Part VIII

Elliptic curves cryptography and factorization

# **ELLIPTIC CURVES CRYPTOGRAPHY and FACTORIZATION**

Elliptic curve cryptography (ECC) is an approach to public-key cryptography based on the algebraic structure of elliptic curves over finite fields.

Elliptic curves belong to very important and deep mathematical concepts with a very broad use.

The use of elliptic curves for cryptography was suggested, independently, by Neal Koblitz and Victor Miller in 1985. ECC started to be widely used after 2005.

Elliptic curve are also basis of a very important Lenstra's integer factorization algorithm.

Both of these uses of elliptic curves are dealt with in this chapter.

### **HISTORICAL COMMENTS**

- Elliptic are also seen as the simplest non-trivial mathematical object.
- Historically, computing the integral of an arc-length of an ellipse lead to the idea of elliptic functions and curves.
- Niels Henrik Abel (1802-1829) and K. W. T. Weierstrass (1815-1897) are considered as pioneers in the area of elliptic functions.
- Abel has been considered, by his contemporaries, as mathematical genius that left enough for mathematicians to study for next 500 years.

### **ELLIPTIC CURVES CRYPTOGRAPHY**

Public key cryptography based on a special manipulation (called multiplication or addition) of points of **elliptic curves** is currently getting momentum and has a tendency to replace public key cryptography based on the infeasibility of factorization of integers, or on infeasibility of the computation of discrete logarithms.

For example, the US-government has recommended to its governmental institutions to use mainly elliptic curve cryptography - ECC.

The main advantage of elliptic curves cryptography is that to achieve a certain level of security shorter keys are sufficient than in case of "usual cryptography". Using shorter keys can result in a considerable savings in hardware implementations.

The second advantage of the elliptic curves cryptography is that quite a few of attacks developed for cryptography based on factorization and discrete logarithm do not work for the elliptic curves cryptography.

It is amazing how practical is the elliptic curve cryptography that is based on very strangely looking theoretical concepts.

#### **ELLIPTIC CURVES**

An elliptic curve E is the graph of points of the plane curve defined by the Weierstrass -equation

$$E: y^2 = x^3 + ax + b$$

(where a, b are either rational numbers or integers (and computation is done modulo some integer n)) extended by a "point at infinity", denoted usually as  $\infty$  (or 0) that can be regarded as being, at the same time, at the very top and very bottom of the *y*-axis. We will consider only those elliptic curves that have no multiple roots - which is equivalent to the condition  $4a^3 + 27b^2 \neq 0$ .

In case coefficients and x, y can be any rational numbers, a graph of an elliptic curve has one of the forms shown in the following figure. The graph depends on whether the polynomial  $x^3 + ax + b$  has three or only one real root.



$$y^2 = x(x+1)(x-1)$$



$$y^2 = x^3 + 73$$

### MORE PRECISE DEFINITION

A more precise definition of elliptic curves requires that it is the curve of points of the equation

$$E: y^2 = x^3 + ax + b$$

in the case the curve is non-singular.

Geometrically, this means that the graph has no cusps, self-interactions, or isolated points.

Algebraically a curve is non-singular if and only if the discriminant

$$\Delta = -16(4a^3 + 27b^3) \neq 0$$

The graph of a non-singular curve has two components if its discriminant is positive, and one component if it is negative.

### IMPORTANCE of ELLIPTIC CURVES

- Elliptic curves are currently an important area of mathematical research with importance for many other areas.
- Recently, in 1995, elliptic curves played an important role in proving, by Andrew Wiles, Fermat's Last Theorem (formulated in 1635), what could be considered as one of the most important mathematical achievements of the last 50 years.
- Elliptic curves have also close relation to BSD Conjecture (Birch and Swinnerton-Dyer Conjecture), one of the Millennium problems of the Clay Mathematics institute.
- Elliptic curves are currently behind practically most preferred methods of cryptographic security.
- Elliptic curves are also a basis of very important factorization method.

### ADDITION of POINTS on ELLIPTIC CURVES - GEOMETRY

# Geometry

On any elliptic curve we can define addition of points in such a way that points of the corresponding curve with such an operation of addition form an Abelian group in which the point in infinite, denoted by  $\infty$ , is plying the role of the identity group element

If the line through two different points  $P_1$  and  $P_2$  of an elliptic curve E intersects E in a point Q=(x,y), then we define  $P_1+P_2=P_3=(x,-y)$ .(This also implies that for any point P on E it holds  $P+\infty=P$ .)  $\infty$  therefore indeed play a role of the null/identity element of the group

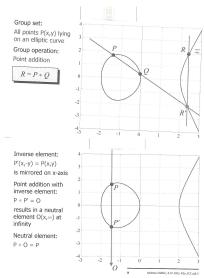
If the line through two different points  $P_1$  and  $P_2$  is parallel with y-axis, then we define  $P_1+P_2=\infty$ .

In case  $P_1 = P_2$ , and the tangent to E in  $P_1$  intersects E in a point Q = (x, y), then we define  $P_1 + P_1 = (x, -y)$ .

It should now be obvious how to define subtraction of two points of an elliptic curve. It is now easy to verify that the above addition of points forms Abelian group with  $\infty$  as the identity (null) element.

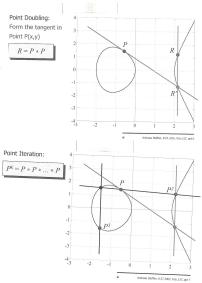
# ADDITION of POINTS - EXAMPLES 1 and 2

# The following pictures show some cases of points additions



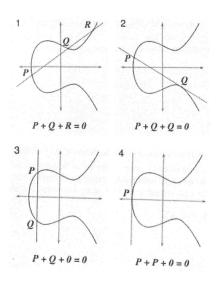
# ADDITION of POINTS - EXAMPLES 3 and 4

The following pictures show some cases of double and triple points additions



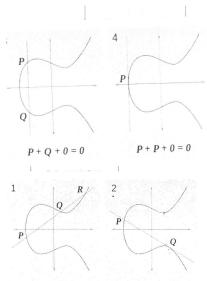
# ADDITION of POINTS - EXAMPLES 5 and 6

The following pictures show some more complex cases of double and triple points additions



# ADDITION of POINTS - EXAMPLES 7 and 8

The following pictures show some more complex cases of double and triple points additions



# ADDITION of POINTS on ELLIPTIC CURVES - FORMULAS)

#### **Formulas**

Addition of points  $P_1 = (x_1, y_1)$  and  $P_2 = (x_2, y_2)$  of an elliptic curve  $E: y^2 = x^3 + ax + b$  can be easily computed using the following formulas:

$$P_1 + P_2 = P_3 = (x_3, y_3)$$

where

$$x_3 = \lambda^2 - x_1 - x_2$$
  
 $y_3 = \lambda(x_1 - x_3) - y_1$ 

and

$$\lambda = \begin{cases} \frac{(y_2 - y_1)}{(x_2 - x_1)} & \text{if } P_1 \neq P_2, \\ \frac{(3x_1^2 + a)}{(2y_1)} & \text{if } P_1 = P_2. \end{cases}$$

All that holds for the case that  $\lambda \neq \infty$ ; otherwise  $P_3 = \infty$ .

Example For curve  $y^2 = x^3 + 73$  and  $P_1 = (2,9)$ ,  $P_2 = (3,10)$  we have  $\lambda = 1$ ,  $P_1 + P_2 = P_3 = (-4, -3)$  and  $P_3 + P_3 = (71, 603)$ .  $-\{\lambda = -8\}$ 

# DERIVATION of FORMULAS for ADDITION of DIFFERENT POINTS

If  $P_1 \neq P_2$ , then the line that goes through points  $P_1$  and  $P_2$  has the equation

$$y = y_1 + \lambda(x - x_1) = y_1 + \frac{y_2 - y_1}{x_2 - x_1}(x - x_1).$$

To get the x-coordinate of the third, intersection, point, of the curve  $y^2 = x^3 + ax + b$  we have to find roots of the equation:

$$y^2 = (y_1 + \lambda(x - x_1))^2 = x^3 + ax + b$$

that can be written in the form

$$x^{3} - \lambda^{2}x^{2} + (a - 2\lambda(y_{1} - \lambda x_{1}))x + (b - (y_{1} - \lambda x_{1})^{2}) = 0$$

Since its two roots have coordinates  $x_1$  and  $x_2$  for the third,  $x_3$ , it has to hold

$$x_3 = \lambda^2 - x_1 - x_2,$$

because  $-\lambda^2$  is the coefficient at  $x^2$  and therefore  $x_1 + x_2 + x_3 = -(-\lambda^2 = \lambda^2)$ .

### **ELLIPTIC CURVES mod n**

The points on an elliptic curve

$$E: y^2 = x^3 + ax + b \pmod{n},$$

notation  $E_n(a, b)$  are such pairs (x,y) mod n that satisfy the above equation, along with the point  $\infty$  at infinity.

Example Elliptic curve  $E: y^2 = x^3 + 2x + 3 \pmod{5}$  has points

$$(1,1),(1,4),(2,0),(3,1),(3,4),(4,0),\infty.$$

Example For elliptic curve  $E: y^2 = x^3 + x + 6$  ( mod 11) and its point P = (2,7) it holds 2P = (5,2); 3P = (8,3). Number of points on an elliptic curve (mod p) can be easily estimated.

The addition of points on an elliptic curve  $\operatorname{mod} n$  is done by the same formulas as given previously, except that instead of rational numbers c/d we deal with  $cd^{-1}$ 

Example For the curve  $E: y^2 = x^3 + 2x + 3$  it holds (1,4) + (3,1) = (2,0); (1,4) + (2,0) = (?,?).

# ADDITION of POINTS on ELLIPTIC CURVES - REPETITIONS)

#### **Formulas**

Addition of points  $P_1 = (x_1, y_1)$  and  $P_2 = (x_2, y_2)$  of an elliptic curve  $E: y^2 = x^3 + ax + b$  can be easily computed using the following formulas:

$$P_1 + P_2 = P_3 = (x_3, y_3)$$

where

$$x_3 = \lambda^2 - x_1 - x_2$$
  
 $y_3 = \lambda(x_1 - x_3) - y_1$ 

and

$$\lambda = \begin{cases} \frac{(y_2 - y_1)}{(x_2 - x_1)} & \text{if } P_1 \neq P_2, \\ \frac{(3x_1^2 + a)}{(2y_1)} & \text{if } P_1 = P_2. \end{cases}$$

All that holds for the case that  $\lambda \neq \infty$ ; otherwise  $P_3 = \infty$ .

Example For curve  $y^2 = x^3 + 73$  and  $P_1 = (2,9)$ ,  $P_2 = (3,10)$  we have  $\lambda = 1$ ,  $P_1 + P_2 = P_3 = (-4, -3)$  and  $P_3 + P_3 = (72, 611)$ .  $-\{\lambda = -8\}$ 

### A VERY IMPORTANT OBSERVATION

In case of modular computation of coordinates of the sum of two points of an elliptic curve  $E_n(a,b)$  one needs, in order to determine value of  $\lambda$  to compute  $u^{-1}(\mod n)$  for various u.

Th's can be done in case gcd(u, n) = 1 and therefore we need to compute gcd(u, n) first.

Observe that if this gcd-value is between 1 and n we have a factor of n.

# **POINTS on CURVE** $y^2 = x^3 + x + 6 \mod 11$

x	y <sup>2</sup>	Y <sub>1,2</sub>	P(x,y)	P'(x,y)
0	6			
1	8	-		
2	5	4,7	(2,4)	(2,7)
3	3	5,6	(3,5)	(3,6)
4	8	-		
5	4	2,9	(5,2)	(5,9)
6	8	-		
7	4	2,9	(7,2)	(7,9)
8	9	3,8	(8,3)	(8,8)
9	7	-		
10	4	2,9	(10,2)	(10,9)
300000000				

There are 12 points lying on the elliptic curve.

Together with the point O at infinity, the points on the elliptic curve form a group with n=13 elements.

n is called the order of the elliptic curve group and depends on the choice of the curve parameters a and b.

### **EXAMPLE**

On the elliptic curve

$$y^2 \equiv x^3 + x + 6 \pmod{11}$$

lies the point  $P = (2,7) = (x_1, y_1)$ 

Indeed,  $49 \equiv 16 \mod 11$ .

To compute  $2P = (x_3, y_3)$  we have

$$\lambda = \frac{3x_1^2 + a}{2y_1} \equiv (3 \cdot 2^2 + 1)/(14) \equiv 13/14 \equiv 2/3 \equiv 2 \cdot 4 \equiv 8 \equiv \bmod{11}$$

Therefore

$$x_3 = \lambda^2 - x_1 - x_2 \equiv 8^2 - 2 - 2 \equiv 60 \equiv 5 \mod 11$$

and

$$y_3 = \lambda(x_1 - x_3) - y_1 \equiv 8(2 - 5) - 7 \equiv -31 \equiv -9 \equiv 2 \mod 11$$

# ADDITION Of a POINT to ITSELF - FORMULAS+EXAMPLES

In the following  $P = (x_1, y_1)$ ,  $P + P = (x_R, y_R)$ ,  $\lambda = s$ 

- Iterate the point P(2,4) lying on  $y^2 = x^3 + x + 6 \mod 11$ :
- Compute  $P^2 = P * P$  by doubling the point P

$$s = \frac{dy}{dx} = \frac{3x_p^2 + a}{2y_p}$$

$$y_0 = y_p - s \cdot x_p$$

$$x_R = s^2 - 2x_p$$

$$x_R = s^2 - 2x_P$$

$$y_R = -(s \cdot x_R + y_0)$$

• Compute  $P^3 = P * P * P = P^2 * P$  by point addition

$$s = \frac{y_Q - y_P}{x_Q - x_P}$$

$$y_0 = y_P - s \cdot x_P$$

$$x_R = s^2 - x_P - x_Q$$
$$y_R = -(s \cdot x_R + y_0)$$

- All operations are computed in GF<sub>11</sub>
- Compute P<sup>2</sup> = P \* P by doubling the point P(2,4)

$$s = \frac{3 \cdot 4 + 1}{2 \cdot 4} = \frac{13}{8} = 7 \cdot 2 = 3$$

$$y_0 = 4 - 3 \cdot 2 = -2 = 9$$

$$x_R = 9 - 2 \cdot 2 = 5$$
  
$$y_R = -(3 \cdot 5 + 9) = -2 = 9$$

P<sup>2</sup>=(5,9)

• Compute  $P^3 = P * P * P = P^2 * P$  by point addition

$$s = \frac{9-4}{5-2} = \frac{5}{3} = 4 \cdot 5 = 9$$

$$v = 4 - 9 \cdot 2 = -3 = 8$$

prof. Jozef Gruska

$$x_R = 81 - 2 - 5 = 8$$

=8 P3=(8,8)

# PROPERTIES of ELLIPTIC CURVES MODULO p

- Elliptic curves modulo an integer *p* have finitely many points and are finitely generated all points can be obtained from few given points using the operation of addition.
- Hasse's theorem If an elliptic curve  $E_p$  has  $|E_p|$  points then  $||E_p| p 1| < 2\sqrt{p}$

In other words, the number of points of a curve grows roughly as the number of elements in the field. The exact number of such points is, however, rather difficult to calculate.

### **SECURITY of ECC**

- The entire security of ECC depends on our **ability** to compute addition of two points and on inability to compute one summon given the sum and the second summon.
- However, no proof of security of ECC has been published so far.

### **ELLIPTIC CURVES DISCRETE LOGARITHM**

Let E be an elliptic curve and A, B be its points such that B = kA = (A + A + ... A + A) - k times – for some k. The task to find such a k is called the discrete logarithm problem for elliptic curves.

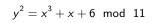
No efficient algorithm to compute discrete logarithm problem for elliptic curves is known and also no good general attacks. Elliptic curves based cryptography is based on these facts.

There is the following general procedure for changing a discrete logarithm based cryptographic protocols to a cryptographic protocols based on elliptic curves:

- Assign given message (plaintext) to a point on a given elliptic curve E.
- Change, in the cryptographic protocol, modular multiplication to addition of points on E.
- Change, in the cryptographic protocol, exponentiation to multiplication of points of the elliptic curve *E* by integers.
- To the point of the elliptic curve *E* that results from such a protocol assigns a message (cryptotext).

#### POWERS of POINTS

The following table shows powers of various points of the curve



1-	рk		l		
k	P.*	ន	$\mathbf{Y}_0$		
1	(2,4)	3	9		
2	(5,9)	9	8		
3	(8,8)	8	10		
4	(10,9)	2	0		
5	(3,5)	1	2		
6	(7,2)	4	7		
7	(7,9)	1	2		
8	(3,6)	2	0		
9	(10,2)	8	10		
10	(8,3)	9	8		
11	(5,2)	3	9		
12	(2,7)	∞	-		

Given an elliptic curve

$$y^2 = x^3 + ax + b \mod p$$

and a basis point P, we can compute

$$Q = P^k$$

through k-1 iterative point additions. Fast algorithms for this task exist. Unfortunately most of them are patented by Certicom and others.

Question: Is it possible to compute k when the point Q is known?

Answer: This is a hard problem known as the Elliptic Curve Discrete Logarithm.

# MAPPING MESSAGES into POINTS of ELLIPTIC CURVES (I)

### Problem and basic idea

The problem of assigning messages to points on elliptic curves is difficult because there are no polynomial-time algorithms to write down points of an arbitrary elliptic curve.

Fortunately, there is a fast randomized algorithm, to assign points of any elliptic curve to messages, that can fail with probability that can be made arbitrarily small.

Basic idea: Given an elliptic curve E(mod p), the problem is that not to every x there is an y such that (x, y) is a point of E.

Given a message (number) m we therefore adjoin to m few bits at the end of m and adjust them until we get a number x such that  $x^3 + ax + b$  is a square mod p.

# MAPPING MESSAGES into POINTS of ELLIPTIC CURVES (II)

### **Technicalities**

Let K be a large integer such that a failure rate of  $\frac{1}{2^K}$  is acceptable when trying to encode a message by a point.

For  $j \in \{0, ..., K-1\}$  verify whether for x = mK + j,  $x^3 + ax + b \pmod{p}$  is a square (mod p) of an integer y.

If such an j is found, encoding is done; if not the algorithm fails (with probability  $\frac{1}{2^K}$  because  $x^3 + ax + b$  is a square approximately half of the time).

In order to recover the message m from the point (x, y), we compute:

$$\left\lfloor \frac{x}{K} \right\rfloor$$

### **EFFICIENCY of various CRYPTOGRAPHIC SYSTEMS**

The following pictures show how many bits needed keys of different cryptographic systems to achieve the same security.

# Equivalent Cryptographic Strength



Symmetric	56	80	112	128	192	256
RSA n	512	1024	2048	3072	7680	15360
ECC p	112	161	224	256	384	512
Key size ratio	5:1	6:1	9:1	12:1	20:1	30:1

### **ELLIPTIC CURVES KEY EXCHANGE**

Elliptic curve version of the Diffie-Hellman key generation protocol goes as follows:

Let Alice and Bob agree on a prime p, on an elliptic curve  $E_p(a, b)$  and on a point P on  $E_p(a, b)$ .

- Alice chooses an integer  $n_a$ , computes  $n_aP$  and sends it to Bob.
- Bob chooses an integer  $n_b$ , computes  $n_bP$  and sends it to Alice.
- Alice computes  $n_a(n_bP)$  and Bob computes  $n_b(n_aP)$ . This way they have the same key.

### **ELLIPTIC CURVES VERSION of EIGamal CRYPTOSYSTEM**

Standard version of ElGamal: Bob chooses a prime p, a generator q < p, an integer x, computes  $y = q^x \pmod{p}$ , makes public p, q, y and keeps x secret.

To send a message m Alice chooses a random r, computes:

$$a = q^r$$
;  $b = my^r$ 

and sends it to Bob who decrypts by calculating  $m = ba^{-x} \pmod{p}$ 

Elliptic curve version of ElGamal: Bob chooses a prime p, an elliptic curve  $E_p$ , a point P on E, an integer x, computes Q = xP, makes  $E_p$ , and Q public and keeps x secret.

To send a message m ALice expresses m as a point X on  $E_p$ , chooses a random number r, computes

$$A = rP$$
;  $B = X + rQ$ 

and sends the pair (A, B) to Bob who decrypts by calculating X = B - xA.

#### **ELLIPTIC CURVES DIGITAL SIGNATURES**

Elliptic curves version of ElGamal digital signatures has the following form for signing (a message) m, an integer, by Alice and to have the signature verified by Bob:

Alice chooses a prime p, an elliptic curve  $E_p(a,b)$ , a point P on  $E_p$  and calculates the number of points n on  $E_p$  – what can be done, and we assume that 0 < m < n.

Alice then chooses a random integer a and computes Q = aP. She makes public p, E, P, Q and keeps secret a.

To sign a message m Alice does the following:

- Alice chooses a random integer  $r, 1 \le r < n$  such that gcd(r,n) = 1 and computes R = rP = (x,y).
- Alice computes  $s = r^{-1}(m ax) \pmod{n}$
- Alice sends the signed message (m,R,s) to Bob.

Bob verifies the signature as follows:

Bob declares the signature as valid if xQ + sR = mP The verification procedure works because

$$xQ + sR = xaP + r^{-1}(m - ax)(rP) = xaP + (m - ax)P = mP$$

Warning Observe that actually  $rr^{-1} = 1 + tn$  for some t. For the above verification procedure to work we then have to use the fact that  $nP = \infty$  and therefore  $P + t \cdot \infty = P$ 

### COMMENT

Federal (USA) elliptic curve digital signature standard (ECDSA) was introduced in 2005.

Elliptic curve method was used to factor Fermat numbers  $F_{10}$  (308 digits) and  $F_{11}$  (610 digits).

# DOMAIN PARAMETERS for ELLIPTIC CURVES

To use ECC, all parties involved have to agree on all basic elements concerning the elliptic curve E being used:

- $\blacksquare$  A prime p.
- Constants a and b in the equation  $y^2 = x^3 + ax + b$ .
- $\blacksquare$  Generator G of the underlying cyclic subgroup such that its order is a prime.
- The order n of G is the smallest integer n such that nG = 0
- Co-factor  $h = \frac{|E|}{n}$  should be small  $(h \le 4)$  and, preferably h = 1.

To determine domain parameters (especially n and h) may be much time consuming task. That is why mostly so called "standard or "named" elliptic curves are used that have been published by some standardization bodies.

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#### SECURITY of ELLIPTIC CURVE CRYPTOGRAPHY

- Security of ECC depends on the difficulty of solving the discrete logarithm problem over elliptic curves.
- Two general methods of solving such discrete logarithm problems are known.
- The square root method and Silver-Pohling-Hellman (SPH) method.
- SPH method factors the order of a curve into small primes and solves the discrete logarithm problem as a combination of discrete logarithms for small numbers.
- Computation time of the square root method is proportional to  $O(\sqrt{e^n})$  where n is the order of the based element of the curve.

### **KEY SIZE**

- All known algorithms to solve elliptic curves discrete logarithm problem need at least  $\theta(\sqrt{n})$  steps, where n is the order of the group.
- This implies that the size of the underlying field (number of points on the chosen elliptic curve) should be roughly twice the security parameter.
- For example, for 128-bit security one needs a curve over  $\underline{F}_q$ , where  $q \approx 2^{256}$ .
- This can be contrasted with RSA cryptography that requires 3072-bi public and private keys to keep the same level of security.

# **BREAKING ECC**

- The hardest ECC scheme (publicly) broken to date had a 112-bit key for the prime field case and a 109-bit key for the binary field case.
- The prime field case was broken in July 2009 using 200 PlayStation 3 game consoles and could be finished in 3.5 months.
- The binary field case was broken in April 2004 using 2600 computers for 17 months.

### **GOOD ELLIPTIC CURVES**

- NIST recommended 5 elliptic curves for prime fields, one for prime sizes 192, 224, 256, 384 and 521 bits.
- NIST also recommended five elliptic curves for binary fields  $\mathbf{F}_{2^m}$  one for m equal 163, 233, 283, 409 and 571.

### **INTEGER FACTORIZATION METHODS**

## INTEGER FACTORIZATION METHODS

#### **INTEGER FACTORIZATION - PROBLEM I**

Two very basic questions concerning integers are of large theoretical and also practical cryptographical importance.

- Can a given integer n be factorized? (Or, is n prime?)
- If *n* can be factorized, find its factors.

Till around 1977 no polynomial algorithm was know to determine primality of integers. In spite of the fact that this problem bothered mathematicians since antique ancient times.

In 1977 several very simple and fast randomized algorithms for primality testing were discovered - one of them is on the next slide.

So called Fundamental theorem of arithmetic, known since Euclid, claims that factorization of an integer n into a power of primes

$$n=\prod_{i=1}^k p_i^{e_i}$$

is unique when primes  $p_i$  are ordered. However, theorem provides no clue how to find such a factorization and till now no classical polynomial factorization algorithm is know.

### RABIN-MILLER'S PRIME RECOGNITION

Given an odd integer n decompose  $n-1=2^s d$ , d odd.

```
Algorithm: Choose randomly 1 < a < n-1 and compute x \leftarrow a^d \mod n; if x \in \{1, n-1\} do loop loop: repeat s-1 times x \leftarrow x^2 \mod n if x = 1 then return composite; if x = n-1 then repeat the loop; return composite return probably prime.
```

If for some a the algorithm returns **composite**, then n is composite; if n is composite then for at least half 1 < a < n-1 the algorithm returns composite.

If the algorithm is applied k time and once returns **composite**, then n is composite; otherwise n is prime and the probability of error is smaller than  $2^{-k}$ .

### RABIN-MILLER FACTORIZATION ALGORITHM

There is a variety of modifications of Rabin-Miller algorithm for primality testing. Here is another one"

Given an integer n, a 1 < w < n,  $n-1 = 2^s r$  and let us denote by C(n, w) the following test:

C(n,w): either 
$$w' \equiv 1 \mod n$$
 or  $w^{2^{s'}r} \equiv -1 \mod n$  for some  $0 \le s' \le s$ 

If for some w the test C(n, w) fails, then n is composite; otherwise w is seen as a witness of primaility of n and the test C(n, w') should be repeated for another w'.

If the test is repeated k times and k witnesses of primality of n are found, then the probability of n being composite is at most  $4^{-k}$ 

#### INTEGER FACTORIZATION - PROBLEM II

In 2002 a deterministic, so called ASK, polynomial time algorithm for primality testing, with complexity  $O(n^{12})$  were discovered by three scientits from IIT Kanpur.

For factorization no polynomial deterministic algorithm is known and development of methods that would allow to factorized large integers is one of mega challenges for the development of computing algorithms and technology.

Largest recent success was factorization of so called RSA-768 number that has 232 digits (and 768 bits). Factorization took 2 years using several hundred of fast computers all over the world (using highly optimized implementation of the general field sieve method). On a single computer it would take 2000 years.

There is a lot of heuristics to factorized integers - some are very simple, other sophisticated. A method based on elliptic curves presented later, is one of them.

Factorization could be done in polynomial time using Shor's algorithm and a powerful quantum computer, as discussed later.

### Fermat numbers FACTORIZATION

Factorization of so-called Fermat numbers  $2^{2^i} + 1$  is a good example to illustrate progress that has been made in the area of factorization.

Pierre de Fermat (1601-65) expected that all following numbers are primes:

$$F_i = 2^{2^i} + 1 \qquad i \ge 1$$

This is indeed true for i = 0, ..., 4.  $F_0 = 3, F_1 = 5, F_2 = 17, F_3 = 257, F_4 = 65537$ .

**1732** L. Euler found that  $F_5 = 4294967297 = 641 \cdot 6700417$ 

**1880** Landry+LeLasser found that

$$F_6 = 18446744073709551617 = 274177 \cdot 67280421310721$$

**1970** Morrison+Brillhart found factorization for  $F_7 = (39 digits)$ 

$$F_7 = 340282366920938463463374607431768211457 =$$
  
= 5704689200685129054721 · 59649589127497217

**1980** Brent+Pollard found factorization for  $F_8$ 

**1990** A. K. Lenstra $+ \dots$  found factorization for  $F_9$  (155 digits). Currently, also factorizations of  $F_{10}$  (308 digits) and  $F_{11}$  (610 digits) are known.

#### **FACTORIZATION BASICS**

- Not all numbers of a given length are equally hard to factor. The hardest instances are semi-primes products of two primes of similar length.
- Concerning complexity classes it holds. Function version of the factorization problem is known to be in FNP and it is not known to be in FP.
- Decision version of the factorization problem: Does an integer n has a factor smaller than d? is known to be in NP and not known to be in P. Moreover it is known to be both in NP and co-NP as well both in UP and co-UP.
- The fastest known factorization algorithm has time

$$e^{(1.9 \ln n)^{1/3} (\ln \ln n)^{2/3})}$$

and with it we can factor 140 digit numbers in reasonable time.

**BASIC FACTORIZATION METHODS.** 

# BASIC FACTORIZATION METHODS

These methods are actually heuristics, and for each of them a variety of modifications is known.

### TRIAL DIVISION

**Algorithm** Divide n with all primes up to  $\sqrt{n}$  and collect all divisors.

Time complexity: 
$$e^{\frac{1}{2} \ln n} = L(1, \frac{1}{2})$$

**Notation**  $L(\varepsilon, c)$  is used to denote complexity

$$O(e^{(c+o(1))(\ln n)^{\varepsilon}(\ln \ln n)^{1-\varepsilon}})$$

### **EULER's FACTORIZATION**

The idea is to factorize an integer n by writing it first as two different sums of two different integer squares. For example,

$$n = a^2 + b^2 = c^2 + d^2 - - - - - - 1000009 = 1000^2 + 3^2 = 972^2 + 235^2$$

Denote know

$$k = gcd(a-c, d-b)$$
  $h = gcd(a+c, d+b)$ 

In such a case either both k and h are even or both  $\frac{a-c}{k}$  and  $\frac{d-b}{k}$  are even. In the first case

$$n = \left( \left( \frac{k}{2} \right)^2 + \left( \frac{h}{2} \right)^2 \right) \left( \left( \frac{a - c}{k} \right)^2 + \left( \frac{d - b}{k} \right)^2 \right)$$

The other case is treated similarly.

### **FERMAT'S FACTORIZATION**

If n = pq,  $p < \sqrt{n}$  , then

$$n = \left(\frac{q+p}{2}\right)^2 - \left(\frac{q-p}{2}\right)^2 = a^2 - b^2$$

Therefore, in order to find a factor of n, we need only to investigate the values

$$x=a^2-n$$
 for  $a=\left\lceil \sqrt{n} \right\rceil +1, \left\lceil \sqrt{n} \right\rceil +2, \ldots, \frac{(n-1)}{2}$ 

until a perfect square is found.

### A POWERFUL SIMPLE IDEA

To find a factor of a given integer n do the following

- **Original idea:** Generate, in a simple and clever way, a pseudorandom sequence of integers  $x_0, x_1, x_2$  and compute, for  $i = 1, 2, \dots \gcd(x_i, n)$  until a factor of n is found.
- Huge-computer-networks-era idea Generate, in a simple and clever way, huge number of well related pseudorandom sequences  $x_0, x_1, \ldots$  and make a huge number of computers (all over the world) to compute, for a portion of squences for each computer,  $gcd(x_i, n)$  until one of them finds a factor of n.

## Pollard $\rho$ -FACTORIZATION of an n - basic idea

- 1. Randomly choose  $x_0 \in \{1, 2, ..., n\}$  and compute  $x_i = x_{i-1}^2 + x_i + 1 \pmod{n}$ , for i = 1, 2, ...
- 2. Two versions:
- **Version 1:** Compute  $gcd(x_i x_j, n)$  for i = 1, 2, ... and j = 1, 2, ..., i 1 until a factor of n is found.
- **Version 2:** Compute  $gcd(x_i x_{2i}, n)$  for i = 1, 2, ... until a factor is found.
- Time complexity:  $L(1, \frac{1}{4})$

The second method was used to factor 8-th Fermat number  $F_8$  with 78 digits.

### JUSTIFICATION of VERSION 1

Let p be a non-trivial factor of n much smaller than n.

Since there is a smaller number of congruence classes modulo p than modulo n, it is quite probable that there exist  $x_i$  and  $x_j$  such that

$$x_i \equiv x_j \pmod{p}$$
 and  $x_i \not\equiv x_j \pmod{n}$ 

In such a case  $n \not| (x_i - x_j)$  and therefore  $gcd(x_i - x_j, n)$  is a nontrivial factor of n.

### **JUSTIFICATION of VERSION 2**

Let p be the smallest factor of n. Sequence  $x_0, x_1, x_2, \dots$  behaves randomly modulo  $p < \sqrt{n}$ . Therefore, the probability that  $x_i \equiv x_i \pmod{p}$  for some  $j \neq i$  is not negligible - actually about  $\frac{1}{\sqrt{p}}$ . In such a case  $x_{i+k} \equiv x_{i+k} \pmod{p}$  for all k Therefore, there exists an s such that  $x_s \equiv x_{2s} \pmod{p}$ . Due to the pseudorandomness of the sequence  $x_0, x_1, x_2$ with probability at least  $1/2 x_s \not\equiv x_{2s} \pmod{n}$  and therefore  $p|gcd(x_s - x_{2s}, n)$ . For good probability of success we need to generate roughly  $\sqrt{p} = n^{1/4}$  of  $x_i$ . Time complexity is therefore  $O(e^{\frac{1}{4}\ln n}).$ 

#### **BASIC FACTS**

Factorization  $\rho$ -algorithms has its efficiency based on two facts.

- Fact 1 For a given prime p, as in birthday problem, two numbers are congruent modulo p, with probability 0.5 after  $1.177\sqrt{p}$  numbers have been randomly chosen.
- Fact 2 If p is a factor of an n, then p < gcd(x y, n) since p divides both n and x y.

### POLLARD's $\rho$ -ALGORITHM - another modification

```
Input: An integer n to be factorized. x_0 \leftarrow random; x \leftarrow x_0; y \leftarrow x_0; d \leftarrow 1; while d = 1
x \leftarrow f(x) \mod n;
y \leftarrow f(f(y) \mod n) \mod n;
d \leftarrow gcd(|x - y|, n);
If d = n return failure else return d.
```

Algorithm is fast in the case n has at least one small factor. For example, it is reported that on a 3 GHz processor, the factor 274177 of the sixth Fermat number (18446744073709551617) was found in 26 milliseconds.

Another improvement of the algorithm, due to Pollard and R. Brent: Instead of computing  $\gcd(|x-y|,n)$  at every iteration, z is defined as the product of several, say 100 consecutive |x-y| terms modulo n and then a single  $\gcd(z,n)$  is computed.

Also the second algorithm is fast for small factors

### ρ-ALGORITHM - EXAMPLE

$$f(x) = x^{2} + x + 1$$

$$n = 18923; \quad x = y = x_{0} = 2347$$

$$x \leftarrow f(x) \text{ mod } n; y \leftarrow f(f(y)) \text{ mod } n$$

$$x = 4164 \quad y = 9593 \quad gcd = 1$$

$$x = 9593 \quad y = 2063 \quad gcd = 1$$

$$x = 12694 \quad y = 14985 \quad gcd = 1$$

$$x = 2063 \quad y = 14862 \quad gcd = 1$$

$$x = 358 \quad y = 3231 \quad gcd = 1$$

$$x = 14985 \quad y = 3772 \quad gcd = 1$$

$$x = 5970 \quad y = 16748 \quad gcd = 1$$

$$x = 14862 \quad b = 3586 \quad gcd = 1$$

$$x = 5728 \quad b = 16158 \quad gcd = 149$$

### Pollard's p-1 ALGORITHM - FIRST VERSION

# **Algorithm**

- 1. Fix a prime p and an integer B.
- 2. Compute  $m = \prod_{\{q \mid q \text{ is a prime} \leq B\}} q^{\log n}$
- 3. Compute  $gcd(a^m 1, n)$  for a random a.

Algorithm was invented J. Pollard in 1987 and has time complexity  $O(B(\log n)^p)$ . It works well if both p and p-1 are primes.

### JUSTIFICATION of FIRST Pollard's p-1 ALGORITHM

Let B be chosen and let p|n and p-1 has no factor greater than B.

This implies that 
$$(p-1)|m$$
  $\{m \mid m = \prod_{q \mid q \text{ is a prime} \leq B} q^{\log n}\}$ 

By Fermat's little theorem, this implies that  $p|(a^m-1)$  and therefore by computing

$$gcd(a^m-1,n)$$

for some a a factor of n can be obtained.

### POLLARD's p-1 algorithm - second version

Pollard's algorithm (to factor n given a bound b on factors).

$$a := 2;$$

for j=2 to b do  $a := a^j \mod n$ ;

$$f := \gcd(a-1, n);$$
  $- \{ f = \gcd(2^{b!} - 1, n) \}$ 

if 1 < f < n then f is a factor of n otherwise failure

Indeed, let p be a prime divisor of n and q < b for every prime q | (p-1). (Hence (p-1)|b|).

At the end of the for-loop we have

$$a \equiv 2^{b!} \pmod{n}$$

and therefore

$$a \equiv 2^{b!} \pmod{p}$$

By Fermat theorem  $2^{p-1} \equiv 1 \pmod{p}$  and since (p-1)|b! we get  $a \equiv 2^{b!} \equiv 1 \pmod{p}$ . and therefore we have p|(a-1)

Hence

$$p|gcd(a-1,n)$$

### **IMPORTANT OBSERVATIONS II**

Pollard  $\rho$ -methods work fine for numbers with a small factor.

The p-1 methods requires that p-1 is smooth. The elliptic curve method requires only that there are enough smooth integers near p and so at least one of randomly chosen integers near p is smooth.

This means that the elliptic curves factorization method succeeds much more often than p-1 method.

Fermat factorization and Quadratic Sieve method discussed later work fine if integer has two factors of almost the same size.

#### **FACTORING with ELLIPTIC CURVES**

Basis idea: To factorize an integer n choose an elliptic curve  $E_n$ , a point P on E and compute, modulo n, either iP for  $i = 2, 3, 4, \ldots$  or  $2^j P$  for  $j = 1, 2, \ldots$ 

The point is that in such calculations one needs to compute gcd(k,n) for various k. If one of these values is between 1 and n we have a factor of n.

Factoring of large integers: The above idea can be easily parallelised and converted to using an enormous number of computers to factor a single very large n. Indeed, each computer gets some number of elliptic curves and some points on them and multiplies these points by some integers according to the rule for addition of points. If one of computers encounters, during such a computation, a need to compute 1 < gcd(k, n) < n, factorization is finished.

Example: If curve  $E: y^2 = x^3 + 4x + 4 \pmod{2773}$  and its point P = (1,3) are used, then 2P = (1771,705) and in order to compute 3P one has to compute gcd(1770,2773) = 59 – factorization is done.

### A BRIEF VERSION of THE BASIC ALGORITHM

- 1. Fix a B to choose a factor base (of all primes smaller than B)
- 2. Compute

$$m = \prod_{\{q \mid q \text{ is a prime} \le B} \} q^{\log n}$$

- 3. Choose random a, b such that  $a^3 27b^2 \neq 0 \pmod{n}$
- 4. Choose a random point P on the elliptic curve  $E_n(a,b)$
- 5. Try to compute mP.

If this fails a factor of *n* is found.

### **EXAMPLES**

# Example 1: For elliptic curve

$$E: y^2 = x^3 + x - 1 \pmod{35}$$

and its point P = (1,1) we have

$$2P = (2,32); 4P = (25,12); 8P = (6,9)$$

and at the attempt to compute 9P one needs to compute gcd(15,35) = 5 and factorization is done.

It remains to be explored how efficient this method is and when it is more efficient than other methods.

## **IMPORTANT OBSERVATIONS (1)**

- If n = pq for primes p, q, then an elliptic curve  $E_n$  can be seen as a pair of elliptic curves  $E_p$  and  $E_q$ .
- It follows from the Lagrange theorem that for any elliptic curve  $E_n$  and its point P there is an k < n such that  $kP = \infty$ .
- In case of an elliptic curve  $E_p$  for some prime p, the smallest positive integer m such that  $mP = \infty$  for some point P divides the number N of points on the curve  $E_p$ . Hence  $NP = \infty$ .
  - If N is a product of small primes, then b! will be a multiple of N for a reasonable small b. Therefore,  $b!P = \infty$ .
- The number with only small factors is called smooth and if all factors are smaller than an b, then it is called b-smooth.

It can be shown that the density of smooth integers is so large that if we choose a random elliptic curve  $E_n$  then it is a reasonable chance that n is smooth.

### PRACTICALITY of Factoring USING ECC I

Let us continue to discuss the following key problem for factorization using elliptic curves:

Problem: How to choose integer k such that for a given point P we should try to compute points iP or  $2^{i}P$  for all multiples of P smaller than kP?

Idea: If one searches for m-digits factors, one chooses k in such a way that k is a multiple of as many as possible of those m-digit numbers which do not have too large prime factors. In such a case one has a good chance that k is a multiple of the number of elements of the group of points of the elliptic curve modulo n.

Method 1: One chooses an integer B and takes as k the product of all maximal powers of primes smaller than B.

Example: In order to find a 6-digit factor one chooses B=147 and  $k=2^7\cdot 3^4\cdot 5^3\cdot 7^2\cdot 11^2\cdot 13\cdot \ldots \cdot 139$ . The following table shows B and the number of elliptic curves one has to test:

### PRACTICALITY of FACTORING USING ECC - II

Digits of to-be-factors	6	9	12	18	24	30
В	147	682	2462	23462	162730	945922
Number of curves	10	24	55	231	833	2594

Computation time by the elliptic curves method depends on the size of factors.

### **ELLIPTIC CURVES FACTORIZATION: FAQ**

- How to choose (randomly) an elliptic curve E and point P on E? An easy way is first choose a point P(x, y) and an a and then compute  $b = y^2 x^3 ax$  to get the curve  $E: y^2 = x^3 + ax + b$ .
- What happens at the factorization using elliptic curve method, if for a chosen curve  $E_n$ ) the corresponding cubic polynomial  $x^3 + ax + b$  has multiple roots (that is if  $4a^3 + 27b^2 = 0$ )? No problem, method still works.
- What kind of elliptic curves are really used in cryptography? Elliptic curves over fields  $GF(2^n)$  for n > 150. Dealing with such elliptic curves requires, however, slightly different rules.
- History of ECC? The idea came from Neal Koblitz and Victor S. Miller in 1985. Best known algorithm is due to Lenstra.
- How secure is ECC?No mathematical proof of security is know.
- How about patents concerning ECC? There are patents in force covering certain aspects of ECC technology.

### **FACTORIZATION on QUANTUM COMPUTERS**

In the following we present the basic idea behind a polynomial time algorithm for quantum computers to factorize integers.

Quantum computers works with superpositions of basic quantum states on which very special (unitary) operations are applied and and very special quantum features (non-locality) are used.

Quantum computers work not with bits, that can take on any of two values 0 and 1, but with qubits (quantum bits) that can take on any of infinitely many states  $\alpha|0\rangle+\beta|1\rangle$ , where  $\alpha$  and  $\beta$  are complex numbers such that  $|\alpha|^2+|\beta|^2=1$ .

### **REDUCTIONS**

Shor's polynomial time quantum factorization algorithm is based on an understanding that factorization problem can be reduced

- first on the problem of solving a simple modular quadratic equation;
- second on the problem of finding periods of functions  $f(x) = a^x \mod n$ .

#### FIRST REDUCTION

Lemma If there is a polynomial time deterministic (randomized) [quantum] algorithm to find a nontrivial solution of the modular quadratic equations

$$a^2 \equiv 1 \pmod{n}$$
,

then there is a polynomial time deterministic (randomized) [quantum] algorithm to factorize integers.

**Proof.** Let  $a \neq \pm 1$  be such that  $a^2 \equiv 1 \pmod{n}$ . Since

$$a^2-1=(a+1)(a-1),$$

if n is not prime, then a prime factor of n has to be a prime factor of either a+1 or a-1. By using Euclid's algorithm to compute

$$gcd(a+1, n)$$
 and  $gcd(a-1, n)$ 

we can find, in  $O(\lg n)$  steps, a prime factor of n.

### **SECOND REDUCTION**

The second key concept is that of the **period** of functions

$$f_{n,x}(k) = x^k \mod n.$$

Period is the smallest integer r such that

$$f_{n,x}(k+r)=f_{n,x}(k)$$

for any k, i.e. the smallest r such that

$$x^r \equiv 1 \pmod{n}$$
.

### AN ALGORITHM TO SOLVE EQUATION $x^2 \equiv 1 \pmod{n}$ .

- $\blacksquare$  Choose randomly 1 < a < n.
- **2** Compute gcd(a, n). If  $gcd(a, n) \neq 1$  we have a factor.
- $\blacksquare$  Find period r of function  $a^k \mod n$ .
- If r is odd or  $a^{r/2} \equiv \pm 1 \pmod{n}$ , then go to step 1; otherwise stop.

If this algorithm stops, then  $a^{r/2}$  is a non-trivial solution of the equation

$$x^2 \equiv 1 \pmod{n}$$
.

#### **EXAMPLE**

Let n = 15. Select a < 15 such that gcd(a, 15) = 1. {The set of such a is  $\{2, 4, 7, 8, 11, 13, 14\}$ }

Choose a = 11. Values of  $11^x \mod 15$  are then

which gives r = 2.

Hence  $a^{r/2} = 11 \pmod{15}$ . Therefore

$$gcd(15,12) = 3, \qquad gcd(15,10) = 5$$

For a = 14 we get again r = 2, but in this case

$$14^{2/2} \equiv -1 \pmod{15}$$

and the following algorithm fails.

- $\blacksquare$  Choose randomly 1 < a < n.
- 2 Compute gcd(a, n). If  $gcd(a, n) \neq 1$  we have a factor.
- Find period r of function  $a^k \mod n$ .
- If r is odd or  $a^{r/2} \equiv \pm 1 \pmod{n}$ , then go to step 1; otherwise stop.

### **EFFICIENCY of REDUCTION**

**Lemma** If 1 < a < n satisfying gcd(n, a) = 1 is selected in the above algorithm randomly and n is not a power of prime, then

$$Pr\{r \text{ is even and } a^{r/2} \not\equiv \pm 1\} \geq \frac{9}{16}.$$

- $\blacksquare$  Choose randomly 1 < a < n.
- $\square$  Compute gcd(a, n). If  $gcd(a, n) \neq 1$  we have a factor.
- Find period r of function  $a^k \mod n$ .
- If r is odd or  $a^{r/2} \equiv \pm 1 \pmod{n}$ , then go to step 1; otherwise stop.

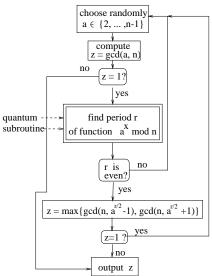
**Corollary** If there is a polynomial time randomized [quantum] algorithm to compute the period of the function

$$f_{n,a}(k) = a^k \mod n$$

then there is a polynomial time randomized [quantum] algorithm to find non-trivial solution of the equation  $a^2 \equiv 1 \pmod{n}$  (and therefore also to factorize integers).

### A GENERAL SCHEME for Shor's ALGORITHM

The following flow diagram shows the general scheme of Shor's quantum factorization algorithm



# QUADRATIC SIEVE METHOD of FACTORIZATION - BASIC IDEAS

**Step 1** To factorize an n one finds many integers x such that  $x^2 - n$ , n = 7429, has only small factors and decomposition of  $x^2 - n$  into small factors.

Example 
$$83^2 - 7429 = -540 = (-1) \cdot 2^2 \cdot 3^3 \cdot 5$$
  
 $87^2 - 7429 = 140 = 2^2 \cdot 5 \cdot 7$   
 $88^2 - 7429 = 315 = 3^2 \cdot 5 \cdot 7$  relations

**Step 2** One multiplies some of the relations if their product is a square.

For example

$$(87^2 - 7429)(88^2 - 7429) = 2^2 \cdot 3^2 \cdot 5^2 \cdot 7^2 = 210^2$$

Now

$$(87^2 - 7429)(88^2 - 7429) \equiv (87 \cdot 88)^2 \equiv 7656^2 \equiv 227^2 \mod 7429$$
  
and therefore  $227^2 \equiv 210^2 \mod 7429$ 

Hence 7429 divides  $227^2 - 210^2$  and therefore 17 = 227 - 210 is a factor of 7429.

Formation of equations: For the i-th relation one takes a variable  $\lambda_i$  and forms the expression

$$\begin{array}{ll} ((-1)\cdot 2^2\cdot 3^3\cdot 5)^{\lambda_1}\cdot (2^2\cdot 5\cdot 7)^{\lambda_2}\cdot (3^2\cdot 5\cdot 7)^{\lambda_3} = (-1)^{\lambda_1}\cdot 2^{2\lambda_1+2\lambda_2}\cdot 3^{2\lambda_1+2\lambda_2}\cdot 5^{\lambda_1+\lambda_2+\lambda_3}\cdot 7^{\lambda_2+\lambda_3}\\ \text{If this is to form a square the} \qquad \lambda_1 \qquad \qquad \equiv 0 \mod 2\\ \text{following equations have to hold} \qquad \lambda_1+\lambda_2+\lambda_3 \qquad \equiv 0 \mod 2\\ \qquad \qquad \lambda_2+\lambda_3 \qquad \equiv 0 \mod 2\\ \qquad \qquad \lambda_1=0, \qquad \lambda_2=\lambda_3=1 \end{array}$$

### QUADRATIC SIEVE FACTORIZATION - SKETCH of METHODS

Problem How to find relations?

Using the algorithm called Quadratic sieve method.

**Step 1** One chooses a set of primes that can be factors – a so-called factor basis.

One chooses an m such that  $m^2 - n$  is small and considers numbers  $(m + u)^2 - n$  for  $-k \le u \le k$  for small k.

One then tries to factor all  $(m+u)^2 - n$  with primes from the factor basis, from the smallest to the largest - see table for n=7429 and m=86.

u	-3	-2	-1	0	1	2	3
$(m+u)^2-n$	-540	-373	-204	-33	140	315	492
Sieve with 2	-135		-51		35		123
Sieve with 3	-5		-17	-11		35	41
Sieve with 5	-1				7	7	
Sieve with 7					1	1	

In order to factor a 129-digit number from the RSA challenge they used

8 424 486 relations 569 466 equations 544 939 elements in the factor base

# **QUADRATIC SIEVE (QS) FACTORIZATION - SUMMARY I**

- Method was invented Carl Pomerance in 1981.
- It is currently second fastest factorization method known and the fastest one for factoring integers under 100 decimal digits.
- It consists of two phases: data collection and data processing.
- In data collection phase for factoring n a huge set of such integers x is found that numbers  $(x + \lceil \sqrt{n} \rceil)^2 n$  have only small factors as well all these factors. This phase is easy to parallelize and can use methods called **sieving** for finding all required integers with only small factors.
- In data processing phase a system of linear congruences is formed on the basis of factorizations obtained in the data collection phase and this system is solved to reach factorization. This phase is much memory consuming for storing huge matrices and so hard to parallelise.
- The basis of sieving is the fact that if  $y(x) = x^2 n$ , then for any prime p it holds  $y(x + kp) \equiv y(x)$  ( mod p) and therefore solving  $y(x) \equiv 0$  mod p for x generate a whole sequence of y which are divisible by p.
- The general running time of QS, to factor n, is

$$e^{(1+o(1))\sqrt{\lg n \lg \lg n}}$$

■ The current record of QS is a 135-digit co-factor of  $2^{803} - 2^{402} - 1$ .

#### **FACTORING ALGORITHMS RUNNING TIMES**

Let p denote the smallest factor of an integer n and  $p^*$  the largest prime factor of p-1.

Pollard's Rho algorithm  $O(\sqrt{p})$  Pollard's p-1 algorithm  $O(p^*)$  Elliptic curve method  $\emptyset(e^{(1+o(1))\sqrt{2\ln p \ln \ln p}})$  Quadratic sieve method  $\emptyset(e^{1+o(1))\sqrt{(\ln n \ln \ln n)}}$  General number field sieve (GNFS) method  $\emptyset(e^{(\frac{64}{9}\ln n)^{1/3}(\ln \ln n)^{2/3}})$ 

The most efficient factorization method, for factorization of integers with more than 100 digits, is the general number field sieve method (superpolynomial but sub-exponential); The second fastest is the quadratic sieve method.

#### **APPENDIX**

# **APPENDIX**

#### HISTORICAL REMARKS on ELLIPTIC CURVES

Elliptic curves are not ellipses and therefore it seems strange that they have such a name. Elliptic curves actually received their names from their relation to so called elliptic integrals

$$\int_{x1}^{x2} \frac{dx}{\sqrt{x^3 + ax + b}}$$

$$\int_{x1}^{x2} \frac{xdx}{\sqrt{x^3 + ax + b}}$$

that arise in the computation of the arc-length of ellipses.

It may also seem puzzling why to consider curves given by equations

$$E: y^2 = x^3 + ax + b$$

and not curves given by more general equations

$$y^2 + cxy + dy = x^3 + ex^2 + ax + b$$

The reason is that if we are working with rational coefficients or  $\operatorname{mod} p$ , where p > 3 is a prime, then such a general equation can be transformed to our special case of equation. In other cases, it may be indeed necessary to consider the most general form of equation.

#### **ELLIPTIC CURVES - GENERALITY**

A general elliptic curve over  $Z_{p^m}$  where p is a prime is the set of points (x, y) satisfying so-called Weierstrass equation

$$y^2 + uxy + vy = x^3 + ax^2 + bx + c$$

for some constants u, v, a, b, c together with a single element  $\mathbf{0}$ , called the point of infinity.

If  $p \neq 2$  Weierstrass equation can be simplified by transformation

$$y\to\frac{y-(ux+v)}{2}$$

to get the equation

$$y^2 = x^3 + dx^2 + ex + f$$

for some constants d, e, f and if  $p \neq 3$  by transformation

$$x \rightarrow x - \frac{d}{3}$$

to get equation

$$y^2 = x^3 + fx + g$$

#### HISTORY of ELLIPTIC CURVES CRYPTOGRAPHY

- The use of elliptic curves in cryptography was suggested independently by Neal Koblitz and Victor S. Miller in 1985.
- Behind this method is the belief that the discrete logarithm of a random elliptic curve element with respect to publicly known base point is infeasible.
- At first only elliptic curves over a prime finite field were used for ECC. Later also elliptic curves over the fields  $GF(2^m)$  started to be used.
- In 2005 the US NSA endorsed to use ECC (Elliptic curves cryptography) with 384-bit key to protect information classified as "top secret".
- There are patents in force covering certain aspects of ECC technology.
- Elliptic curves have been first used for factorization by Lenstra.
- Elliptic curves played an important role in perhaps most celebrated mathematical proof of the last hundred years - in the proof of Fermat's Last Theorem - due to A. Wiles and R. Taylor.

#### **ELLIPTIC CURVES FACTORIZATION - DETAILS**

Given an n such that gcd(n, 6) = 1 and let the smallest factor of n be expected to be smaller than an F. One should then proceed as follows:

Choose an integer parameter r and:

Select, randomly, an elliptic curve

$$E: y^2 = x^3 + ax + b$$

such that  $gcd(n, 4a^2 + 27b^2) = 1$  and a random point P on E.

Choose integer bounds A,B,M such that

$$M = \prod_{j=1}^{l} p_j^{a_{p_j}}$$

for some primes  $p_1 < p_2 < \ldots < p_l \le B$  and  $a_{p_j}$ , being the largest exponent such that  $p_i^{a_j} \le A$ .

Set 
$$j = k = 1$$

- $\blacksquare$  Calculate  $p_i P$ .
- Computing gcd.
  - $\blacksquare$  If  $p_jP 
    eq O \pmod n$ , then set  $P=p_jP$  and reset  $k \leftarrow k+1$ 
    - If  $k \leq a_{p_i}$ , then go to step (3).

#### **ELLIPTIC CURVES FACTORIZATION - DETAILS II**

- If  $k > a_{p_j}$ , then reset  $j \leftarrow j+1$ ,  $k \leftarrow 1$ . If  $j \le l$ , then go to step (3); otherwise go to step (5)
- If  $p_j P \equiv O(\mod n)$  and no factor of n was found at the computation of inverse elements, then go to step (5)
- Reset  $r \leftarrow r 1$ . If r > 0 go to step (1); otherwise terminate with "failure". The "smoothness bound" B is recommended to be chosen as

$$B = e^{\sqrt{\frac{\ln F(\ln \ln F)}{2}}}$$

and in such a case running time is

$$O(e^{\sqrt{2+o(1\ln F(\ln\ln F))}\ln^2 n})$$

## **POLLARD** ρ-METHOD in GENERAL

A variety of factorization algorithms, of complexity around  $O(\sqrt{p})$  where p is the smallest prime factor of n, is based on the following idea:

- A function f is taken that "behaves like a randomizing function" and  $f(x) \equiv f(x \mod p) \pmod p$  for any factor p of n usually  $f(x) = x^2 + 1$
- $\blacksquare$  A random  $x_0$  is taken and iteration

$$x_{i+1} = f(x_i) \mod n$$

is performed (this modulo n computation actually "hides" modulo p computation in the following sense: if  $x'_0 = x_0$ ,  $x'_{i+1} = f(x'_i) \mod n$ , then  $x'_i = x_i \mod p$ )

- Since  $\mathbf{Z}_p$  is finite, the shape of the sequence  $x_i'$  will remind the letter  $\rho$ , with a tail and a loop. Since f is "random", the loop modulo n rarely synchronizes with the loop modulo p
- The loop is easy to detect by GCD-computations and it can be shown that the total length of tail and loop is  $O(\sqrt{p})$ .

#### LOOP DETECTION

In order to detect the loop it is enough to perform the following computation:

$$a \leftarrow x_0$$
;  $b \leftarrow x_0$ ;  
repeat  
 $a \leftarrow f(a)$ ;  
 $b \leftarrow f(f(b))$ ;  
until  $a = b$ 

Iteration ends if  $a_t = b_{2t}$  for some t greater than the tail length and a multiple of the loop length.

#### **FACTORIZATION** of a 512-BIT NUMBER

On August 22, 1999, a team of scientists from 6 countries found, after 7 months of computing, using 300 very fast SGI and SUN workstations and Pentium II, factors of the so-called RSA-155 number with 512 bits (about 155 digits).

RSA-155 was a number from a Challenge list issue by the US company RSA Data Security and "represented" 95 % of 512-bit numbers used as the key to protect electronic commerce and financial transmissions on Internet.

Factorization of RSA-155 would require in total 37 years of computing time on a single computer.

When in 1977 Rivest and his colleagues challenged the world to factor RSA-129, he estimated that, using knowledge of that time, factorization of RSA-129 would require  $10^{16}$  years.

#### RSA FACTORING CHALLENGES

- In 1991 RSA Laboratories published a list of semi-primes (numbers that are product of two primes) and prizes for their decoding.
- Numbers are named as RSA-x, where x is number of decimal or binary digits of the number.
- The largest price cashed so far was 30 000 \$ for factorization of RSA-704.
- The largest price offered was 200 000 \$ for factorization of RSA-2024.
- Challenge is no longer active no longer are prices given.
- Numbers were generated on a computer with no network connections and after their generation hard drive was destroyed and therefore nobody knows their factorization.