# YGNEQOG VQ ETARVQITCRJA NGEVWTG

Technické řešení této výukové pomůcky je spolufinancováno Evropským sociálním fondem a státním rozpočtem České republiky.



#### INVESTICE DO ROZVOJE VZDĚLÁVÁNÍ

#### CONTENTS

- Basics of coding theory
- Linear codes
- S Cyclic, convolution and Turbo codes list decoding
- Secret-key cryptosystems
- Discrete Public-key cryptosystems, I. Key exchange, knapsack, RSA
- Public-key cryptosystems, II. Other cryptosystems, security, PRG, hash functions
- Digital signatures
- **B** Elliptic curves cryptography and factorization
- **Identification, authentication, privacy, secret sharing and e-commerce**
- **m** Protocols to do seemingly impossible and zero-knowledge protocols
- Steganography and Watermarking
- From theory to practice in cryptography
- Quantum cryptography
- History and machines of cryptography

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- For lectures I will use: computer slides, overhead projector slides and, sometimes, also the blackboard.

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- Likely, the most efficient use of the lectures is to print materials of each lecture before the lecture and to make your comments into them during the lecture.

- Lecture's web page contains also Appendix important very basic facts from the number theory and algebra that you should, but may not, know and you will need - read and learn them carefully.
- Whenever you find an error or misprint in lecture notes, let me know - extra points you get for that.

To your disposal there are also lecture notes called the "Exercises Book" that you can upload from the IS for the lecture IV054, through links "Ucebni materialy –¿ Exercise Book"

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Lecture notes contain selected exercises from the homeworks for the past lectures on Coding, Cryptography and Cryptographic Protocols" with solutions.

#### LITERATURE

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The history of cryptography is the story of centuries-old battles between codemakers (ciphermakers) and codebreakers (cipherbreakers).

This ongoing battle between codemakers and codebreakers has inspired a whole series of remarkable scientific breakthroughs.

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Cryptography, when broadly understood, is an important tool to achieve such goals.

#### Part I

### Basics of coding theory

## **PROLOGUE** - I.

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- Since that time coding theory has made another enormous progress that has allowed, among other things, almost perfect mobile communication and transmission of music in time and space.

# **ROSETTA** spacecraft



# ROSETTA LANDING - VIEW from 21 km -29.9.2016



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# ROSETTA LANDING - VIEW from 51 m -29.9.2016



# **CHAPTER 1: BASICS of CODING THEORY**

#### ABSTRACT

Coding theory - theory of error correcting codes - is one of the most interesting and applied part of informatics.

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This first chapter presents and illustrates the very basic problems, concepts, methods and results of coding theory.

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# **PROLOGUE** - II.

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- against noise or even unintended user,
- using mainly classical, but also quantum tools.

# **CODING - BASIC CONCEPTS**





Error correcting framework



Error correcting framework

Example





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A code C over an alphabet  $\Sigma$  is a nonempty subset of  $\Sigma^*(C \subseteq \Sigma^*)$ .



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**Example:** 0 is encoded as 00000 and 1 is encoded as 11111.

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Shannon stochastic (probabilistic) noise model: Pr(y|x) (probability of the output y if the input is x) is known and the probability of too many errors is low. **Discrete channels** and **continuous channels** are main types of channels.

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- Shannon stochastic (probabilistic) noise model: Pr(y|x) (probability of the output y if the input is x) is known and the probability of too many errors is low.
- Hamming adversarial (worst-case) noise model: Channel acts as an adversary that can arbitrarily corrupt the input codewords subject to a given bound on the number of errors.

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- *Pr* is a probability distribution on  $\Sigma \times \Omega$  and for each  $i \in \Sigma$ ,  $o \in \Omega$ , Pr(i, o) is the probability that the output of the channel is *o* if the input is *i*.

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Binary symmetric channel maps, with fixed probability  $p_0$ , each binary input into opposite one. Hence,  $Pr(0, 1) = Pr(1, 0) = p_0$  and  $Pr(0, 0) = Pr(1, 1) = 1 - p_0$ .

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**Summary:** The task of a communication channel coding is to encode the information to be sent over the channel in such a way that even in the presence of some channel noise, several (a specific number of) errors can be detected and/or corrected. Details of the techniques used to protect information against noise in practice are sometimes rather complicated, but basic principles are mostly easily understood. Details of the techniques used to protect information against noise in practice are sometimes rather complicated, but basic principles are mostly easily understood.

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# The key idea is that in order to protect a message against a noise, we should encode the message by adding some redundant information to the message.

This should be done in such a way that even if the message is corrupted by a noise, there will be enough redundancy in the encoded message to recover – to decode the message completely.

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then the probability of an erroneous decoding (for the case of 2 or 3 errors) is

$$3p^2(1-p) + p^3 = 3p^2 - 2p^3 < p$$

111

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Three ways to encode the safe route from Bob to Alice are:

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 $C3 = \{00000, 01101, 10110, 11011\}$ 

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the receiver should decode a received word w'

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the codeword w that is the closest one to w'.

prof. Jozef Gruska

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# **BINARY SYMMETRIC CHANNEL**



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## **POWER of PARITY BITS**

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Corollary One undetected error occurs only once every 2000 days! (2000  $\approx \frac{10^9}{5.5 \times 86400}$ ).

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						0	1	1	0	0	0
0	1	1	0	0	$\rightarrow$	0	1	0	0	1	0
0	1	0	0	1		0	1	1	1	1	0
0	1	1	1	1		0	T	T	T	T	0
U	-	-	-	-		1	1	0	1	1	0

Question How much better is two-dimensional encoding than one-dimensional encoding?

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Comment: A good (n, M, d)-code has small n, large M and also large d.

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Transmission rate was 16200 bits per second. (Much better quality pictures could be received)

Hadamard code has 64 codewords. 32 of them are represented by the 32  $\times$  32 matrix  $H = \{h_{IJ}\}$ , where  $0 \le i, j \le 31$  and

$$h_{ij} = (-1)^{a_0 b_0 + a_1 b_1 + \ldots + a_4 b_4}$$

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Code rate (6/32 for Hadamard code), is an important parameter for real implementations, because it shows what fraction of the communication bandwidth is being used to transmit actual data.

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In such a case:

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For details about 13-digit ISBN see

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Lemma Any q-ary (n, M, d)-code over an alphabet  $\{0, 1, \ldots, q-1\}$  is equivalent to an (n, M, d)-code which contains the all-zero codeword  $00 \ldots 0$ . Proof Trivial.

prof. Jozef Gruska

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### **EXAMPLE**

Example Proof that  $A_2(5,3) = 4$ .

- (a) Code  $C_3$ , page (??), is a (5, 4, 3)-code, hence  $A_2(5, 3) \ge 4$ .
- (b) Let C be a (5, M, 3)-code with M = 5.
  - By previous lemma we can assume that  $00000 \in C$ .
  - *C* has to contain at most one codeword with at least four 1's. (otherwise  $d(x, y) \le 2$  for two such codewords x, y)
  - Since  $00000 \in C$ , there can be no codeword in C with at most one or two 1.
  - Since d = 3, C cannot contain three codewords with three 1's.
  - Since  $M \ge 4$ , there have to be in *C* two codewords with three 1's. (say 11100, 00111), the only possible codeword with four or five 1's is then 11011.
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Find a position in which x, y differ and delete this position from all codewords of D. Resulting code is an (n, M, d)-code.

#### Corollary:

If d is odd, then  $A_2(n, d) = A_2(n + 1, d + 1)$ . If d is even, then  $A_2(n, d) = A_2(n - 1, d - 1)$ .

Example

$$\begin{array}{c} A_2(5,3) = 4 \Rightarrow A_2(6,4) = 4 \\ (5,4,3)\text{-code} \Rightarrow (6,4,4)\text{-code} \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 & 1 \end{array} \text{by adding check.}$$

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**Proof** Let u be a fixed word in  $F_q^n$ . The number of words that differ from u in m positions is

$$\binom{n}{m}(q-1)^m$$
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Theorem (The sphere-packing (or Hamming) bound) If C is a q-nary (n, M, 2t + 1)-code, then

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Proof Since minimal distance of the code C is 2t + 1, any two spheres of radius t centred on distinct codewords have no codeword in common. Hence the total number of words in M spheres of radius t centred on M codewords is given by the left side in (1).

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**Proof** Since minimal distance of the code *C* is 2t + 1, any two spheres of radius *t* centred on distinct codewords have no codeword in common. Hence the total number of words in *M* spheres of radius *t* centred on *M* codewords is given by the left side in (1). This number has to be less or equal to  $q^n$ .

Theorem (The sphere-packing (or Hamming) bound) If C is a q-nary (n, M, 2t + 1)-code, then

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Singleton bound: If C is an q-ary (n, M, d) code, then

$$M \leq q^{n-d+1}$$

# A GENERAL UPPER BOUND on $A_q(n, d)$

Example An (7, M, 3)-code is perfect if

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An example of such a code:

 $\label{eq:C4} \begin{array}{l} C4 = \{0000000, 1111111, 1000101, 1100010, 0110001, 1011000, 0101100, \\ 0010110, 0001011, 0111010, 0011101, 1001110, 0100111, 1010011, 1101001, 1110100\} \end{array}$ 

Table of  $A_2(n, d)$  from 1981

n	<i>d</i> = 3	d = 5	<i>d</i> = 7
5 6	4	2	-
	8	2	-
7	16	2	2
8	20	4	2
9	40	6	2
10	72-79	12	2
11	144-158	24	4
12	256	32	4
13	512	64	8
14	1024	128	16
15	2048	256	32
16	2560-3276	256-340	36-37

For current best results see <a href="http://www.codetables.de">http://www.codetables.de</a>

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## **ERROR DETECTION**

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For example, two main requirements for many telegraphy codes used to be:

- Any two codewords had to have distance at least 2;
- No codeword could be obtained from another codeword by transposition of two adjacent letters.

## PICTURES of SATURN TAKEN by VOYAGER

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 $3 \times 800 \times 800 \times 8 = 13360000$  bits. To transmit pictures Voyager used the so called Golay code  $G_{24}$ . Important problems of information theory are how to define formally such concepts as information and how to store or transmit information efficiently.

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Let X be a random variable (source) which takes any value x with probability p(x).

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Problem: What is the minimal number of bits needed to transmit *n* values of *X*? Basic idea: Encode more (less) probable outputs of X by shorter (longer) binary words. Example (Moorse code - 1838)

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0010	001	0110	11010	1010	11100	1110	111101
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Observe that this is a prefix code - no codeword is a prefix of another codeword.

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$$egin{aligned} c_i' &= c_i & 1 \leq i \leq r-1 \ c_r' &= c_r 1 \ c_{r+1}' &= c_r 0. \end{aligned}$$

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## SHANNON's VIEW

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## APPENDIX

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In case the output of analogous-digital decoding is a pair  $(p_b, b)$  where  $p_b$  is the probability that the output is the bit b (or a weight of such a binary output (often given by a number from an interval  $(-V_{max}, V_{max})$ ), we talk about a soft decoding.

prof. Jozef Gruska

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A transmission channel with analogue antipodal signals can then be depicted as follows.



A very important case in practise, especially for space communication, is so-called additive white Gaussian noise (AWGN) and the channel with such a noise is called Gaussian channel.

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For example, in an important practical case of the Gaussian white noise one search at the minimal likelihood decoding for a codeword with minimal Euclidean distance.

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Hard decoding is used mainly for block codes and soft one for stream codes. However, distinctions between these two families of codes are tending to blur.

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- For the same code there can be many encoding algorithms that map the same set of datawords into different codewords.

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- The era of Morse telegraph ended on 26.1.2006 when the main telegraph company in US, Western Union, announced cancelation of all telegraph services.

In his telegraphs Moorse used the following two-character audio alphabet

- TIT or dot a short tone lasting four hundredths of second;
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The binary elements 0 and 1 were first called bits by J. W. Tuckley in 1943.