Part I

Cyclic, stream and channel codes. Speccial decoding

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- 3. List decoding is a new decoding technique capable to deal, in an approximate way, with cases that many errors occur, and in many such cases this technique performs better than the classical unique decoding technique the one we dealt with so far.
- **4. Locally decodable codes can** be seen as a theoretical extreme of coding theory with deep theoretical implications.

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codeword of length n - a generator codeword of the code C.

#### **Definition A code C is cyclic if**

- C is a linear code;
- any cyclic shift of a codeword is also a codeword, i.e. whenever  $a_0, \ldots a_{n-1} \in C$ , then also  $a_{n-1}a_0 \ldots a_{n-2} \in C$  and  $a_1a_2 \ldots a_{n-1}a_0 \in C$ .

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- Is Hamming code Ham(2,3) with the generator matrix

$$\begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 2 \end{bmatrix}$$

- cyclic?
- or at least equivalent to a cyclic code?

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For some cases, for example for n = 19 and F = GF(2), the above four trivial cyclic codes are the only cyclic codes.

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$$c_1 = 1011100$$
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### AN EXAMPLE of a CYCLIC CODE

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and it is cyclic because the right shifts have the following impacts

$$c_1 o c_2, \ c_1 + c_2 o c_2 + c_3, \ c_1 + c_2 o c_2 + c_3, \ c_1 + c_2 + c_3 o c_1 + c_2$$

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**Definition** Let f(x) be a fixed polynomial in  $F_q[x]$ . Two polynomials g(x), h(x) are said to be congruent modulo f(x), notation

$$g(x) \equiv h(x) \pmod{f(x)},$$

if g(x) - h(x) is divisible by f(x).

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The word starting with  $2^{124}$  zeros and followed by one 1 has the polynomial representation:

$$x^{124}$$

In the alphabet  $\{0, 1, 2\}$   $2x^2$  represents the string 002

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#### **GROUPS**

A group G is a set of elements and an operation, call it \*, with the following properties:

- G is closed under \*; that is if  $a, b \in G$ , so is a \* b.
- The operation \* is associative, that is a\*(b\*c) = (a\*b)\*c, for any  $a,b,c \in G$ .
- G has an identity e element such that e \* a = a \* e = a for any  $a \in G$ .
- Every element  $a \in G$  has an inverse  $a^{-1} \in G$ , such that  $a * a^{-1} = a^{-1} * a = e$ .

A group G is called an **Abelian group** if the operation \* is commutative, that is a\*b=b\*a for any  $a,b\in G$ .

### **Example** Which of the following sets is an (Abelian) group:

- The set of real numbers with operation \* being: (a) addition; (b) multiplication.
- The set of matrices of degree n and operation: (a) addition; (b) multiplication.
- What happens if we consider only matrices with determinants not equal zero?

A ring R is a set with two operations + (addition) and  $\cdot$  (multiplication), having the following properties:

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A non-zero element g is a **primitive element** of a field F if all non-zero elements of F are powers of g.

For any polynomial f(x), the set of all polynomials in  $F_q[x]$  of degree less than deg(f(x)), with addition and multiplication modulo f(x), forms a **ring denoted**  $F_q[x]/f(x)$ .

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×	×	1+x	0	1
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If f(x) is not reducible, then it is said to be **irreducible** in  $F_q[x]$ .

**Theorem** The ring  $F_q[x]/f(x)$  is a field if f(x) is irreducible in  $F_q[x]$ .

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Replacement of a word

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by a polynomial

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multiplication of p(w) by x in  $R_n$  corresponds to a single cyclic shift of w. Indeed,

$$x(a_0 + a_1x + ... a_{n-1}x^{n-1}) = a_{n-1} + a_0x + a_1x^2 + ... + a_{n-2}x^{n-1}$$

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If 
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$$r(x)a(x) = r_0 a(x) + r_1 x a(x) + \dots + r_{n-1} x^{n-1} a(x)$$

is in C by (i) because all summons above are cyclic shifts of a(x).

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- A code equivalent to a cyclic code need not be cyclic itself.
- For instance, there are 30 distinct binary [7, 4] Hamming codes, but only two of them are cyclic.

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$$\langle f(x) \rangle = \{ r(x)f(x) \mid r(x) \in R_n \}$$

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Indeed, all we need to do is to find all factors (in GF(q)) of

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Problem: Find all binary cyclic codes of length 3.

Solution: Make decomposition

$$x^{3} - 1 = \underbrace{(x - 1)(x^{2} + x + 1)}_{\text{both factors are irreducible in GF(2)}}$$

Therefore, we have the following generator polynomials and cyclic codes of length 3.

Generator polynomials 
$$R_3$$
 Code in  $R_3$   $V(3,2)$   $x+1$   $\{0,1+x,x+x^2,1+x^2\}$   $\{000,110,011,101\}$   $x^2+x+1$   $\{0,1+x+x^2\}$   $\{000,111\}$   $\{000\}$ 



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- All rows of G1 are linearly independent.
- The n-r rows of G represent codewords

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It remains to show that every codeword in C can be expressed as a linear combination of vectors from (\*).

Indeed, if  $a(x) \in C$ , then

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Theorem Suppose C is a cyclic code of codewords of length n with the generator polynomial

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$$q(x)g(x) = (q_0 + q_1x + \dots + q_{n-r-1}x^{n-r-1})g(x)$$
  
=  $q_0g(x) + q_1xg(x) + \dots + q_{n-r-1}x^{n-r-1}g(x)$ .

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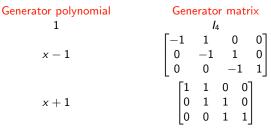
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$$\begin{bmatrix} -1 & 1 & 0 & 0 \\ 0 & -1 & 1 & 0 \\ 0 & 0 & -1 & 1 \end{bmatrix}$$

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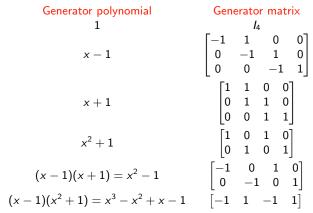
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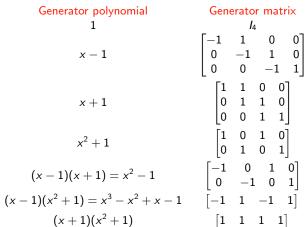
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### **EXAMPLE - II**

In order to determine all binary cyclic codes of length 7, consider decomposition

$$x^7 - 1 = (x - 1)(x^3 + x + 1)(x^3 + x^2 + 1)$$

Since we want to determine binary codes, all computations should be modulo 2 and therefor all minus signs can be replaced by plus signs. Therefore

$$x^7 + 1 = (x+1)(x^3 + x + 1)(x^3 + x^2 + 1)$$

Therefore generators for 2<sup>3</sup> binary cyclic codes of length 7 are

1, 
$$a(x) = x + 1$$
,  $b(x) = x^3 + x + 1$ ,  $c(x) = x^3 + x^2 + 1$   
 $a(x)b(x)$ ,  $a(x)c(x)$ ,  $b(x)c(x)$ ,  $a(x)b(x)c(x) = x^7 + 1$ 



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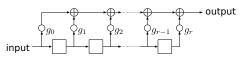
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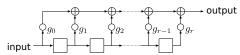
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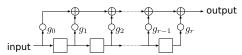
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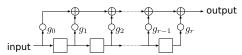
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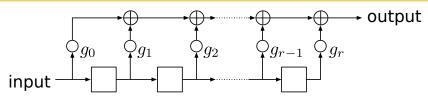
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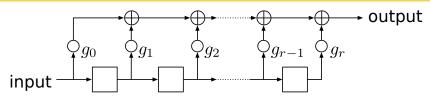
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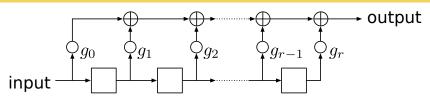


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The input (message) is given by a polynomial  $m_{k-1}x^{k-1} + \dots + m_2x^2 + m_1x + m_0$  and therefore the input to the shift register, step by step, is the word



$$(m_0 + m_1 x + \dots m_{k-1} x^{k-1}) \times (g_0 + g_1 x + g_2 x^2 \dots g_{r-1} x^{r-1})$$

Let us compute

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 $m_0g_0$ 

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 $G_{24}$  is (24, 12, 8)-code and the weights of all codewords are multiples of 4.  $G_{23}$  is obtained from  $G_{24}$  by deleting last symbol of each codeword of  $G_{24}$ .  $G_{23}$  is (23, 12, 7)-code. It is a perfect code.

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Golay codes are named to honour Marcel J. E. Golay - from 1949.

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Example: For the binary polynomial code with n=5 and m=2 generated by the polynomial  $g(x)=x^2+x+1$  all codewords are of the form:

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what results in the code with codewords

00000,00111,01110,01001,

11100, 11011, 10010, 10101.

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RM(r, m) code is generated by the set of all up to r inner products of the codewords  $v_i$ ,  $0 \le i \le d$ , where  $v_0 = 1^{2^d}$  and  $v_i$  are prefixes of the word  $\{1^i 0^i\}^*$ .

**Example 1:** RM(1,3) code is generated by the codewords

$$v_0 = 11111111$$
  
 $v_1 = 10101010$   
 $v_2 = 11001100$ 

$$v_0, v_1, v_2, v_3, v_1 \cdot v_2, v_1 \cdot v_3, v_2 \cdot v_3$$

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Reed-Muller codes are closely related to Polar codes. David E. Muller discovered them in 1954 and Irving S. Reed was first to propose for them efficient decoding algorithm.

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If  $n = q^m - 1$  for some m, then the BCH code is called primitive.

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 $\omega$  is the primitive *n*-th root of unity.

If  $n = q^m - 1$  for some m, then the BCH code is called primitive.

**Applications** of BCH codes: satellite communications, compact disc players, disk drives, two-dimensional bar codes,...

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They are very useful especially in those applications where one can expect that errors occur in bursts - such as ones caused by solar energy.

# **CHANNELS (STREAMS) CODING**

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Moreover, the theorem says that probability of a decoding error can be made to decrease exponentially as the block length N of the coding scheme goes to infinity.

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However, the complexity of a "naive", or straightforward, optimum decoding schemes increased exponentially with  ${\it N}$  - therefore such an optimum decoder rapidly become unfeasible.

A breakthrough came when D. Forney, in his PhD thesis in 1972, showed that so called concatenated codes could be used to achieve exponentially decreasing error probabilities at all data rates less than the Shannon channel capacity, with decoding complexity increasing only polynomially with the code length.

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The code rate express the amount of redundancy in the code - the lower is the code rate, the more redundancy is in the codewords.

Codes with lower code rate can usually correct more errors. Consequently, the communication system can:

operate with a lower transmit power;

- operate with a lower transmit power;
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By the **noisy-channel Shannon coding theorem**, the channel capacity of a given channel is the limiting code rate (in units of information per unit time) that can be achieved with arbitrary small error probability.

Let X and Y be random variables representing the input and output of a channel.

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The joint distribution  $P_{X,Y}(x,y)$  is then defined by

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The channel capacity is then defined by

$$C = \sup_{P_X(x)} I(X, Y)$$

where

$$I(X,Y) = \sum_{y \in Y} \sum_{x \in X} P_{X,Y}(x,y) \log \left( \frac{P_{X,Y}(x,y)}{P_X(x)P_Y(y)} \right)$$

is the mutual distribution - a measure of variables mutual distribution.

#### SHANNON NOISY CHANEL THEOREM

For every discrete memoryless channel, the channel capacity

$$C = \sup_{P_X} I(X, Y)$$

has the following properties:

- 1. For every  $\varepsilon>0$  and R< C, for large enough N there exists a code of length N and code rate R and a decoding algorithm, such that the maximal probability of the block error is  $<\varepsilon$ .
- 2. If a probability of the block error  $p_b$  is acceptable, code rates up to  $R(p_b)$  are achievable, where

$$R(p_b) = \frac{C}{1 - H_2(p_b)}$$

and  $H_2(p_b)$  is the binary entropy function.

3. For any  $p_b$  code rates greater than  $R(p_b)$  are not achievable.

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For example,

$$G_1 = [x^2 + 1, x^2 + x + 1]$$

is the generator matrix for a (2,1) convolution code, denoted  $CC_1$ , and

$$G_2 = \begin{pmatrix} 1+x & 0 & x+1 \\ 0 & 1 & x \end{pmatrix}$$

is the generator matrix for a (3,2) convolution code denoted CC2

An (n,k) convolution code with a  $k \times n$  generator matrix G can be used to encode a k-tuple of message-polynomials (polynomial input information)

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### **EXAMPLES**

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**EXAMPLE 1** – when the code  $CC_1$  is used:

$$(x^3 + x + 1) \cdot G_1 = (x^3 + x + 1) \cdot (x^2 + 1, x^2 + x + 1)$$
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**EXAMPLE 2** – when the code  $CC_2$  is used:

$$(x^2 + x, x^3 + 1) \cdot G_2 = (x^2 + x, x^3 + 1) \cdot \begin{pmatrix} 1 + x & 0 & x + 1 \\ 0 & 1 & x \end{pmatrix}$$



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The first multiplication can be done by the first shift register from the next figure; second multiplication can be performed by the second shift register on the next slide and it holds

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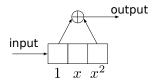
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That is the output streams  $C_0$  and  $C_1$  are obtained by convoluting the input stream with polynomials of  $G_1$ .

### **ENCODING**

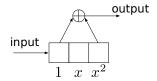
### **ENCODING**

### The first shift register



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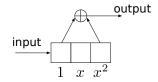
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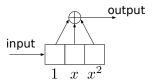
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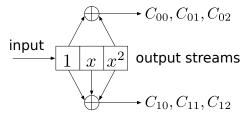
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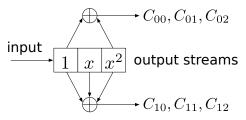
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Given  $(x,y) \in \{-1,1\} \times R$ , the noise y-x is distributed according to the Gaussian distribution of zero mean and standard derivation  $\sigma$  of the channel

$$Pr(y|x) = \frac{1}{\sigma\sqrt{2\pi}}e^{-\frac{(y-x)^2}{2\sigma^2}}$$

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Concatenated codes and Turbo codes, discussed later, have such a Shannon capacity approaching property

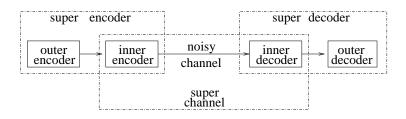
# **CONCATENATED CODES - I**

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In 1965 concatenated codes were considered as unfeasible. However, already in 1970s technology has advanced sufficiently and they became standardize by NASA for space applications.

A code concatenated codes  $C_{out}$  and  $C_{in}$  maps a message

$$m=(m_1,m_2,\ldots,m_K),$$

as follows: At first Cout encoding is applied to get

$$C_{out}(m_1, m_2, \ldots, m_k) = (m_1^{'}, m_2^{'}, \ldots, m_N^{'})$$

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as follows: At first Cout encoding is applied to get

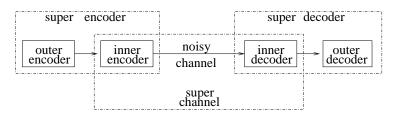
$$C_{out}(m_1, m_2, \ldots, m_k) = (m_1^{'}, m_2^{'}, \ldots, m_N^{'})$$

and then  $C_{in}$  encoding is applied to get

$$C_{in}(m_{1}^{'}), C_{in}(m_{2}^{'}), \ldots, C_{in}(m_{N}^{'})$$

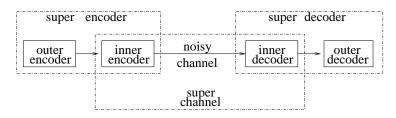
### **ANOTHER VIEW of CONCATENATED CODES**

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- **Outer code:**  $(n_2, k_2)$  code
- Inner code:  $(n_1, k_1)$  binary code
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- **Outer decoder**  $(n_2, k_2)$  code
- **length** of such a concatenated code is  $n_1n_2$
- **dimension** of such a concatenated code is  $k_1k_2$
- if minimal distances of both codes are  $d_1$  and  $d_2$ , then resulting concatenated code has minimal distance  $\geq d_1d_2$ .



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- The main idea is that if the inner block length is logarithmic in the size of the outer code, then the decoding algorithm for the inner code may run in the exponential time of the inner block length.
- In such a case we can use an exponential time but optimal maximum likelihood decoder for the inner code.

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- Concatenated codes are used also on Compact Disc.
- The best concatenated codes for many applications were based on outer Reed-Solomon codes and inner Viterbi-decoded short constant length convolution codes.

# **EXAMPLE from SPACE EXPLORATION**

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At the very beginning of the Galileo mission to explore Jupiter and its moons in 1989 it was discovered that primary antenna (deployed in the figure on the top) failed to deploy,

The primary antenna was designed to send 100, 000 b/s. Spacecraft had also another antenna, but that was capable to send only 10 b/s. The whole mission looked as being a disaster.

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Nowadays when so called iterative decoding is used concatenation of even very simple codes can yield superb performance.

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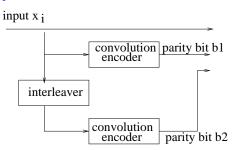
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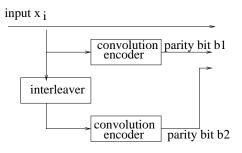
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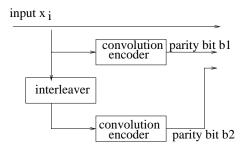
# **EXAMPLES of TURBO and CONVOLUTION ENCODERS**

#### A Turbo encoder

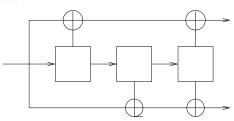


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However, after the inverse permutation the output actually will be

c.n.j.200k.

which is quite easy to decode correctly!!!!



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- Literature: M.C. Valenti and J.Sun: Turbo codes tutorial, Handbook of RF and Wireless Technologies, 2004 reachable by Google.

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A decibel is a relative measure. If E is the actual energy and  $E_{ref}$  is the theoretical lower bound, then the relative energy increase in decibels is

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■ For code rate  $\frac{1}{2}$  the relative increase in energy consumption is about 4.8 dB for convolution codes and 0.98 for Turbo codes.

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- For sufficiently large size of interleavers, the correcting performance of turbo codes, as shown by simulations, appears to be close to the theoretical Shannon limit.
- Permutations performed by interleaver can often by specified by simple polynomials that make one-to-one mapping of some sets  $\{0,1,\ldots,q-1\}$ .

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- A turbo code can be seen as a refinement of concatenated codes plus an iterative algorithm for decoding.

## LIST DECODING

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List decoding seems to be a stronger error-correcting mode than unique decoding.

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If for every q-nary word w of length n the number of codewords of C withing Hamming distance pn from w is at most L, then the code C is said to be (p, L)-list-decodable.

Let C be a q-nary linear [n, k, d] error correcting code.

For a given q-nary input word w of length n and a given error bound  $\varepsilon$  let the task be to output a list of codewords of C whose Hamming distance from w is at most  $\varepsilon$ 

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**Theorem** let  $q \geq 2$ ,  $0 \leq p \leq 1 - 1/q$  and  $\varepsilon \geq 0$  then for large enough block length n if the code rate  $R \leq 1 - H_q(p) - \varepsilon$ , then there exists a  $(p, O(1/\varepsilon))$ -list decodable code.  $[H_q(p) = p \log_q(q-1) - p \log_q p - (1-p) \log_q(1-p)$  is q-ary entropy function.] Moreover, if  $R > 1 - H_q(p) + \varepsilon$ , then every (p, L)-list-decodable code has  $L = q^{\Omega(n)}$ 

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- $\hfill \blacksquare$  Reed-Solomon codes were used to encode pictures sent by the Voyager spacecraft.
- Modern versions of concatenated Reed-Solomon/Viterbi decoder convolution coding were and are used on the Mars Pathfinder, Galileo, Mars exploration Rover and Cassini missions, where they performed within about 1-1.5dB of the ultimate limit imposed by the Shannon theorem.

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- New computation tools are developed for example special types of parallelization,....



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Locally decodable codes have a variety of applications in cryptography and theory of fault-tolerant computation.



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Moreover, this can be done by picking at random only three bits of the received message and combining them in a right way.