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DO YOU KNOW

**WHAT YOU SHOULD THINK ABOUT MOST OF
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IV045, CODING THEORY, CRYPTOGRAPHY

and

CRYPTOGRAPHIC PROTOCOLS - 2020

Prof. Jozef Gruska

<http://www.fi.muni.cz/usr/gruska/crypto20>

Technické řešení této výukové pomůcky je spolufinancováno Evropským sociálním fondem a státním rozpočtem České republiky.



INVESTICE DO ROZVOJE VZDĚLÁVÁNÍ

OLD versus MODERN CRYPTOGRAPHY

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- 2 Linear codes
- 3 Cyclic, convolution and Turbo codes - list decoding

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- 12 **Quantum cryptography**
- 13 **History and machines of cryptography**

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It will be possible to ask questions related to tutorials (or lectures) via Google Hangout Meets (each Thursday in time 9.00-9.15 or at 10.00-10.15) or via discussion forums of tutorials or course.

Likely, the most efficient use of the lectures is to print materials of each lecture before the lecture and to make your comments into them during the lecture.

BASIC INFORMATION - II.

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- Whenever you find an error or a misprint in the lecture notes, let me know - extra points you get for that.

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Exercises creating and evaluating team:

RNDr. Lukáš Boháč, head

RNDR. Matej Pivluska PhD

RNDR Luděk Matyska, doctorant

RNDr Libor Caha, doctorant

Bc Henrieta Michelova, one of the best of the 2019 course
IV054

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Cryptography, when broadly understood, is an important tool to achieve such goals.

STORY I.

Mary - Queen of Scots - picture

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Mary - Queen of Scots - curriculum

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Born: 1542

Mary - Queen of Scots - curriculum



Born: 1542

Crowned: 1543

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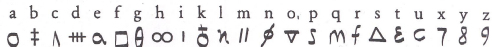
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- After spending 19 years in jail a group of her admirers established a communication with Mary with the goal to free Mary (and to put Mary on the tron in England).
- Main cryptographer of Elisabeth I, Sir Francis Walsingham, expected that and was able to decrypt special encrypted communication between Mary and her admirers.

Mary - cryptosystem she used

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Figure 8 The nomenclator of Mary Queen of Scots, consisting of a cipher alphabet and codewords.

The above Cryptosystem was used for communication between Mary - the Queen of Scots and her admirers, headed by nobleman Anthony Babington, trying to free her.

Mary - cryptosystem she used

a b c d e f g h i k l m n o p q r s t u x y z
 0 1 2 3 4 5 6 7 8 9

Nulles ff. r. u. d.

Dowbleth 6

and for with that if but where as of the from by

2 3 4 4 4 3 7 n m 8 x 0

so not when there this in wich is what say me my wyrt

f x tt ff e x t b m n m m d

send lre receive bearer I pray you Mte your name myne

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

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The above Cryptosystem was used for communication between Mary - the Queen of Scots and her admirers, headed by nobleman Anthony Babington, trying to free her. She was then accused of a plot to kill the Queen Elizabeth I of England, her sister in law ,and sentence to death.

Mary -end of the story

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Mary was executed on 8.2.1587.

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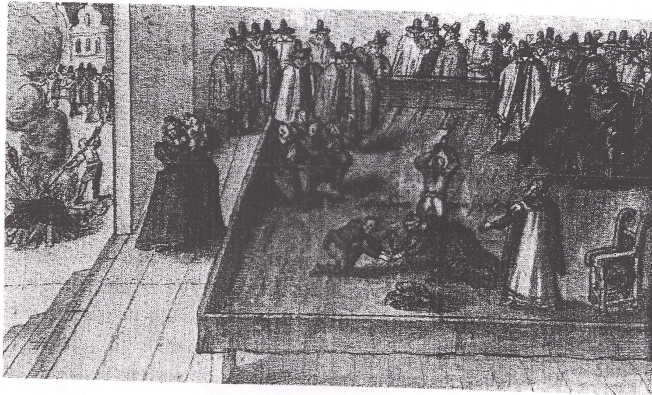


Figure 10 The execution of Mary Queen of Scots.

8.2.1587

Zimmerman telegram - I. Story

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This telegram was captured and decoded by British. They used the telegram to convince US president to declare war to Germany what very much influenced the outcome of the WWI.

Zimmerman's telegram II.

WESTERN UNION TELEGRAM

RECEIVED GALTIER, MEXICO

via Galveston

JAN 19 1917

861.3019/1724

GERMAN LEGATION
MEXICO CITY

130 13042 13401 8501 115 3528 416 17214 0491 11310
 18147 18222 21560 10247 11518 23677 13005 3494 14934
 98092 5905 11311 10392 10371 0302 21290 5101 39695
 23571 17504 11209 18276 18101 0317 0228 17694 4473
 24284 22200 19452 21589 07893 5509 13918 8958 12137
 1333 4725 4458 5905 17106 13851 4458 17149 14471 0706
 13850 12224 6929 14991 7382 15857 07893 14218 36477
 5870 17553 07893 5870 5454 16102 15217 22801 17132
 21001 17398 7446 23638 18222 0719 14331 15021 23845
 3150 23552 22096 21604 4707 9497 22401 20855 4377
 23010 18140 22200 5905 13347 20420 39689 13732 20607
 6929 5578 18507 52202 1340 22049 13339 11205 22295
 10439 14814 4178 0992 8784 7032 7357 6926 52262 11267
 21100 21272 9340 9559 22444 15874 18502 18500 15857
 2180 5376 7381 98092 10125 13486 9350 9220 76036 14219
 8144 2831 17920 11347 17142 11264 7667 7762 15099 9110
 10482 97550 3509 3070

BEHNSTORFF.

Figure 28 The Zimmermann telegram, as forwarded by von Bernstorff, the German Ambassador in Washington, to the United States.

Part I

Basics of the coding theory

PROLOGUE - I.

ROSETTA SPACECRAFT

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- **Since that time coding theory has made another enormous progress that has allowed, among other things, almost perfect mobile communication and transmission of music in time and space.**

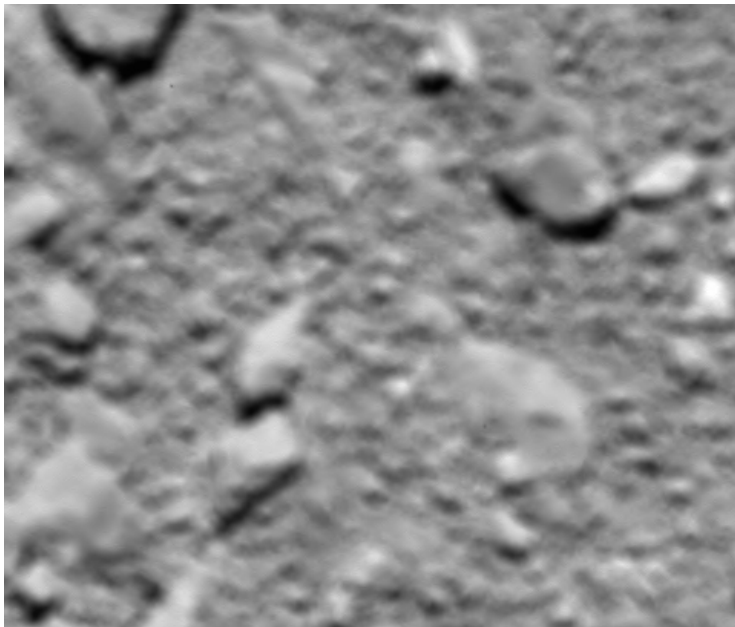
ROSETTA spacecraft



ROSETTA LANDING - VIEW from 21 km -29.9.2016



ROSETTA LANDING - VIEW from 51 m -29.9.2016



CHAPTER 1: BASICS of CODING THEORY

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This first chapter presents and illustrates the very basic problems, concepts, methods and results of coding theory.

PROLOGUE - II.

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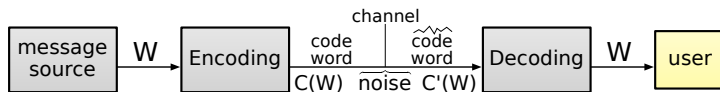
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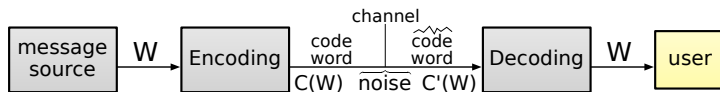
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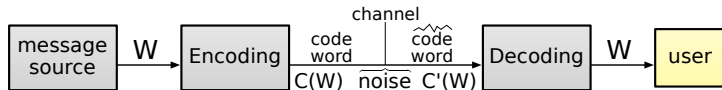
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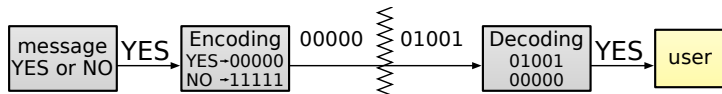
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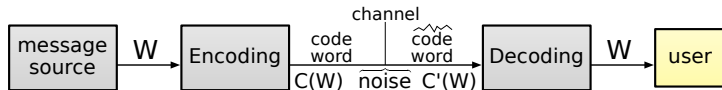
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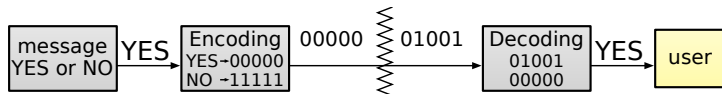
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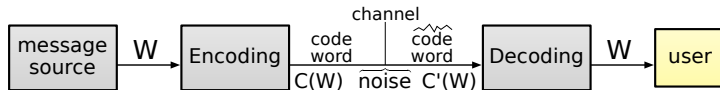
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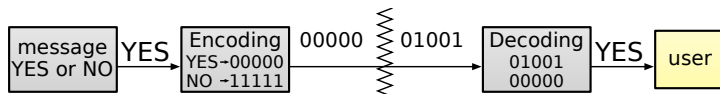
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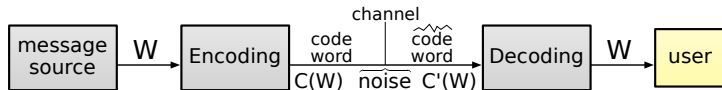


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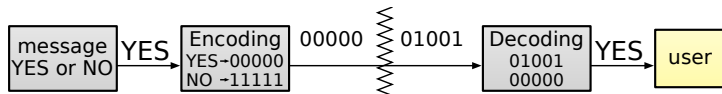
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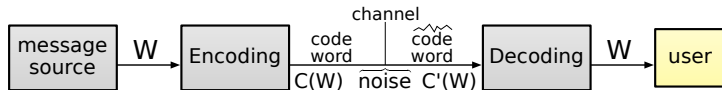
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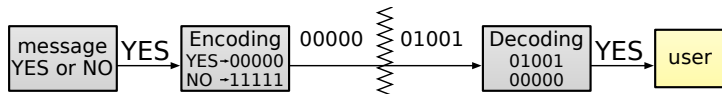
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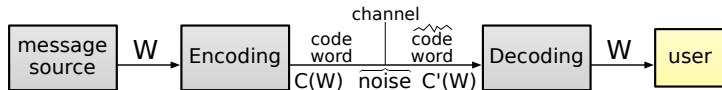
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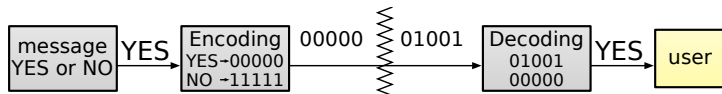
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Summary: The task of a communication channel coding is to encode the information to be sent over the channel in such a way that even in the presence of some channel noise, several (a specific number of) errors can be detected and/or corrected.

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This should be done in such a way that even if the message is corrupted by a noise, there will be enough redundancy in the encoded message to recover – to decode the message completely.

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$$3p^2(1-p) + p^3 = 3p^2 - 2p^3 < p$$

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Story Alice and Bob share an identical map (Fig. 1) shown in Fig.1. Only Alice knows the route through which Bob can reach her avoiding the enemy (gridded) territory. Alice wants to send Bob the information about the safe route he should take.

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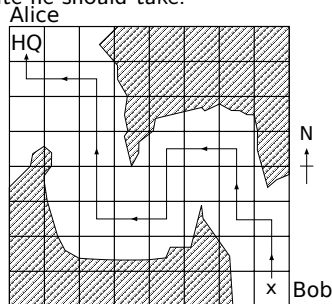


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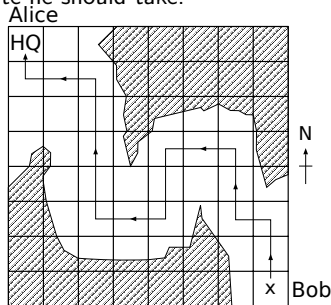


Fig. 1

EXAMPLE: Coding of a path avoiding an enemy territory

Story Alice and Bob share an identical map (Fig. 1) shown in Fig.1. Only Alice knows the route through which Bob can reach her avoiding the enemy (gridded) territory. Alice wants to send Bob the information about the safe route he should take.

NNWNNWWSSWWNNNNWWN

Three ways to encode the safe route (by steps North, West, South, East) from Bob to Alice are:

1 $C1 = \{N = 00, W = 01, S = 11, E = 10\}$

In such a case **any error** in the code word

0000010000010111101010000000010100

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2 $C2 = \{000, 011, 101, 110\}$

A **single error** in encoding each of symbols N, W, S, E **can be detected**.

3 $C3 = \{00000, 01101, 10110, 11011\}$

A **single error** in decoding each of symbols N, W, S, E **can be corrected**.

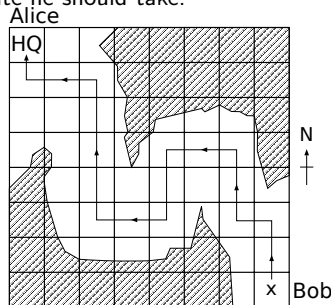


Fig. 1

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the codeword w that is the **closest one** to w' .

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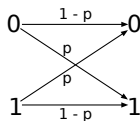
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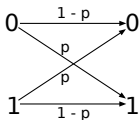
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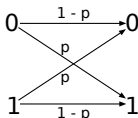


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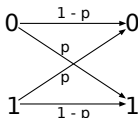
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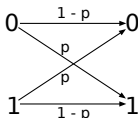
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Corollary One undetected error occurs only once every 2000 days! ($2000 \approx \frac{10^9}{5.5 \times 86400}$).

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Example Binary string

10001011000100101111

is represented and encoded as follows

1	0	0	0	1		1	0	0	0	1	0
0	1	1	0	0		0	1	1	0	0	0
0	1	0	0	1	→	0	1	0	0	1	0
0	1	1	1	1		0	1	1	1	1	0
						1	1	0	1	1	0

TWO-DIMENSIONAL PARITY CODE

This is a generalization of the previous (called also as one-dimensional) parity code. The **two-dimensional parity code** arranges first the to be transmitted message into a two-dimensional array and then to each row (column) of the array parity bits are attached.

Example Binary string

10001011000100101111

is represented and encoded as follows

1	0	0	0	1		1	0	0	0	1	0
0	1	1	0	0		0	1	1	0	0	0
0	1	0	0	1	→	0	1	0	0	1	0
0	1	1	1	1		0	1	1	1	1	0
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Question How much better is two-dimensional encoding than one-dimensional encoding?

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Decoding was quite simple.

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Code rate (6/32 for Hadamard code), is an important parameter for real implementations, because it shows what fraction of the communication bandwidth is being used to transmit actual data.

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In such a case:

$$\sum_{i=1}^{10} (11-i)y_i = \sum_{i=1}^{10} (11-i)x_i + (11-j)a \neq 0 \pmod{11}$$

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Let x_j and x_k be exchanged.

$$\sum_{i=1}^{10} (11-i)y_i = \sum_{i=1}^{10} (11-i)x_i + (k-j)x_j + (j-k)x_k = (k-j)(x_j - x_k) \neq 0 \pmod{11}$$

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if $k \neq j$ and $x_j \neq x_k$.

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For details about 13-digit ISBN see

https://en.wikipedia.org/wiki/International_Standard_Book_Number

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Examples of equivalent codes

$$(1) \left\{ \begin{pmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 \end{pmatrix} \right\} \left\{ \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 & 1 \end{pmatrix} \right\}$$

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$$(2) \left\{ \begin{pmatrix} 0 & 0 & 0 \\ 1 & 1 & 1 \\ 2 & 2 & 2 \end{pmatrix} \right\} \left\{ \begin{pmatrix} 0 & 1 & 2 \\ 1 & 2 & 0 \\ 2 & 0 & 1 \end{pmatrix} \right\}$$

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Lemma Any q -ary (n, M, d) -code over an alphabet $\{0, 1, \dots, q-1\}$ is equivalent to an (n, M, d) -code which contains the all-zero codeword $00 \dots 0$.

Proof Trivial.

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EXAMPLE

Example Proof that $A_2(5, 3) = 4$.

- (a) Code C_3 , page (??), is a $(5, 4, 3)$ -code, hence $A_2(5, 3) \geq 4$.
- (b) Let C be a $(5, M, 3)$ -code with $M = 5$.
 - By previous lemma we can assume that $00000 \in C$.
 - C has to contain at most one codeword with at least four 1's. (otherwise $d(x, y) \leq 2$ for two such codewords x, y)
 - Since $00000 \in C$, there can be no codeword in C with at most one or two 1's.
 - Since $d = 3$, C cannot contain three codewords with three 1's.
 - Since $M \geq 4$, there have to be in C two codewords with three 1's. (say 11100, 00111), the only possible codeword with four or five 1's is then 11011.

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Hence $d(C')$ is even. Since $d \leq d(C') \leq d + 1$ and d is odd,

$$d(C') = d + 1.$$

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A COROLLARY

Corollary:

If d is odd, then $A_2(n, d) = A_2(n + 1, d + 1)$.

If d is even, then $A_2(n, d) = A_2(n - 1, d - 1)$.

Example

$$A_2(5, 3) = 4 \Rightarrow A_2(6, 4) = 4$$

$$(5, 4, 3)\text{-code} \Rightarrow (6, 4, 4)\text{-code}$$

$$\begin{array}{ccccc} 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 & 1 \end{array} \quad \text{by adding check.}$$

A SPHERE and its VOLUME

Notation F_q^n - is a set of all words of length n over the alphabet $\{0, 1, 2, \dots, q - 1\}$

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Proof Let u be a fixed word in F_q^n . The number of words that differ from u in m positions is

$$\binom{n}{m}(q-1)^m.$$

Theorem (The sphere-packing (or Hamming) bound)

If C is a q -nary $(n, M, 2t + 1)$ -code, then

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Singleton bound: If C is an q -ary (n, M, d) code, then

$$M \leq q^{n-d+1}$$

A GENERAL UPPER BOUND on $A_q(n, d)$

Example An $(7, M, 3)$ -code is perfect if

$$M \left(\binom{7}{0} + \binom{7}{1} \right) = 2^7$$

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An example of such a code:

$C_4 = \{0000000, 1111111, 1000101, 1100010, 0110001, 1011000, 0101100, 0010110, 0001011, 0111010, 0011101, 1001110, 0100111, 1010011, 1101001, 1110100\}$

Table of $A_2(n, d)$ from 1981

n	$d = 3$	$d = 5$	$d = 7$
5	4	2	-
6	8	2	-
7	16	2	2
8	20	4	2
9	40	6	2
10	72-79	12	2
11	144-158	24	4
12	256	32	4
13	512	64	8
14	1024	128	16
15	2048	256	32
16	2560-3276	256-340	36-37

For current best results see <http://www.codetables.de>

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For example, two main requirements for many telegraphy codes used to be:

- Any two codewords had to have distance at least 2;
- No codeword could be obtained from another codeword by transposition of two adjacent letters.

PICTURES of SATURN TAKEN by VOYAGER

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To transmit pictures Voyager used the so called Golay code G_{24} .

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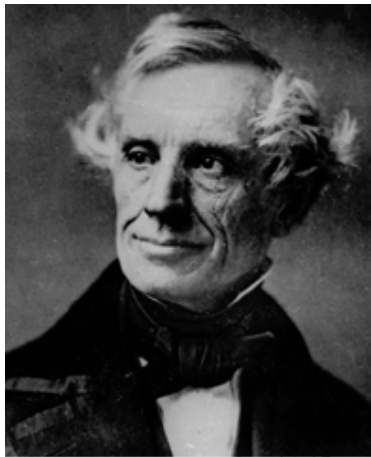
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Basic idea: Encode more (less) probable outputs of X by shorter (longer) binary words.

Example (Morse code - 1838)

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h	i ..	j .—	k -.-	l .-..	m -	n -.
o —	p .-.	q -.-	r .-	s ...	t -	u ..-
v ...-	w .-	x -.-	y -.-	z -..		

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Associated Press

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mess.	code	mess.	code	mess.	code	mess.	code
0000	10	0100	010	1000	011	1100	11101
0001	000	0101	11001	1001	11011	1101	111110
0010	001	0110	11010	1010	11100	1110	111101
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Observe that this is a **prefix code** - no codeword is a prefix of another codeword.

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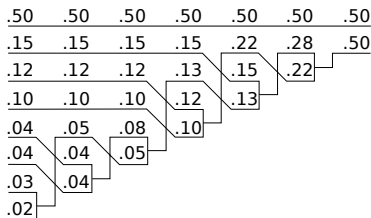
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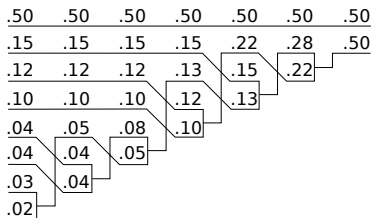


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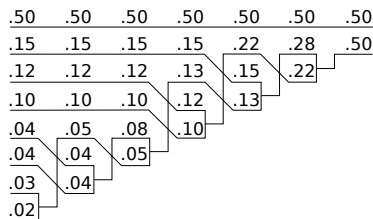


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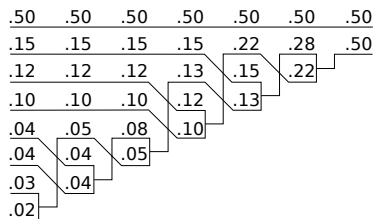
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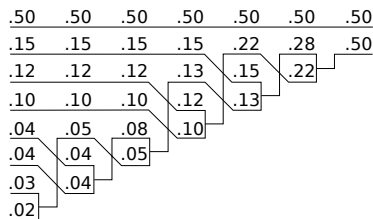
If $C = \{c_1, \dots, c_r\}$ is a prefix optimal code for a source S_r , then $C' = \{c'_1, \dots, c'_{r+1}\}$ is an optimal code for S_{r+1} , where

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- Keep doing the above step till the sequence shrinks to two objects.



Stage 2 - extending the code - Apply again and again the following method.

If $C = \{c_1, \dots, c_r\}$ is a prefix optimal code for a source S_r , then $C' = \{c'_1, \dots, c'_{r+1}\}$ is an optimal code for S_{r+1} , where

$$\begin{aligned}c'_i &= c_i & 1 \leq i \leq r-1 \\c'_r &= c_r 1 \\c'_{r+1} &= c_r 0.\end{aligned}$$

DESIGN of HUFFMAN CODE II

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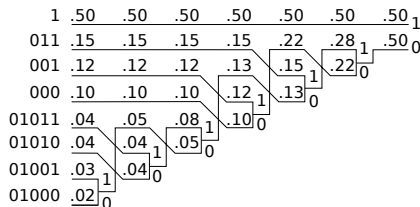
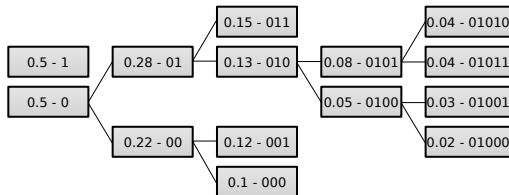
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Originally, information theory was a part of electrical engineering. Nowadays, it is an important part of mathematics and also of informatics.

The concept of **ENTROPY** is one of the most basic and important in modern science, especially in physics, mathematics and information theory.

So called **physical entropy** is a measure of the unavailable energy in a closed thermodynamics system (that is usually considered to be a measure of the system's disorder).

Entropy of an object is a measure of the amount of energy in the object which is unable to do some work.

Entropy is also a measure of the number of possible arrangements of the atoms a system can have.

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On the other side, if we have a process (random variable) Y producing value 0 with probability $\frac{1}{4}$ and value 1 with probability $\frac{3}{4}$, then we are more certain that the next value of the process will be 1 than 0.

History Rudolf Clausius coined the term **entropy** in 1865.

SHANNON's VIEW

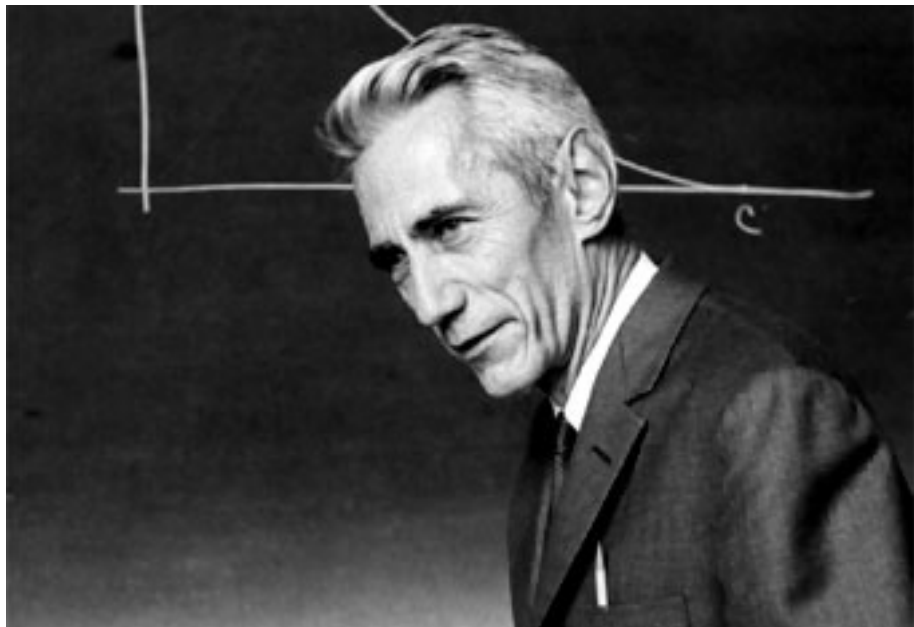
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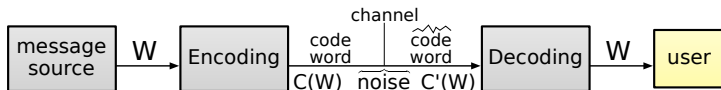
The fundamental problem of communication is that of reproducing at one point either exactly or approximately a message selected at another point.



APPENDIX

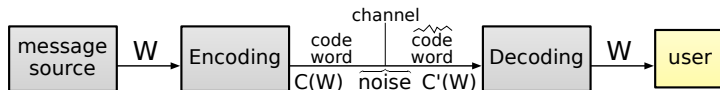
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At the beginning of this chapter the process **encoding-channel transmission-decoding** was illustrated as follows:



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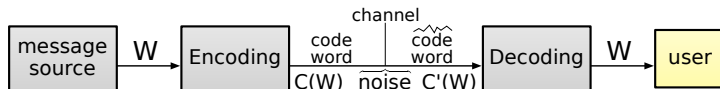
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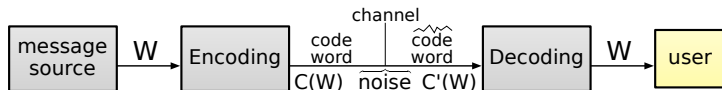


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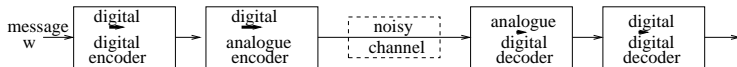
This is a simplified view of the whole process. **In practice the whole process looks quite differently.**

HARD versus SOFT DECODING II

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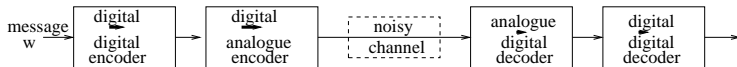
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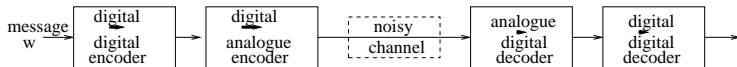


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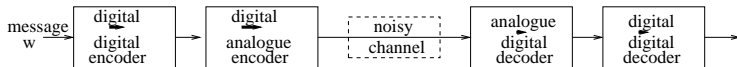


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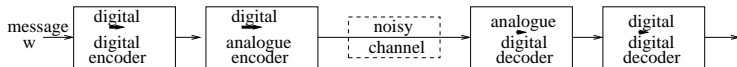


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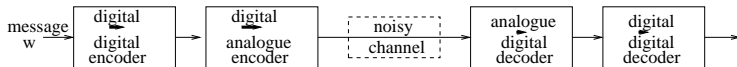


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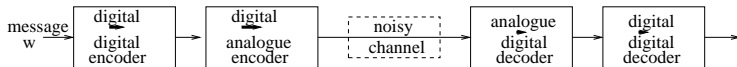
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In case the output of analogous-digital decoding is a pair (p_b, b) where p_b is the probability that the output is the bit b (or a weight of such a binary output (often given by a number from an interval $(-V_{max}, V_{max})$), we talk about a **soft decoding**.

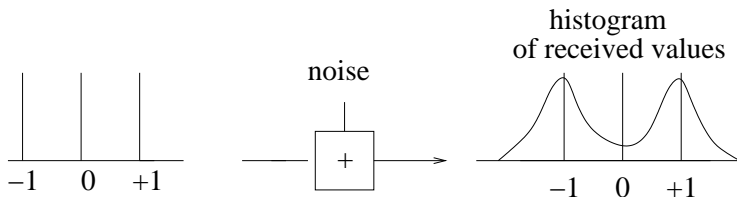
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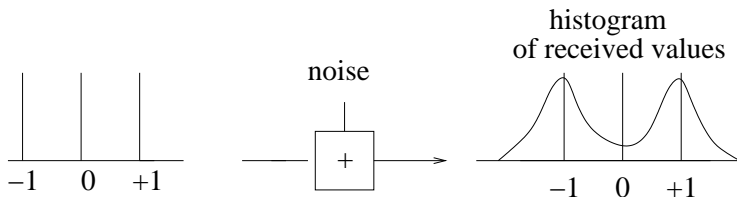
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A very important case in practise, especially for space communication, is so-called **additive white Gaussian noise (AWGN)** and the channel with such a noise is called **Gaussian channel**.

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For example, in an important practical case of the Gaussian white noise one search at the minimal likelihood decoding for a codeword with minimal **Euclidean distance**.

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Hard decoding is used mainly for block codes and soft one for stream codes. However, distinctions between these two families of codes are tending to blur.

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For the same code there can be many encoding algorithms that map the same set of datawords into different codewords.

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The binary elements 0 and 1 were first called **bits** by J. W. Tuckley in 1943.