hard and so we know only exponential time algorithms for finding optimal solutions.

New idea is to jump from exponential to polynomial time by weakening the requirements - to be satisfied with almost optimal solutions. To quantize that tries the next definition.

Definition Let $\mathcal{P}=\left(\Sigma_{I}, \Sigma_{O}, L, \mathcal{M}\right.$, cost, goal) be an optimization problem. We say that $A$ is a consistent algorithm for $\mathcal{P}$ if, for every $x \in L$, the output $A(x)$ is a feasible solution for $x$ - that is $A(x) \in \mathcal{M}(x)$.
We say that an approximation algorithm $A$ mapping each instance $x$ of an optimization problem $P$ to one of its feasible solutions has the ratio bound $\rho_{A}(n)$ and the relative error bound $\varepsilon_{A}(n)$ if

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\max _{|x|=n}\left\{\frac{\operatorname{cost}(A(x))}{\operatorname{cost}(\operatorname{Opt}(x))}, \frac{\operatorname{cost}(\operatorname{Opt}(x))}{\operatorname{cost}(A(x))}\right\} \leq \rho_{A}(n)
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\max _{|x|=n}\left\{\frac{|\operatorname{cost}(A(x))-\operatorname{cost}(\operatorname{Opt}(x))|}{\max \{\operatorname{cost}(\operatorname{Opt}(x)), \operatorname{cost}(A(x))}\right\} \leq \varepsilon_{A}(n)
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are chosen to correspond to our intuition and to apply simultaneously to minimization and maximization problems. Both of these bounds compare an approximation solution with the optimal one, but in two different ways.

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For any $\delta>1$ we say that $A$ is a $\delta$-approximation algorithm for $\mathcal{P}$ if, for every integer $n, \rho_{A}(n) \leq \delta$.

The ratio bound is never less than one. An optimal algorithm has ratio bound 1. The larger is the best possible ratio bound of an approximation algorithm, the worse is the algorithm.

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The approximation scheme problem: Given an NP-complete problem $P$, does there exist for $P$ with a cost of solution function $c$ a polynomial time algorithm for designing, given an $\varepsilon>0$ and an input instance $x$, an approximation for $P$ and $x$ with the relative error bound $\varepsilon$ ?

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Note that if an optimization problem $P$ has an approximation threshold 0 , this means that a (polynomial time) approximation arbitrarily close to the optimum is possible.

Note also that if $P$ has approximation threshold 1 , this means that no universal (polynomial time) approximation method is possible.

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Example 3 Unless $\mathbf{P}=\mathbf{N P}$, thee approximation threshold for the TRAVELING SALESMAN PROBLEM is 1 .

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0 to guarantee that a certain approximation ratio is achieved with probability at least $\frac{1}{2}$.
These two different aims lead to two ways randomized approximation algorithms are defined.

Definition 1 Let $\mathcal{P}=\left(\Sigma_{l}, \Sigma_{0}, L, \mathcal{M}\right.$, cost, goal) be an optimization problem. For any $\delta>1$, a randomized algorithm $A$ is called a randomized $\mathrm{E}[\delta]$-approximation algorithm for $\mathcal{P}$ if

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Key question. How good can an online algorithm (that does not know the future) be in comparison to an algorithm that knows the whole input (the future) from the beginning?

## Evaluation of online algorithms

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Let $\delta \geq 1$. We say that $A$ is a $\delta$-competitive algorithm for $P$ if $\operatorname{comp}_{A}(x) \leq \delta$ for all $x \in L$.
Let $\delta \geq 1$ be a real. We say that an online problem $P$ is $\delta$-hard if there does not exist any $d$-competitive online algorithm for $P$ with $d<\delta$.
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No extractor is currently know that has been proven to work when applied to any type of high-entropy source.

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The von Neumann extractor can be shown to produce a uniform output, even if the distribution of the input bits is not uniform, so long as each bit has the same probability of being one and there is no correlation between successive bits.

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Definition $\mathrm{A}(k, \varepsilon)$-extractor Ext is a mapping

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such that for every distribution $X$ on $\{0,1\}^{n}$ with $H_{\infty}(X) \geq k$ and the seed $s$, the distribution $\operatorname{Ext}(X, s)$ is $\varepsilon$-close to the uniform distribution on $\{0,1\}^{m}$.

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The aim is to have $n>m$ and $d \ll m$.

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\text { Ext : }\{0,1\}^{n} \times\{0,1\}^{d} \rightarrow\{0,1\}^{m}
$$

such that for every distribution $X$ on $\{0,1\}^{n}$ with $H_{\infty}(X) \geq k$ and the seed $s$, the distribution $\operatorname{Ext}(X, s)$ is $\varepsilon$-close to the uniform distribution on $\{0,1\}^{m}$.

The aim is to have $n>m$ and $d \ll m$.
By a probabilistic method to be discussed later one can show that there exists a $(k, \varepsilon)$ extractor for many $k$ and $\varepsilon$.

Note $H_{\infty}$ stands for so-called min-entropy, which is a measure of the amount of randomness in the worst case.


[^0]:    ${ }^{1}$ An optimization problem can be viewed as an online problem when each prefix $y$ of every input $x$ can be viewed also as a problem instance, and one is required to provide a solution for $y$ that has to remain unchanoed ac a nart of the colution for the whole innut $x$

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