Part I

Public-key cryptosystems basics: I. Key exchange, knapsack, RSA

INGENIOUS IDEA

In the last lecture we considered only **symmetric key cryptosystems** - in which both communicating party used the same (that is symmetric) key. Till 1977 all cryptosystems used were symmetric. **The main issue was then absolutely secure distribution of the symmetric key.**

Symmetric key cyptosystms are also called **private key cryptosystems** because the communicating parties use unique private key - no one else should know that key.

The realization that a cryptosystem does not need to be symmetric/private can be seen as the single most important breakthrough in the modern cryptography and as one of the key discoveries leading to the internet and to information society.

PROLOGUE

From the practical point of view, encryptions by a specific cryptosystem can be considered as secure if they cannot be broken

by many (thousands) supercomputers with the exaflop performance

working for several years.

MOST POWERFUL SUPERCOMPUTERS NOWADAYS

1. **Sunway TaihuLights, China, Wuxi, 93 -125 petaflops, 10,650.000 cores**
2. Tianhe-2, China, 34-55 petaflops, 3,120.000 cores
3. Piz Diant, Switzerland, 19.6 petaflops
4. Titan, Cray XK7, OAK Ridge, 17.6 petaflops, 560,640 processors
5. Sequoia, IBM BlueGene, 16.32 petaflops, 1,472,864 cores

In April 2013 (June 2014) [June 2015] there were 26 (37) [68] computer systems with more than one petaflop performance.

Performance of the 100th computer increased in six months from 172 to 241 Teraflops

Out of 500 most powerful computer systems in June 2014, 233 was in US, 123 in Asia, 105 in Europe, 76 in China, 30 in UK, 30 in Japan, 27 in France, 11 in India... In **June 2016, 167 in China, 166 in USA,...**

Exaflops computers (10^{18}) are expected in China - 2020;: USA - 2023, India 202?. zetaflops 10^{21} in ???, yotaflops 10^{24} in ??????

Combined performance of 500 top supercomputers was 361 petaflops in June 2015, and 274 petaflops a year ago - 31% increase in one year.

Supercomputer Salomon in Ostrava, with performance 1.407 petaflops was on 40th place in June 2015; best in India on 79th place.

The main problem of secret key (or symmetric or privte) cryptography is that in order to send securely

a message
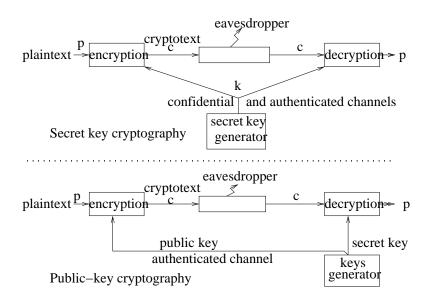
there is a need to send, at first, securely

a secret/private key.

Therefore, **private key cryptography is not a sufficiently good tool for massive communication capable to protect secrecy, privacy and anonymity.**

From practical point of view, encryptions by a specific cryptosystem can be considered as secure if they cannot be broken even when many (thousands) supercomputers with exaflop performance can be used to work for several (many) years.

SYMMETRIC versus ASYMMETRIC CRYPTOSYSTEMS

Secret key cryptography

Public−key cryptography

PUBLIC KEY CRYPTOGRAPHY

In this chapter we first describe the birth of public key cryptography, that can better manage the key distribution problem, and then two important public-key cryptosystems, especially **RSA** cryptosystem.
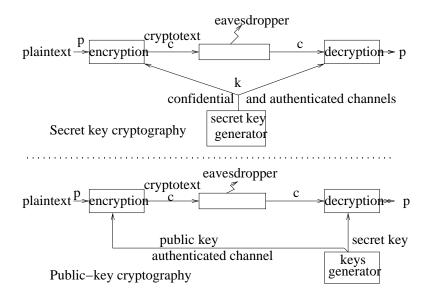
The basic idea of a public key cryptography:

In a public key cryptosystem not only the encryption and decryption algorithms are public, but for each user U also the key e_U for encrypting messages (by anyone) for U is public.

Moreover, each user U gets/creates and keeps secret a specific (decryption) key, d_U, that can be used for decryption of messages that were addressed to him and encrypted with the help of the public encryption key e_U.

Encryption and decryption keys of public key cryptography could (and should) be different - we can therefore say also that public-key cryptography is **asymmetric cryptography**. Secret key cryptography, that has the same key for encryption and for decryption is then called also as **symmetric cryptography**.

SYMMETRIC versus ASYMMETRIC CRYPTOSYSTEMS

Secret key cryptography

Public−key cryptography

KEY DISTRIBUTION PROBLEM

KEYS DISTRIBUTION PROBLEM - HISTORY

- **The main problem of secret-key cryptography**: Before two users can exchange secretly (a message) they must already share a secret (encryption/decryption) key.

- Key distribution has been a big problem for 2000 of years, especially during both World Wars.

- **Around 1970** a vision of an internet started to appear (ARPAnet was created in 1969) and it started to be clear that an enormous communication potential that a whole world connecting network could provide, could hardly be fully utilized unless secrecy of communication can be established. Therefore the **key distribution problem** **started to be seen as the problem of immense importance**.

- For example around 1970 only US government institutions needed to distribute daily tons of keys (on discs, tapes,...) to users they planned to communicate with.

- Big banks had special employees that used to travel all the time around the world and to deliver keys, in special briefcases, to everyone who had to get a message next week.

- Informatization of society was questioned because if governments had problems with key distribution how smaller companies could handle the key distribution problem without bankrupting?

- At the same time, the **key distribution problem** used to be considered, practically by all, as an **unsolvable problem**.

Whitfield Diffie (1944), graduated in mathematics in 1965, and started to be obsessed with the key distribution problem - he realized that whoever could find a solution of this problem would go to history as one of the all-time greatest cryptographers.

In 1974 Diffie convinced **Martin Hellman** (1945), a professor in Stanford, to work together on the key distribution problem - Diffie was his graduate student.

In 1975 they got a basic idea that the key distribution may not be needed. Their ideat can be now illustrated as follows:

A padlock protocol

- If Alice wants to send securely a message to Bob, she puts the message into a box, locks the box with a padlock and sends the box to Bob.
- Bob has no key to open the box. He gets angry and uses another padlock to double-lock the box and sends this now doubly padlocked box back to Alice.
- Alice uses her key to unlock her padlock (but, of course, she cannot unlock Bob's padlock) and sends the box back to Bob.
- Bob uses his key to unlock his (now single) padlock and reads the message.

Great idea was born. The problem then was to find a computational realization of this great idea. The first idea - to model locking of padlocks by doing an encryption.

FIRST ATTEMPT to DIGITALIZE THE PADLOCK PROTOCOL

Let us try to replace the locking of padlocks by substitution encryptions.

Let Alice use the encryption substitution.

```
a b c d e f g h i j k l m n o p q r s t u v w x y z
H F S U G T A K V D E O Y J B P N X W C Q R I M Z L
```

Let Bob use the encryption substitution.

```
a b c d e f g h i j k l m n o p q r s t u v w x y z
C P M G A T N O J E F W I Q B U R Y H X S D Z K L V
```

Message	m	e	e	t	m	e	a	t	n	o	o	n
Alice's encrypt.	Y	G	G	C	Y	G	H	C	J	B	B	J
Bob's encrypt.	L	N	N	M	L	N	O	M	E	P	P	E
Alice's decrypt.	Z	Q	Q	X	Z	Q	L	X	K	P	P	K
Bob's decrypt.	w	n	n	t	w	n	y	t	x	b	b	x

Observation The first idea does not work. Why?
1 Encryptions and decryptions were not commutative. A new idea: **One-way functions(encryption substitutions)** are needed

ONE-WAY FUNCTIONS

Informally, a function $F : N \to N$ is said to be a **one-way function** if it is easily computable - in polynomial time - but any computation of its inverse is infeasible.

A **one-way permutation** is a 1-1 one-way function.

A more formal approach

Definition A function $f : \{0, 1\}^* \to \{0, 1\}^*$ is called a strongly one-way function if the following conditions are satisfied:

1. f can be computed in polynomial time;
2. there are $c, \varepsilon > 0$ such that $|x|^\varepsilon \le |f(x)| \le |x|^c$;
3. for every randomized polynomial time algorithm A, and any constant $c > 0$, there exists an n_c such that for $|x| = n > n_c$

$$P_r(A(f(x)) \in f^{-1}(f(x))) < \frac{1}{n^c}.$$

Candidates: Modular exponentiation: $f(x) = a^x \bmod n$
Modular squaring $f(x) = x^2 \bmod n, n - a$ Blum integer
Prime number multiplication $f(p, q) = pq$.

A function $f : X \rightarrow Y$ is called **one-way function** if it is easy to compute easily $f(x)$ for any $x \in X$, but **it is hard to compute $f^{-1}(y)$** for most randomly selected y in the range of f.

A function $f : X \rightarrow Y$ is called **one-way function** if it is easy to compute easily $f(x)$ for any $x \in X$, but **it is hard to compute any partial information about $f^{-1}(y)$** for most of the randomly selected y in the range of f.

APPLICATION of ONE-WAY FUNCTIONS - COMPUTER PASSWORDS SECRECY PROBLEM

A **naive solution** to the password secrecy problem is to keep in computer a file with entries as

<div align="center">login CLINTON password BUSH,</div>

that is to keep a list of login names and corresponding passwords. This is obviously not **safe** enough.

A **more safe method** is to keep in the computer a file with entries as

<div align="center">login CLINTON password BUSH one-way function f_c</div>

where BUSH is a "public" password and CLINTON is the only one that knows a "secret" password, say MADONNA, such that

$$f_c(MADONNA) = BUSH$$

To store passwords in the way described on previous slide already in 1974 Purdy used the following very hard to invert function

$$f(x) = x^{2^{24}+17} + a_1 x^{2^{24}+3} + a_2 x^3 + a_4 x + a_5 \quad \mod (2^{64} - 59)$$

where all coefficients a_i were arbitrary 19-digits integers.

This function is indeed **very hard to invert**, but it is still not yet **one-way function**.

PUBLIC ESTABLISHMENT of SECRET KEYS

Main problem of the secret-key cryptography: is a need to make a secure distribution (establishment) of secret keys ahead of intended transmissions.

Diffie+Hellman solved this problem of key distribution first in 1976 by designing **a protocol for secure key establishment (distribution) over public communication channels.**

Diffie-Hellman Protocol: If two parties, Alice and Bob, want to create a common secret key, then they first agree, somehow, on large primes p and a $q < p$ of large order in Z_p^* and then they perform, using a public channel, the following activities.

- Alice chooses, randomly, a large $1 \le x < p - 1$ and computes
$$X = q^x \bmod p.$$

- Bob also chooses, again randomly, a large $1 \le y < p - 1$ and computes
$$Y = q^y \bmod p.$$

- Alice and Bob exchange **X** and **Y**, through a public channel, but keep x, y secret.

- Alice now computes $Y^x \bmod p$ and Bob computes $X^y \bmod p$. After that each of them has the same (**key**)
$$k = q^{xy} \bmod p = Y^x \bmod p = X^y \bmod p$$

An eavesdropper seems to need, in order to determine x from **X, q, p** and y from **Y, q, p**, a capability to compute discrete logarithms, or to compute q^{xy} from q^x and q^y, what is believed to be infeasible.

MERKLE JOINING DIFFIE-HELLMAN

After Diffie and Hellman announced their solution to the key generation problem, Ralph Merkle claimed, and could prove, that he had a similar idea some years ago.

That is the way why some people talk now about Merkle-Diffie-Hellman key exchange.

MAN-IN-THE-MIDDLE ATTACKS

The following attack, called " a man-in-the-middle attack, is possible against the Diffie-Hellman key establishment protocol.

1. Eve chooses an integer (exponent) z.
2. Eve intercepts q^x and q^y – when they are sent from Alice to Bob and from Bob to Alice.
3. Eve sends q^z to both Alice and Bob. (After that Alice believes she has received q^y and Bob believes he has received q^x.)
4. Eve computes $K_A = q^{xz} \pmod{p}$ and $K_B = q^{yz} \pmod{p}$.
   Alice, not realizing that Eve is in the middle, also computes K_A and
   Bob, not realizing that Eve is in the middle, also computes K_B.
5. When Alice sends a message to Bob, encrypted with K_A, Eve intercepts it, decrypts it, then encrypts it with K_B and sends it to Bob.
6. Bob decrypts the message with K_B and obtains the message. At this point he has no reason to think that communication was insecure.
7. Meanwhile, Eve enjoys reading Alice's message.

SECURE COMMUNICATION with SECRET-KEY CRYPTOSYSTEMS

and without any need for secret key distribution

The idea contained in the above mention padlock protocol has been materialized by Shamir as follows:

(**Shamir's "no-key algorithm"**)

Basic assumption: Each user X has its own

secret encryption function e_X

secret decryption function d_X

and all these functions commute (to form a commutative cryptosystem).

Communication protocol

with which Alice can send a message w to Bob.

1. Alice sends $e_A(w)$ to Bob
2. Bob sends $e_B(e_A(w))$ to Alice
3. Alice sends $d_A(e_B(e_A(w))) = e_B(w)$ to Bob
4. Bob performs the decryption to get $d_B(e_B(w)) = w$.

Disadvantage: 3 communications are needed (in such a context 3 is a too large number).
Advantage: It is a perfect protocol for secret distribution of messages.

Diffie and Hellman demonstrated their discovery of the hey establishment protocol at the National Computer Conference in June 1976 and astonished the audience.

Next year they applied for a US-patent.

However, the solution of the key distribution problem through Diffie-Hellman protocol could still be seen as not good enough. Why?

The protocol required still too much communication and a cooperation of both parties for quite a time.

BIRTH of PUBLIC KEY CRYPTOGRAPHY II

Already in 1975 Diffie got the an idea for key distribution that seemed to be better: To design asymmetric cryptosystems - public key cryptosystems.

The basic idea was that in a public key cryptosystem not only the encryption and decryption algorithms would be public, but for each user U also the key e_U for encrypting messages (by anyone) for U would be public, and each user U would keep secret another key, d_U, that could be used for decryption of messages that were addressed to him and encrypted with the help of public encryption key e_U.

The realization that a cryptosystem does not need to be symmetric can be seen nowadays as the single most important breakthrough in modern cryptography.

Diffie published his idea in the summer of 1975 in spite of the fact that he had no idea how to design such a system that would be efficient.

To turn asymmetric cryptosystems from a great idea into a practical invention, somebody had to discover an appropriate mathematical function.

Mathematically, the problem was to find a simple enough so-called **one-way trapdoor function**.

A search (hunt) for such a function started.

TRAPDOOR ONE-WAY FUNCTIONS

The key concept for design of public-key cryptosystems stsrted to be that of trapdoor one-way functions.

A function $f : X \to Y$ is a trapdoor one-way function if

- **f and its inverse can be computed efficiently, but.**
- **even the complete knowledge of the algorithm to compute f does not make it feasible to determine a polynomial time algorithm to compute the inverse of f.**
- **However, the inverse of f can be computed efficiently if some special, "trapdoor", knowledge is available.**

New, bery basic, problem: How to find such a (trapdoor one-way) function?

New basic idea: To make a clever use of outcomes of computational complexity theory.

CRYPTOGRAPHY and COMPUTATIONAL COMPLEXITY

Modern cryptography uses such encryption methods that no "enemy" can have enough computational power and time to do decryption (even those capable to use thousands of supercomputers during tens of years for encryption).

Modern cryptography is based on negative and positive results of complexity theory – on the fact that for some algorithm problems no efficient algorithm seem to exists, surprisingly, and for some "small" modifications of these problems, even more surprisingly, simple, fast and good (randomized) algorithms do exist. Examples:

Integer factorization: Given an integer $n(= pq)$, it is, in general, unfeasible, to find p, q.

There is a list of "most wanted to factor integers". Top recent successes, using thousands of computers for months.

(*) Factorization of $2^{2^9} + 1$ with 155 digits (1996)

(**) Factorization of a "typical" 232 digits integer RSA-768 (2009)

Primes recognition: Is a given n a prime? – fast randomized algorithms exist (1977). The existence of polynomial deterministic algorithms for primes recognition has been shown only in 2002

COMPUTATIONALLY INFEASIBLE PROBLEMS

Discrete logarithm problem: Given integers x, y, n, determine an integer a such that $y \equiv x^a \pmod{n}$ – infeasible in general.

Discrete square root problem: Given integers y, n, compute an integer x such that $y \equiv x^2 \pmod{n}$ – infeasible in general, but easy if factorization of n is known

Knapsack problem: Given a (knapsack - integer) vector $X = (x_1, \ldots, x_n)$ and an (integer capacity) c, find a binary vector $(b_1, \ldots, b_n)$ such that

$$\sum_{i=1}^{n} b_i x_i = c.$$

Problem is *NP*-hard in general, but easy if $x_i > \sum_{j=1}^{i-1} x_j$, for all $1 < i \leq n$.

A **candidate for a one-way trapdoor function:**
modular squaring $\sqrt{y} \bmod n$ with a fixed modulus n.

- computation of discrete square roots is unfeasible in general, but quite easy if the decomposition of the modulus **n** into primes is known.

A way to design a trapdoor one-way function is to transform an easy case of a hard (one-way) function to a hard-looking case of such a function, that can be, however, solved easily by those knowing how the above transformation was performed.

FORMAL VIEW of PUBLIC-KEY CRYPTOSYSTEMS

A public-key cryptosystem consists of three fixed and publically known deterministic algorithms:

- E — encryption algorithm;
- D — decryption algorithm;
- G — key-generation algorithm

In addition: the following binary words will be considered:

- M — message;
- C — cryptotext
- T — trapdoor

Prior transformation any message of length n any receiver R generates a trapdor T_R, say randomly, where the size of the trapdoor is polynomial in n, and then computes the pair $(K_{T_R,e}, K_{T_R,d})$.

$K_{T_R,e}$ is made public, but $K_{T_R,d}$ and T_R keps R secret.

When any sender S wants to send a message M to the receiver R, S firstt encrypts M iusing public key $K_{T_R,e}$ to get a cryptotext C. Then S nd sends C to R through any public channel. The receiver R gets then M by decrypting C using the key $K_{T_R,d}$.

The realization that a cryptosystem does not need to be symmetric can be seen as the single most important breakthrough in the modern cryptography.

Interesting and important public key cryptosystems were developed on the base of the KNAPSACK PROBLEM and its modifications

GENERAL, UNFEASIBLE, KNAPSACK PROBLEM

KNAPSACK PROBLEM: Given an integer-vector $X = (x_1, \ldots, x_n)$ and an integer c. Determine a binary vector $B = (b_1, \ldots, b_n)$ (if possible) such that $XB^T = c$.

However, the Knapsack problem with a superincreasing vector is easy.

Problem Given a **superincreasing integer-vector** $X = (x_1, \ldots, x_n)$ (i.e. $x_i > \sum_{j=1}^{i-1} x_j$, for all $i > 1$) and an integer c,

determine a binary vector $B = (b_1, \ldots, b_n)$ (if it exists) such that $XB^T = c$.

Algorithm – to solve knapsack problems with superincreasing vectors:

> **for** $i = n \leftarrow$ **downto 2 do**
>   **if** $c \geq 2x_i$ **then** terminate {no solution}
>   **else if** $c \geq x_i$ **then** $b_i \leftarrow 1; c \leftarrow c - x_i;$
>   **else** $b_i = 0;$
> **if** $c = x_1$ **then** $b_1 \leftarrow 1$
>   **else if** $c = 0$ **then** $b_1 \leftarrow 0;$
>   **else** terminate {no solution}

Example $X = (1, 2, 4, 8, 16, 32, 64, 128, 256, 512)$, $c = 999$
                         $X = (1, 3, 5, 10, 20, 41, 94, 199)$, $c = 242$

KNAPSACK and MCELIECE CRYPTOSYSTEMS

KNAPSACK ENCRYPTION – BASIC IDEAS

Let a (knapsack) vector (of integers)

$$A = (a_1, \ldots, a_n)$$

be given.

Encryption of a (binary) message/plaintext $B = (b_1, b_2, \ldots, b_n)$ by A is done by the vector × vector multiplication:

$$AB^T = c$$

and results in the cryptotext c.

Decoding of c requires to solve the knapsack problem for the instant given by the knapsack vector A and the cryptotext c.

The problem is that decoding seems to be infeasible.

Example
If $A = (74, 82, 94, 83, 39, 99, 56, 49, 73, 99)$ and $B = (1100110101)$ then

$$AB^T =$$

DESIGN of KNAPSACK CRYPTOSYSTEMS

1. Choose a superincreasing (raw) vector $X = (x_1, \ldots, x_n)$.
2. Choose integers $\mathbf{m}, \mathbf{u}$ such that $m > 2x_n$, $\gcd(m, u) = 1$.
3. Compute $u^{-1} \bmod m$, $X' = (x'_1, \ldots, x'_n)$, $x'_i = \underbrace{u x_i}_{\text{diffusion}} \bmod m$.

$$\underbrace{}_{\text{confusion}}$$

Cryptosystem: X' – public key
                  X, u, m – trapdoor information

Encryption: of a binary message (vector) w of length n: $c = X'w^T$

Decryption: compute $c' = u^{-1}c \bmod m$
    and solve the knapsack problem with X and c'.

Lemma Let X, m, u, X', c, c' be as defined above. Then the knapsack problem instances (X, c') and (X', c) have at most one solution, and if one of them has a solution, then the second one has the same solution.

Proof Let $X'w^T = c$. Then

$$c' \equiv u^{-1}c \equiv u^{-1}X'w^T \equiv u^{-1}uXw^T \equiv Xw^T (\bmod\ m).$$

Since X is superincreasing and $m > 2x_n$ we have

$$(Xw^T) \bmod m = Xw^T$$

and therefore
$$c' = Xw^T.$$

DESIGN of KNAPSACK CRYPTOSYSTEMS – EXAMPLE

Example $X = (1,2,4,9,18,35,75,151,302,606)$
                     $m = 1250$, $u = 41$
                     $X' = (41,82,164,369,738,185,575,1191,1132,1096)$

In order to encrypt an English plaintext, we first encode its letters by 5-bit numbers _ - 00000, A - 00001, B - 00010,... and then divide the resulting binary strings into blocks of length 10.

Plaintext: Encoding of AFRICA results in vectors

$$w_1 = (0000100110) \qquad w_2 = (1001001001) \qquad w_3 = (0001100001)$$

Encryption:
$c_{1'} = X'w_1^T = 3061 \qquad c_{2'} = X'w_2^T = 2081 \qquad c_{3'} = X'w_3^T = 2203$

Cryptotext: (3061,2081,2203)

Decryption of cryptotexts: (2163, 2116, 1870, 3599)

By multiplying with $u^{-1} = 61$ (mod 1250) we get new cryptotexts (several new c')

$$(693, 326, 320, 789)$$

And, in the binary form, solutions B of equations $XB^T = c'$ have the form

$$(1101001001, 0110100010, 0000100010, 1011100101)$$

Therefore, the resulting plaintext is: ZIMBABWE

STORY of KNAPSACK

Invented: 1978 - Ralph C. Merkle, Martin Hellman
Patented: in 10 countries
Broken: 1982: Adi Shamir

New idea: to use iterated knapsack cryptosystem with **hyper-reachable vectors**.

Definition A knapsack vector $X' = (x_{1'}, \ldots, x_{n'})$ **is obtained from a knapsack vector** $X = (x_1, \ldots, x_n)$ **by strong modular multiplication if**

$$x_i' = ux_i \bmod m, i = 1, \ldots, n,$$
$$m > 2 \sum_{i=1}^{n} x_i$$

where

and $gcd(u, m) = 1$. A knapsack vector X' is called hyper-reachable, if there is a sequence of knapsack vectors $Y = X_0, X_1, \ldots, X_k = X'$,

where X_0 is a super-increasing vector, and for $i = 1, \ldots, k$ X_i is obtained from X_{i-1} by a strong modular multiplication.

Iterated knapsack cryptosystem was broken **in 1985 - by E. Brickell**

New idea: to use **knapsack cryptosystems with dense vectors**. Density of a knapsack vector $X = (x_1, \ldots, x_n)$ is defined by $d(x) = \frac{n}{log(max\{x_i | 1 \le i \le n\})}$

Remark. Density of super-increasing vectors of length n is $\le \frac{n}{n-1}$

KNAPSACK CRYPTOSYSTEM – COMMENTS

The term "knapsack" in the name of the cryptosystem is quite misleading.

By **Knapsack problem** one usually understands the following problem:

Given n items with weights $w_1, w_2, \ldots, w_n$, values $v_1, v_2, \ldots, v_n$ and a knapsack limit c, the task is to find a bit vector $(b_1, b_2, \ldots, b_n)$ such that $\sum_{i=1}^{n} b_i w_i \leq c$ and $\sum_{i=1}^{n} b_i v_i$ is as large as possible.

The term **subset problem** is usually used for problems deployed in our construction of knapsack cryptosystems. It is well-known that the decision version of this problem is *NP*-complete.

For our version of the **knapsack problem** the term **Merkle-Hellman (Knapsack) Cryptosystem** is often used.

McELIECE CRYPTOSYSTEM

McEliece cryptosystem is based on a similar design principle as the Knapsack cryptosystem. McEliece cryptosystem is formed by transforming an easy to break cryptosystem (based on an easy to decode linear code) into a cryptosystem that is hard to break (because it seems to be based on a linear code that is, in general, *NP*-hard).

The underlying fact is that the decision version of the decryption problem for linear codes is in general *NP*-complete. However, for special types of linear codes polynomial-time decryption algorithms exist. One such a class of linear codes, the so-called Goppa codes, are often used to design McEliece cryptosystem.

Goppa codes are $[2^m, n - mt, 2t + 1]$-codes, where $n = 2^m$.

(McEliece suggested to use $m = 10, t = 50$.)

McELIECE CRYPTOSYSTEM – DESIGN

Goppa codes are $[2^m, n - mt, 2t + 1]$-codes, where $n = 2^m$.

Design of McEliece cryptosystems. Let

- G be a generating matrix for an $[n, k, d]$ Goppa code C;
- S be a $k \times k$ binary matrix invertible over Z_2;
- P be an $n \times n$ permutation matrix;
- $G' = SGP$.

Plaintexts: $P = (Z_2)^k$; cryptotexts: $C = (Z_2)^n$, key: $K = (G, S, P, G')$, message: w

G' is made public, G, S, P are kept secret.

Encryption: $e_K(w, e) = wG' + e$, where e is a binary vector of length n & weight $\leq t$.

Decryption of a cryptotext $c = wG' + e \in (Z_2)^n$.

1. Compute $c_1 = cP^{-1} = wSGPP^{-1} + eP^{-1} = wSG + eP^{-1}$
2. Decode c_1 to get $w_1 = wS$,
3. Compute $w = w_1 S^{-1}$

COMMENTS on McELIECE CRYPTOSYSTEM I

1. Each irreducible polynomial over Z_2^m of degree t generates a Goppa code with distance at least $2t + 1$.

2. In the design of McEliece cryptosystem the goal of matrices S and C is to modify a generator matrix G for an easy-to-decode Goppa code to get a matrix that looks as a random generator matrix for a linear code for which the decoding problem is **NP**-complete.

3. An important novel and unique trick is an introduction, in the encoding process, of a random vector e that represents an introduction of up to t errors – such a number of errors that are correctable using the given Goppa code and this is the basic trick of the decoding process.

4. Since P is a permutation, the vector eP^{-1} has the same weight as e.

5. As already mentioned, McEliece suggested to use a Goppa code with $m = 10$ and $t = 50$. This provides a $[1024, 524, 101]$-code. Each plaintext is then a 524-bit string, each cryptotext is a 1024-bit string. The public key is an 524×1024 matrix.

6. Observe that the number of potential matrices S and P is so large that probability of guessing these matrices is smaller than probability of guessing correct plaintext!!!

7. It can be shown that it is not safe to encrypt twice the same plaintext with the same public key (and different error vectors).

COMMENTS on McELIECE CRYPTOSYSTEM II

- Cryptosystem was invented in 1978 by Robert McEliece.
- Cryptosystem is a candidate for post-quantum cryptography - all attempts to show that it would be breakable using quantum computers failed.
- There are nowadays various variant of the cryptosystem that use different easy to decode linear codes. Some are known not to be secure.
- McEliece cryptosystem was the first public key cryptosystem that used randomness - a very innovative step.
- For a standard selection of parameters the public key is more than 521 000 bits long.
- That is why cryptosystem is rarely used in practise in spite of the fact that it has some advantages comparing with RSA cryptosystem discussed next - it has more easy encoding and decoding.

FINAL COMMENTS

1. **Deterministic public-key cryptosystems can never provide absolute security.** This is because an eavesdropper, on observing a cryptotext c can encrypt each possible plaintext by the encryption algorithm e_A until he finds w such that $e_A(w) = c$.

2. One-way functions exist if and only if **P = UP**, where UP is the class of languages accepted by **unambiguous polynomial time bounded nondeterministic Turing machine.**

3. There are actually two types of keys in practical use: A session key is used for sending a particular message (or few of them). A master key is usually used to generate several session keys.

4. **Session keys** are usually generated when actually required and discarded after their use. Session keys are usually keys of a secret-key cryptosystem.

5. **Master keys** are usually used for longer time and need therefore be carefully stored. Master keys are usually keys of a public-key cryptosystem.

RSA

The most important public-key cryptosystem is the RSA cryptosystem on which one can also illustrate a variety of important ideas of modern public-key cryptography.

For example, we will discuss various possible attacks on the security of RSA cryptosystems.

A special attention will be given in Chapter 7 to the problem of factorization of integers that play such an important role for security of RSA.

In doing that we will illustrate modern distributed techniques to factorize very large integers.

HISTORY of RSA

- Diffie published his idea of asymmetric cryptosystem in summer 1975, though he had no example of such a cryptosystem.
- The problem was to find a one-way function with a backdoor.
- Rivest, Shamir and Adleman, from MIT, started to work on this problem in 1976.
- Rivest and Shamir spent a year coming up with new ideas and Adleman spent a year shooting them down.
- In April 1977 they spent a holiday (Passover) evening drinking quite a bit of wine.
- At night Rivest could not sleep, mediated and all of sudden got an idea. In the morning the paper about a new cryptosystem, called now RSA, was practically written down.

DESIGN and USE of RSA CRYPTOSYSTEM

Invented in 1978 by **R**ivest, **S**hamir, **A**dleman

Basic idea: prime multiplication is very easy, integer factorization seems to be unfeasible.

Design of RSA cryptosystems

1. Choose randomly two large about s-bit primes p,q, where $s \in [512, 1024]$, and denote

$$n = pq, \phi(n) = (p-1)(q-1)$$

2. Choose a large d such that

$$\gcd(d, \phi(n)) = 1$$

and compute

$$e = d^{-1}(\text{mod } \phi(n))$$

Public key: n (modulus), **e** (encryption exponent)
Trapdoor information: p, q, d (decryption exponent)

Plaintext w
Encryption: cryptotext $c = w^e \text{ mod } n$
Decryption: plaintext $w = c^d \text{ mod } n$

Details: A plaintext is first encoded as a word over the alphabet $\{0, 1, \ldots, 9\}$, then divided into blocks of length $i - 1$, where $10^{i-1} < n < 10^i$. Each block is taken as an integer and decrypted using modular exponentiation.

OBSERVATION

Observe that when RSA is used we are working with really huge numbers - even with numbers having more than 2,000 bits what means that more than 600 digits.

In order to see how huge these numbers are observe that the total number of particle interactions in whole universe since the Big Bang is estimated to be

$$2^{122}$$

what is the number with about only 40 digits.

Total mass-energy (in Joules) of observable universe is 4×10^{69}
The total number of particles in observable universe is about $10^{80} - 10^{85}$.

All that means that in modern cryptography we need, for security reasons, to work with numbers that have no correspondence in the physical reality.

SOME APPLICATIONS of RSA

- Discovery of RSA initiated enormous number of applications and business.
- For example, RSA is a key component of SSL (Secure Sockets Layer) and TLS (Transport level Security) protocols that are universally accepted standards for authenticated and encrypted communications between clients and servers, especially in internet.
- SSL/TLS use a combination of PKC and SKC. SSL uses mainly **RSA**, TLS uses mainly **ECC** (Elliptic Curves Cryptography).

A SPECIAL PROPERTY of RSA ENCRYPTIONS

If a cryptotext c is obtained using an (n, e)-RSA-encryption from a plaintext w

then

c^2 [c^m] is the (n, e)-RSA-encryption of w^2 [w^m].

In other words. If we know the RSA-encryption of unknown plaintext w, we can compute encryption of w^2 without knowing w.

Indeed, if $c = w^e$, then $c^2 = (w^e)^2 = w^{2e} = (w^2)^e$.

HOW TO DO EFFICIENTLY RSA COMPUTATIONS

How to compute $w^e \bmod n$**?** Use the method of exponentiation by squaring - see the Appendix - and perform all operations modulo n

How to compute $d^{-1} \bmod \phi(n)$**?** :

Method 1 Use Extended Euclid algorithm, see the Appendix, that shows how to find, given integers $0 < m < n$ with $GCD(m, n) = 1$, integers x, y such that

$$xm + yn = 1$$

Once this is done, $x = m^{-1} \bmod n$

Method 2 It follows from the Euler Totient Theorem, see the Appendix, that

$$m^{-1} \equiv m^{\phi(n)-1} \bmod \phi(n)$$

if $m < n$ and $GCD(m, n) = 1$

KEY THEOREMS for RSA DISCOVERY

As the next slide demonstrates, RSA cryptosystem could hardly be invented by someone who did not know:

Theorem 1 (Euler's Totient Theorem)

$$n^{\Phi(m)} \equiv 1 \ (\mod m)$$

if $n < m, \gcd(m, n) = 1$

and

Theorem (Fermat's Little Theorem)

$$w^{p-1} \equiv 1 \ (\mod p)$$

for any w and any prime p.

PROOF of the CORRECTNESS of RSA

Let $c = w^e \bmod n$ be the cryptotext for a plaintext w, in the cryptosystem with

$$n = pq, ed \equiv 1 \ (\text{mod } \phi(n)), \gcd(d, \phi(n)) = 1$$

In such a case

$$w \equiv c^d \bmod n$$

and, if the decryption is unique, $w = c^d \bmod n$.

Proof Since $ed \equiv 1 \ (\text{mod } \phi(n))$, there exists a $j \in N$ such that $ed = j\phi(n) + 1$.

- **Case 1. Neither p nor q divide w.**
  In such a case $\gcd(n, w) = 1$ and by the Euler's Totient Theorem we get that

$$c^d = w^{ed} = w^{j\phi(n)+1} \equiv w \ (\text{mod } n)$$

- **Case 2. Exactly one of numbers p, q divides w – say p.**
  In such a case $w^{ed} \equiv w \ (\text{mod } p)$ and by Fermat's Little theorem $w^{q-1} \equiv 1 \ (\text{mod } q)$

$$\Rightarrow w^{q-1} \equiv 1 \ (\text{mod } q) \Rightarrow w^{\phi(n)} \equiv 1 \ (\text{mod } q)$$

$$\Rightarrow w^{j\phi(n)} \equiv 1 \ (\text{mod } q)$$

$$\Rightarrow w^{ed} \equiv w \ (\text{mod } q)$$

  Therefore: $w \equiv w^{ed} \equiv c^d \ (\text{mod } n)$

- **Case 3.** Both p, q divide w.
  This cannot happen because, by our assumption, $w < n$.

HOW TO DO EFFICIENTLY RSA COMPUTATIONS

How to compute $w^e \mod n$**?** Use the method of exponentiation by squaring - see the Appendix - and perform all operations modulo n

How to compute $d^{-1} \mod \phi(n)$**?** :

Method 1 Use Extended Euclid algorithm, see the Appendix, that shows how to find, given integers $0 < m < n$ with $GCD(m, n) = 1$, integers x, y such that

$$xm + yn = 1$$

Once this is done, $x = m^{-1} \mod n$

Method 2 It follows from Euler's Totient Theorem that

$$m^{-1} \equiv m^{\phi(n)-1} \mod \phi(n)$$

if $m < n$ and $GCD(m, n) = 1$

EXPONENTIATION by squaring

Exponentiation (modular) plays the key role in many cryptosystems. If

$$n = \sum_{i=0}^{k-1} b_i 2^i, \quad b_i \in \{0, 1\}$$

then

$$e = a^n = a^{\sum_{i=0}^{k-1} b_i 2^i} = \prod_{i=0}^{k-1} a^{b_i 2^i} = \prod_{i=0}^{k-1} (a^{2^i})^{b_i}$$

Algorithm for exponentiation

begin $e \leftarrow 1$; $p \leftarrow a$;
      for $i \leftarrow 0$ **to** $k - 1$
         do if $b_i = 1$ **then** $e \leftarrow e \cdot p$;
            $p \leftarrow p \cdot p$
         od
end

Modular exponentiation: $a^n \mod m = ((a \mod m)^n) \mod m$
Modular multiplication: $ab \mod n = ((a \mod n)(b \mod n) \mod n)$
Example $3^{1000} \mod 19 = 3^{4.250} \mod 19 = (3^4)^{250} \mod 19 = (81 \mod 19)^{250} \mod 19$
$= \mathbf{5^{250}} \mod \mathbf{19} = \ldots\ldots$
$3^{10000} \mod 13 = 3$
$3^{340} \mod 11 = 1$
3^{100}

GOOD e-EXPONENTS

Good values of the encryption exponent e should:

have:

- short bits length;
- small Hamming weight
- $e = 3, \quad 17, \quad 65.537 = 2^{16} + 1$

Question Why Euler did not invent puboic key cryptography?

Euler knew everyhing from number theory that was needed to invent RSA!!

Answer It was not needed at that time.

For centuries cryptography was used mainly for military and diplomatic purposes and for that privite cryptography was well suited. It was the incresed computerization and communication of and in economic life that led to very new needs in cryptography.

EXAMPLE of ENCRYPTION and DECRYPTION in RSA

Example of the design and of the use of RSA cryptosystems.

- By choosing $p = 41, q = 61$ we get $n = 2501, \phi(n) = 2400$
- **By choosing** $d = 2087$ **we get** $e = 23$
- By choosing $d = 2069$ we get $e = 29$
- By choosing other values of d we would get other values of e.

Let us choose the first pair of exponents ($e = 23$ **and** $d = 2087$ **).**

Plaintext: KARLSRUHE   **First encoding (letters–int.):** 100017111817200704

Since $10^3 < n < 10^4$, the numerical plaintext is divided into blocks of 3 digits $\Rightarrow$ therefore 6 integer plaintexts are obtained

$$100, 017, 111, 817, 200, 704$$

Encryptions:

$$100^{23} \bmod 2501, \quad 17^{23} \bmod 2501, \quad 111^{23} \bmod 2501$$
$$817^{23} \bmod 2501, \quad 200^{23} \bmod 2501, \quad 704^{23} \bmod 2501$$

provide cryptotexts:

$$2306, 1893, 621, 1380, 490, 313$$

Decryptions:

$$2306^{2087} \bmod 2501 = 100, 1893^{2087} \bmod 2501 = 17$$
$$621^{2087} \bmod 2501 = 111, 1380^{2087} \bmod 2501 = 817$$
$$490^{2087} \bmod 2501 = 200, 313^{2087} \bmod 2501 = 704$$

RSA CHALLENGE

The first public description of the RSA cryptosystem was in the paper.

Martin Gardner: A newkind of cipher that would take million years to break, Scientific American, 1977

and in this paper the RSA inventors presented the following challenge.

Decrypt the cryptotext:

9686 9613 7546 2206 1477 1409 2225 4355 8829 0575 9991 1245 7431 9874 6951 2093 0816 2982 2514 5708 3569 3147 6622 8839 8962 8013 3919 9055 1829 9451 5781 5154

encrypted using the RSA cryptosystem with 129 digit number, called also RSA129

n: 114 381 625 757 888 867 669 235 779 976 146 612 010 218 296 721 242 362 562 561 842 935 706 935 245 733 897 830 597 123 513 958 705 058 989 075 147 599 290 026 879 543 541.

and with $e = 9007$.

The problem was solved in 1994 by first factorizing n into one 64-bit prime and one 65-bit prime, and then computing the plaintext

THE MAGIC WORDS ARE SQUEMISH OSSIFRAGE

In 2002 RSA inventors received Turing award.

Abstract of the US RSA patent 4,405,829

The system includes a communication channel coupled to at least one terminal having an encoding device and to at least one terminal having a decoding device.

A message-to-be-transferred is enciphered to ciphertext at the encoding terminal by encoding a message as a number, M, in a predetermined set.

That number is then raised to a first predetermined power (associated with the intended receiver) and finally computed. The remainder of residue, C, is … computed when the exponentiated number is divided by the product of two predetermined prime numbers (associated with the predetermined receiver).

Security of RSA is based on the fact that for the following two problems no classical polynomial time algorithms seem to exist.

- Integer factorization problem.

- RSA problem: Given a public key (n, e) and a cryptotext c find an m such that $c = m^e (\bmod\, n)$.

HISTORY of RSA

- Diffie published his idea of asymmetric cryptosystem in summer 1975, though he had no example of such a cryptosystem.
- The problem was to find a one-way function with a backdoor.
- Rivest, Shamir and Adleman, from MIT, started to work on this problem in 1976.
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- In April 1977 they spent a holiday evening drinking quite a bit of wine.
- At night Rivest could not sleep, mediated and all of sudden got an idea. In the morning the paper about RSA was practically written down.

Copied from the brochure on LCS

HISTORY of RSA REVISITED

- Around 1960 British military people started to worry about the key distribution problem.
- At the beginning of 1969 James Ellis from secrete Government Communications Headquarters (GCHQ) was asked to look into the problem.
- By the end of 1969 Ellis discovered the basic idea of public key cryptography.
- For next three years best minds of GCHQ tried to unsuccessfully find a suitable trapdoor function necessary for useful public-key cryptography.
- In September 1973 a new member of the team, Clifford Cocks, who graduated in number theory from Cambridge, was told about problem and solved it in few hours. By that all main aspects of public-key cryptography were discovered.
- This discovery was, however, too early and GCHQ kept it secret and they disclosed their discovery only in 1997, after RSA has been shown very successful.

PRIMES - key tools of modern cryptography

- A prime p is an integer with exactly two divisors - 1 and p.
- Primes play very important role in mathematics.
- Already Euclid new that there are infinitely many primes.
- Probability that an n-bit integer is prime is $\frac{1}{2.3n}$. (The accuracy of this estimate is closely related to the *Rieman Hypothesis* considered often as the most important open problem of mathematics.)
- Each integer has a uniquer decomposition as a product of primes.
- Golbach conjecture: says that every even integer n can be written as the sum of two primes (verified for $n \le 4 \cdot 10^{14}$).
- Vinogradov Theorem: Every odd integer $n > 10^{43000}$ is the sum of three primes.
- There are fast ways to determine whether a given integer is prime or not.
- However, if an integer is not a prime then it is very hard to find its factors.

PRIMES PRIZES

Electronic frontiers foundation offered several prizes for record primes:

- In 1999 $ 50,000 prize was given for first 1 million digits prime.
- In 2008 $ 100,000 prize was given for first 10 million digits prime.
- A special prize is offered for first 100 million digits prime.
- Another special prize is offered for first 1 billion digits prime.