## Chapter 3: Relational Model

- Structure of Relational Databases
- Relational Algebra
- Tuple Relational Calculus
- Domain Relational Calculus
- Extended Relational-Algebra-Operations
- Modification of the Database
- Views


## Basic Structure

- Given sets $A_{1}, A_{2}, \ldots, A_{n}$ a relation $r$ is a subset of $A_{1} \times A_{2} \times \ldots \times A_{n}$
Thus a relation is a set of $n$-tuples $\left(a_{1}, a_{2}, \ldots, a_{n}\right)$ where $a_{i} \in A_{i}$
- Example: If

$$
\begin{aligned}
& \text { customer-name }=\{\text { Jones, Smith, Curry, Lindsay }\} \\
& \text { customer-street }=\{\text { Main, North, Park }\} \\
& \text { customer-city }=\{\text { Harrison, Rye, Pittsfield }\}
\end{aligned}
$$

Then $r=\{($ Jones, Main, Harrison), (Smith, North, Rye), (Curry,
North, Rye), (Lindsay, Park, Pittsfield) $\}$ is a relation over

$$
\text { customer-name } \times \text { customer-street } \times \text { customer-city }
$$

## Relation Schema

- $A_{1}, A_{2}, \ldots, A_{n}$ are attributes
- $R=\left(A_{1}, A_{2}, \ldots, A_{n}\right)$ is a relation schema

Customer-schema $=$ (customer-name, customer-street, customer-city)

- $r(R)$ is a relation on the relation schema $R$
customer (Customer-schema)


## Relation Instance

- The current values (relation instance) of a relation are specified by a table.
- An element $t$ of $r$ is a tuple; represented by a row in a table.

| customer-name | customer-street | customer-city |
| :--- | :--- | :--- |
| Jones | Main | Harrison |
| Smith | North | Rye |
| Curry | North | Rye |
| Lindsay | Park | Pittsfield |
| customer |  |  |

## Keys

- Let $K \subseteq R$
- $K$ is a superkey of $R$ if values for $K$ are sufficient to identify a unique tuple of each possible relation $r(R)$. By "possible $r$ " we mean a relation $r$ that could exist in the enterprise we are modeling.
Example: \{customer-name, customer-street\} and \{customer-name\} are both superkeys of Customer, if no two customers can possibly have the same name.
- $K$ is a candidate key if $K$ is minimal

Example: \{customer-name\} is a candidate key for Customer, since it is a superkey (assuming no two customers can possibly have the same name), and no subset of it is a superkey.

## Determining Keys from E-R Sets

- Strong entity set. The primary key of the entity set becomes the primary key of the relation.
- Weak entity set. The primary key of the relation consists of the union of the primary key of the strong entity set and the discriminator of the weak entity set.
- Relationship set. The union of the primary keys of the related entity sets becomes a super key of the relation.
For binary many-to-many relationship sets, above super key is also the primary key.
For binary many-to-one relationship sets, the primary key of the "many" entity set becomes the relation's primary key.
For one-to-one relationship sets, the relation's primary key can be that of either entity set.


## Query Languages

- Language in which user requests information from the database.
- Categories of languages:
- Procedural
- Non-procedural
- "Pure" languages:
- Relational Algebra
- Tuple Relational Calculus
- Domain Relational Calculus
- Pure languages form underlying basis of query languages that people use.


## Relational Algebra

- Procedural language
- Six basic operators
- select
- project
- union
- set difference
- Cartesian product
- rename
- The operators take two or more relations as inputs and give a new relation as a result.


## Select Operation

- Notation: $\sigma_{P}(r)$
- Defined as:

$$
\sigma_{P}(r)=\{t \mid t \in r \text { and } P(t)\}
$$

Where $P$ is a formula in propositional calculus, dealing with terms of the form:

| $<$ attribute $>$ | $=\quad$ attribute $>$ or $<$ constant $>$ |
| ---: | :--- |
|  | $\neq$ |
|  | $>$ |
|  | $\geq$ |
|  | $<$ |
|  | $\leq$ |

"connected by": $\wedge$ (and), $\vee($ or $), \neg($ not $)$

## Select Operation - Example

- Relation $r$ :

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
| $\alpha$ | $\alpha$ | 1 | 7 |
| $\alpha$ | $\beta$ | 5 | 7 |
| $\beta$ | $\beta$ | 12 | 3 |
| $\beta$ | $\beta$ | 23 | 10 |

- $\sigma_{A=B} \wedge D>5(r)$

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
| $\alpha$ | $\alpha$ | 1 | 7 |
| $\beta$ | $\beta$ | 23 | 10 |

## Project Operation

- Notation:

$$
\Pi_{A_{1}, A_{2}, \ldots, A_{k}}(r)
$$

where $A_{1}, A_{2}$ are attribute names and $r$ is a relation name.

- The result is defined as the relation of $k$ columns obtained by erasing the columns that are not listed
- Duplicate rows removed from result, since relations are sets


## Project Operation - Example

- Relation $r$ :

| $A$ | $B$ | $C$ |
| :---: | :---: | :---: |
| $\alpha$ | 10 | 1 |
| $\alpha$ | 20 | 1 |
| $\beta$ | 30 | 1 |
| $\beta$ | 40 | 2 |

- $\Pi_{A, C}(r)$

| $A$ | $C$ |
| :---: | :---: |
| $\alpha$ | 1 |
| - | + |
| $\beta$ | 1 |
| $\beta$ | 2 |$=$| $A$ | $C$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\beta$ | 2 |

## Union Operation

- Notation: $r \cup s$
- Defined as:

$$
r \cup s=\{t \mid t \in r \text { or } t \in s\}
$$

- For $r \cup s$ to be valid,

1. $r, s$ must have the same arity (same number of attributes)
2. The attribute domains must be compatible (e.g., 2nd column of $r$ deals with the same type of values as does the 2nd column of $s$ )

## Union Operation - Example

- Relations r,s:

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\alpha$ | 2 |
| $\beta$ | 1 |
| $r$ |  |


| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 2 |
| $\beta$ | 3 |
| $s$ |  |

- r $\cup s$

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\alpha$ | 2 |
| $\beta$ | 1 |
| $\beta$ | 3 |

## Set Difference Operation

- Notation: $r$ - $s$
- Defined as:

$$
r-s=\{t \mid t \in r \text { and } t \notin s\}
$$

- Set differences must be taken between compatible relations.
- $r$ and $s$ must have the same arity
- attribute domains of $r$ and $s$ must be compatible


## Set Difference Operation - Example

- Relations $r, s$ :

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\alpha$ | 2 |
| $\beta$ | 1 |
| $r$ |  |


| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 2 |
| $\beta$ | 3 |
| $S$ |  |

- r-s

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\beta$ | 1 |

## Cartesian-Product Operation

- Notation: $r \times s$
- Defined as:

$$
r \times s=\{t q \mid t \in r \text { and } q \in s\}
$$

- Assume that attributes of $r(R)$ and $s(S)$ are disjoint. (That is, $R \cap S=\emptyset)$.
- If attributes of $r(R)$ and $s(S)$ are not disjoint, then renaming must be used.


## Cartesian-Product Operation - Example

- Relations $r, s$ :

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\beta$ | 2 |
| $r$ |  |


| $C$ | $D$ | $E$ |  |
| :---: | :---: | :---: | :---: |
| $\alpha$ | 10 | + |  |
| $\beta$ | 10 | + |  |
| $\beta$ | 20 | - |  |
| $\gamma$ | 10 | - |  |
| $S$ |  |  |  |

- $r \times s$

| $A$ | $B$ | $C$ | $D$ | $E$ |
| :---: | :---: | :---: | :---: | :---: |
| $\alpha$ | 1 | $\alpha$ | 10 | + |
| $\alpha$ | 1 | $\beta$ | 10 | + |
| $\alpha$ | 1 | $\beta$ | 20 | - |
| $\alpha$ | 1 | $\gamma$ | 10 | - |
| $\beta$ | 2 | $\alpha$ | 10 | + |
| $\beta$ | 2 | $\beta$ | 10 | + |
| $\beta$ | 2 | $\beta$ | 20 | - |
| $\beta$ | 2 | $\gamma$ | 10 | - |

## Composition of Operations

- Can build expressions using multiple operations
- Example: $\sigma_{A=C}(r \times s)$
- $r \times s$
- Notation: $r \bowtie s$
- Let $r$ and $s$ be relations on schemas $R$ and $S$ respectively. The result is a relation on schema $R \cup S$ which is obtained by considering each pair of tuples $t_{r}$ from $r$ and $t_{s}$ from $s$.
- If $t_{r}$ and $t_{s}$ have the same value on each of the attributes in $R \cap S$, a tuple $t$ is added to the result, where
* $t$ has the same value as $t_{r}$ on $r$
* $t$ has the same value as $t_{s}$ on $s$


## Composition of Operations (Cont.)

Example:

$$
\begin{aligned}
& R=(A, B, C, D) \\
& S=(E, B, D)
\end{aligned}
$$

- Result schema $=(A, B, C, D, E)$
- $r \bowtie s$ is defined as:

$$
\Pi_{r . A, r . B, r . C, r . D, S . E}\left(\sigma_{r . B=s . B \wedge r . D=s . D}(r \times s)\right)
$$

## Natural Join Operation - Example

- Relations $r, s$ :

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
| $\alpha$ | 1 | $\alpha$ | a |
| $\beta$ | 2 | $\gamma$ | a |
| $\gamma$ | 4 | $\beta$ | b |
| $\alpha$ | 1 | $\gamma$ | a |
| $\delta$ | 2 | $\beta$ | b |
| $r$ |  |  |  |


| $B$ | $D$ | $E$ |
| :---: | :---: | :---: |
| 1 | a | $\alpha$ |
| 3 | a | $\beta$ |
| 1 | a | $\gamma$ |
| 2 | b | $\delta$ |
| 3 | b | $\epsilon$ |
| S |  |  |

- $r \bowtie s$

| $\boldsymbol{A}$ | $B$ | $C$ | $D$ | $E$ |
| :---: | :---: | :---: | :---: | :---: |
| $\alpha$ | 1 | $\alpha$ | a | $\alpha$ |
| $\alpha$ | 1 | $\alpha$ | a | $\gamma$ |
| $\alpha$ | 1 | $\gamma$ | a | $\alpha$ |
| $\alpha$ | 1 | $\gamma$ | a | $\gamma$ |
| $\delta$ | 2 | $\beta$ | b | $\delta$ |

## Division Operation

$$
r \div s
$$

- Suited to queries that include the phrase "for all."
- Let $r$ and $s$ be relations on schemas $R$ and $S$ respectively, where
$-R=\left(A_{1}, \ldots, A_{m}, B_{1}, \ldots, B_{n}\right)$
$-S=\left(B_{1}, \ldots, B_{n}\right)$
The result of $r \div s$ is a relation on schema

$$
R-S=\left(A_{1}, \ldots, A_{m}\right)
$$

$$
r \div s=\left\{t \mid t \in \Pi_{R-s}(r) \wedge \forall u \in s(t u \in r)\right\}
$$

## Division Operation (Cont.)

- Property
- Let $q=r \div s$
- Then $q$ is the largest relation satisfying: $q \times s \subseteq r$
- Definition in terms of the basic algebra operation Let $r(R)$ and $s(S)$ be relations, and let $S \subseteq R$

$$
r \div s=\Pi_{R-S}(r)-\Pi_{R-S}\left(\left(\Pi_{R-S}(r) \times s\right)-\Pi_{R-S, S}(r)\right)
$$

To see why:

- $\Pi_{R-s, s}(r)$ simply reorders attributes of $r$
- $\Pi_{R-s}\left(\left(\Pi_{R-S}(r) \times s\right)-\Pi_{R-S, s}(r)\right)$ gives those tuples $t$ in $\Pi_{R-s}(r)$ such that for some tuple $u \in s, t u \notin r$.


## Division Operation - Example

- Relations $r, s$ :

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\alpha$ | 2 |
| $\alpha$ | 3 |
| $\beta$ | 1 |
| $\gamma$ | 1 |
| $\delta$ | 1 |
| $\delta$ | 3 |
| $\delta$ | 4 |
| $\delta$ | 6 |
| $\epsilon$ | 1 |
| $\epsilon$ | 2 |


| $B$ |
| :---: |
| 1 |
| 2 |
| $S$ |

- $r \div s$

| $A$ |
| :---: |
| $\alpha$ |
| $\epsilon$ |

## Another Division Example

- Relations $r, s$ :

| $A$ | $B$ | $C$ | $D$ | $E$ |
| :---: | :---: | :---: | :---: | :---: |
| $\alpha$ | a | $\alpha$ | a | 1 |
| $\alpha$ | a | $\gamma$ | a | 1 |
| $\alpha$ | a | $\gamma$ | b | 1 |
| $\beta$ | a | $\gamma$ | a | 1 |
| $\beta$ | a | $\gamma$ | b | 3 |
| $\gamma$ | a | $\gamma$ | a | 1 |
| $\gamma$ | a | $\gamma$ | b | 1 |
| $\gamma$ | a | $\beta$ | b | 1 |
| $r$ |  |  |  |  |


| $D$ | $E$ |
| :---: | :---: |
| a | 1 |
| b | 1 |
| $S$ |  |

- $r \div s$

| $A$ | $B$ | $C$ |
| :---: | :---: | :---: |
| $\alpha$ | a | $\gamma$ |
| $\gamma$ | a | $\gamma$ |

## Assignment Operation

- The assignment operation $(\leftarrow)$ provides a convenient way to express complex queries; write query as a sequential program consisting of a series of assignments followed by an expression whose value is displayed as the result of the query.
- Assignment must always be made to a temporary relation variable.
- Example: Write $r \div s$ as

$$
\begin{aligned}
& \text { temp } 1 \leftarrow \Pi_{R-S}(r) \\
& \text { temp } 2 \leftarrow \Pi_{R-S}\left((t e m p 1 \times s)-\Pi_{R-S, S}(r)\right) \\
& \text { result }=\text { temp } 1-\text { temp } 2
\end{aligned}
$$

- The result to the right of the $\leftarrow$ is assigned to the relation variable on the left of the $\leftarrow$.
- May use variable in subsequent expressions.


## Example Queries

- Find all customers who have an account from at least the "Downtown" and "Uptown" branches.
- Query 1

$$
\begin{gathered}
\Pi_{C N}\left(\sigma_{B N}=\text { "Downtown" }(\text { depositor } \bowtie \text { account })\right) \cap \\
\Pi_{C N}\left(\sigma_{B N}=\text { "Uptown" }(\text { depositor } \bowtie \text { account })\right)
\end{gathered}
$$

where $C N$ denotes customer-name and $B N$ denotes branch-name.

- Query 2

$$
\begin{aligned}
& \Pi_{\text {customer-name, branch-name }}(\text { depositor } \bowtie \text { account }) \\
& \div \rho_{\text {temp(branch-name }}(\{\text { ("Downtown"), ("Uptown") }\})
\end{aligned}
$$

## Example Queries

- Find all customers who have an account at all branches located in Brooklyn.

$$
\begin{gathered}
\Pi_{\text {customer-name, branch-name }}(\text { depositor } \bowtie \text { account }) \\
\div \Pi_{\text {branch-name }}\left(\sigma_{\text {branch-city }} \text { "Brooklyn" }(\text { branch })\right)
\end{gathered}
$$

## Tuple Relational Calculus

- A nonprocedural query language, where each query is of the form

$$
\{t \mid P(t)\}
$$

- It is the set of all tuples $t$ such that predicate $P$ is true for $t$
- $t$ is a tuple variable; $t[A]$ denotes the value of tuple $t$ on attribute $A$
- $t \in r$ denotes that tuple $t$ is in relation $r$
- $P$ is a formula similar to that of the predicate calculus


## Predicate Calculus Formula

1. Set of attributes and constants
2. Set of comparison operators: (e.g., $<, \leq,=, \neq,>, \geq$ )
3. Set of connectives: and $(\wedge)$, or $(\vee)$, not ( $\neg$ )
4. Implication $(\Rightarrow): x \Rightarrow y$, if $x$ if true, then $y$ is true

$$
x \Rightarrow y \equiv \neg x \vee y
$$

5. Set of quantifiers:

- $\exists t \in r(Q(t)) \equiv$ "there exists" a tuple $t$ in relation $r$ such that predicate $Q(t)$ is true
- $\forall t \in r(Q(t)) \equiv Q$ is true "for all" tuples $t$ in relation $r$


## Banking Example

branch (branch-name, branch-city, assets)
customer (customer-name, customer-street, customer-city)
account (branch-name, account-number, balance)
loan (branch-name, loan-number, amount)
depositor (customer-name, account-number)
borrower (customer-name, loan-number)

## Example Queries

- Find the branch-name, loan-number, and amount for loans of over \$1200

$$
\{t \mid t \in \text { loan } \wedge t[\text { amount }]>1200\}
$$

- Find the loan number for each loan of an amount greater than $\$ 1200$

$$
\begin{gathered}
\{t \mid \exists s \in \operatorname{loan}(t[\text { loan-number }]=s[\text { loan-number }] \\
\wedge s[\text { amount }]>1200)\}
\end{gathered}
$$

Notice that a relation on schema [customer-name] is implicitly defined by the query

## Example Queries

- Find the names of all customers having a loan, an account, or both at the bank

```
{t | \existss \in borrower(t[customer-name] = s[customer-name])
    \vee\existsu\in depositor(t[customer-name] = u[customer-name])}
```

- Find the names of all customers who have a loan and an account at the bank.

$$
\begin{aligned}
& \{t \mid \exists s \in \text { borrower }(t[\text { customer-name }]=s[\text { customer-name }]) \\
& \wedge \exists u \in \text { depositor }(t[\text { customer-name }]=u[\text { customer-name }])\}
\end{aligned}
$$

## Example Queries

- Find the names of all customers having a loan at the Perryridge branch
$\{t \mid \exists s \in$ borrower(t[customer-name] $=s$ [customer-name]
$\wedge \exists u \in$ loan(u[branch-name] = "Perryridge"
$\wedge u[$ loan-number $]=s[/ o a n-n u m b e r]))\}$
- Find the names of all customers who have a loan at the Perryridge branch, but no account at any branch of the bank
$\{t \mid \exists s \in$ borrower(t[customer-name] $=s$ [customer-name]
$\wedge \exists u \in$ loan(u[branch-name] = "Perryridge"
$\wedge u[$ loan-number] $=s[$ loan-number] $)$
$\wedge \nexists v \in$ depositor (v[customer-name] $=t[$ customer-name $]\}$


## Example Queries

- Find the names of all customers having a loan from the Perryridge branch and the cities they live in
$\{t \mid \exists s \in \operatorname{loan}$ (s[branch-name] = "Perryridge"
$\wedge \exists u \in$ borrower (u[loan-number] =s[loan-number]
$\wedge t[$ customer-name $]=u[$ customer-name $]$
$\wedge \exists v \in$ customer (u[customer-name] $=v$ [customer-name]
$\wedge t[$ customer-city] $=v[$ customer-city]) $))\}$


## Example Queries

- Find the names of all customers who have an account at all branches located in Brooklyn:
$\{t \mid \forall s \in \operatorname{branch}$ (s[branch-city] = "Brooklyn" $\Rightarrow$ $\exists u \in$ account (s[branch-name] = u[branch-name] $\wedge \exists s \in$ depositor (t[customer-name] $=s$ [customer-name] $\wedge s[$ account-number $]=u[$ account-number $])))\}$


## Safety of Expressions

- It is possible to write tuple calculus expressions that generate infinite relations.
- For example, $\{t \mid \neg t \in r\}$ results in an infinite relation if the domain of any attribute of relation $r$ is infinite
- To guard against the problem, we restrict the set of allowable expressions to safe expressions.
- An expression $\{t \mid P(t)\}$ in the tuple relational calculus is safe if every component of $t$ appears in one of the relations, tuples, or constants that appear in $P$


## Domain Relational Calculus

- A nonprocedural query language equivalent in power to the tuple relational calculus.
- Each query is an expression of the form:

$$
\left\{<x_{1}, x_{2}, \ldots, x_{n}>\mid P\left(x_{1}, x_{2}, \ldots, x_{n}\right)\right\}
$$

- $x_{1}, x_{2}, \ldots, x_{n}$ represent domain variables
- $P$ represents a formula similar to that of the predicate calculus


## Example Queries

- Find the branch-name, loan-number, and amount for loans of over \$1200:

$$
\{<b, I, a\rangle|<b, I, a\rangle \in \operatorname{loan} \wedge a>1200\}
$$

- Find the names of all customers who have a loan of over $\$ 1200$ :

$$
\begin{gathered}
\{<c>\mid \exists b, I, a(<c, l>\in \text { borrower } \wedge<b, l, a>\in \text { loan } \\
\wedge a>1200)\}
\end{gathered}
$$

- Find the names of all customers who have a loan from the Perryridge branch and the loan amount:

$$
\begin{aligned}
\{\langle c, a\rangle & \mid \exists I(<c, I\rangle \in \text { borrower } \\
& \wedge \exists b(<b, I, a\rangle \in \text { loan } \wedge b=\text { "Perryridge") })\}
\end{aligned}
$$

## Example Queries

- Find the names of all customers having a loan, an account, or both at the Perryridge branch:
$\{\langle c\rangle \mid \exists I(\langle c, I\rangle \in$ borrower

$$
\begin{aligned}
& \wedge \exists b, a(<b, I, a>\in \text { Ioan } \wedge b=\text { "Perryridge") } \\
& \vee \exists a(<c, a>\in \text { depositor } \\
& \wedge \exists b, n(<b, a, n>\in \text { account } \wedge b=\text { "Perryridge") })\}
\end{aligned}
$$

- Find the names of all customers who have an account at all branches located in Brooklyn:

$$
\begin{aligned}
\{\langle c\rangle \mid & \forall x, y, z(<x, y, z>\in \text { branch } \wedge y=\text { "Brooklyn" }) \Rightarrow \\
& \exists a, b(<x, a, b>\in \text { account } \wedge\langle c, a\rangle \in \text { depositor })\}
\end{aligned}
$$

## Safety of Expressions

$$
\left\{<x_{1}, x_{2}, \ldots, x_{n}>\mid P\left(x_{1}, x_{2}, \ldots, x_{n}\right)\right\}
$$

is safe if all of the following hold:

1. All values that appear in tuples of the expression are values from $\operatorname{dom}(P)$ (that is, the values appear either in $P$ or in a tuple of a relation mentioned in $P$ ).
2. For every "there exists" subformula of the form $\exists x\left(P_{1}(x)\right)$, the subformula is true if and only if there is a value $x$ in $\operatorname{dom}\left(P_{1}\right)$ such that $P_{1}(x)$ is true.
3. For every "for all" subformula of the form $\forall x\left(P_{1}(x)\right)$, the subformula is true if and only if $P_{1}(x)$ is true for all values $x$ from $\operatorname{dom}\left(P_{1}\right)$.

## Extended Relational-Algebra-Operations

- Generalized Projection
- Outer Join
- Aggregate Functions


## Generalized Projection

- Extends the projection operation by allowing arithmetic functions to be used in the projection list.

$$
\Pi_{F_{1}, F_{2}, \ldots, F_{n}}(E)
$$

- $E$ is any relational-algebra expression
- Each of $F_{1}, F_{2}, \ldots, F_{n}$ are arithmetic expressions involving constants and attributes in the schema of $E$.
- Given relation credit-info(customer-name, limit, credit-balance), find how much more each person can spend:

$$
\Pi_{\text {customer-name, limit - credit-balance }} \text { (credit-info) }
$$

## Outer Join

- An extension of the join operation that avoids loss of information.
- Computes the join and then adds tuples from one relation that do not match tuples in the other relation to the result of the join.
- Uses null values:
- null signifies that the value is unknown or does not exist.
- All comparisons involving null are false by definition.


## Outer Join - Example

- Relation loan

| branch-name | loan-number | amount |
| :--- | :--- | :---: |
| Downtown | L-170 | 3000 |
| Redwood | L-230 | 4000 |
| Perryridge | L-260 | 1700 |

- Relation borrower

| customer-name | loan-number |
| :--- | :--- |
| Jones | $\mathrm{L}-170$ |
| Smith | $\mathrm{L}-230$ |
| Hayes | $\mathrm{L}-155$ |

## Outer Join - Example

- Ioan $\bowtie$ Borrower

| branch-name | loan-number | amount | customer-name |
| :--- | :--- | :---: | :--- |
| Downtown | L-170 | 3000 | Jones |
| Redwood | L-230 | 4000 | Smith |

- Ioan $\exists \rtimes$ borrower

| branch-name | loan-number | amount | customer-name | loan-number |
| :--- | :--- | :---: | :--- | :--- |
| Downtown | L-170 | 3000 | Jones | $\mathrm{L}-170$ |
| Redwood | L-230 | 4000 | Smith | L-230 |
| Perryridge | L-260 | 1700 | null | null |

## Outer Join - Example

- loan $\ltimes \subset$ Borrower

| branch-name | loan-number | amount | customer-name |
| :--- | :--- | :---: | :--- |
| Downtown | L-170 | 3000 | Jones |
| Redwood | L-230 | 4000 | Smith |
| null | L-155 | null | Hayes |

- loan $ニ \wedge \sqsubset$ borrower

| branch-name | loan-number | amount | customer-name |
| :--- | :--- | :---: | :--- |
| Downtown | L-170 | 3000 | Jones |
| Redwood | L-230 | 4000 | Smith |
| Perryridge | L-260 | 1700 | null |
| null | L-155 | null | Hayes |

## Aggregate Functions

- Aggregation operator $\mathcal{G}$ takes a collection of values and returns a single value as a result.
avg: average value
min: minimum value max:maximum value sum: sum of values count: number of values

$$
G_{1}, G_{2}, \ldots, G_{n} \mathcal{G}_{F_{1}} A_{1}, F_{2} A_{2}, \ldots, F_{m} A_{m}(E)
$$

- $E$ is any relational-algebra expression
- $G_{1}, G_{2}, \ldots, G_{n}$ is a list of attributes on which to group
- $F_{i}$ is an aggregate function
- $A_{i}$ is an attribute name


## Aggregate Function - Example

- Relation $r$ :

| $A$ | $B$ | $C$ |
| :---: | :---: | :---: |
| $\alpha$ | $\alpha$ | 7 |
| $\alpha$ | $\beta$ | 7 |
| $\beta$ | $\beta$ | 3 |
| $\beta$ | $\beta$ | 10 |

- $\operatorname{sum}_{C}(r)$

| sum- - C |
| :---: |
| 27 |

## Aggregate Function - Example

- Relation account grouped by branch-name:

| branch-name | account-number | balance |
| :--- | :---: | :---: |
| Perryridge | A-102 | 400 |
| Perryridge | A-201 | 900 |
| Brighton | A-217 | 750 |
| Brighton | A-215 | 750 |
| Redwood | A-222 | 700 |

- branch-name $\mathcal{G}_{\text {sum balance }}($ account $)$

| branch-name | sum-balance |
| :---: | :---: |
| Perryridge | 1300 |
| Brighton | 750 |
| Redwood | 700 |

## Modification of the Database

- The content of the database may be modified using the following operations:
- Deletion
- Insertion
- Updating
- All these operations are expressed using the assignment operator.


## Deletion

- A delete request is expressed similarly to a query, except instead of displaying tuples to the user, the selected tuples are removed from the database.
- Can delete only whole tuples; cannot delete values on only particular attributes.
- A deletion is expressed in relational algebra by:

$$
r \leftarrow r-E
$$

where $r$ is a relation and $E$ is a relational algebra query.

## Deletion Examples

- Delete all account records in the Perryridge branch.

$$
\begin{aligned}
& \text { account } \leftarrow \\
& \qquad \text { account }-\sigma_{\text {branch-name }=\text { "Perryridge" }}(\text { account })
\end{aligned}
$$

- Delete all loan records with amount in the range 0 to 50 .

$$
\text { Ioan } \leftarrow \text { loan }-\sigma_{\text {amount }} \geq 0 \text { and amount } \leq 50 \text { (loan) }
$$

- Delete all accounts at branches located in Needham.

$$
\begin{aligned}
& r_{1} \leftarrow \sigma_{\text {branch-city }=\text { "Needham" }}(\text { account } \bowtie \text { branch }) \\
& r_{2} \leftarrow \Pi_{\text {branch-name, account-number, balance }}\left(r_{1}\right) \\
& r_{3} \leftarrow \Pi_{\text {customer-name, account-number }}\left(r_{2} \bowtie \text { depositor }\right) \\
& \text { account } \leftarrow \text { account }-r_{2} \\
& \text { depositor } \leftarrow \text { depositor }-r_{3}
\end{aligned}
$$

## Insertion

- To insert data into a relation, we either:
- specify a tuple to be inserted
- write a query whose result is a set of tuples to be inserted
- In relational algebra, an insertion is expressed by:

$$
r \leftarrow r \cup E
$$

where $r$ is a relation and $E$ is a relational algebra expression.

- The insertion of a single tuple is expressed by letting $E$ be a constant relation containing one tuple.


## Insertion Examples

- Insert information in the database specifying that Smith has $\$ 1200$ in account A-973 at the Perryridge branch.

$$
\begin{aligned}
& \text { account } \leftarrow \text { account } \cup\{(\text { "Perryridge", A-973, 1200) }\} \\
& \text { depositor } \leftarrow \text { depositor } \cup\{\text { ("Smith", A-973) }\}
\end{aligned}
$$

- Provide as a gift for all loan customers in the Perryridge branch, a $\$ 200$ savings account. Let the loan number serve as the account number for the new savings account.

$$
\begin{aligned}
& r_{1} \leftarrow\left(\sigma_{\text {branch-name }=\text { "Perryridge" }}(\text { borrower } \bowtie \text { loan })\right) \\
& \text { account } \leftarrow \text { account } \cup \Pi_{\text {branheh-name, loan-number,200 }}\left(r_{1}\right) \\
& \text { depositor } \leftarrow \text { depositor } \cup \Pi_{\text {customer-name, loan-number }}\left(r_{1}\right)
\end{aligned}
$$

## Updating

- A mechanism to change a value in a tuple without changing all values in the tuple
- Use the generalized projection operator to do this task

$$
r \leftarrow \Pi_{F_{1}, F_{2}, \ldots, F_{n}}(r)
$$

- Each $F_{i}$ is either the $i$ th attribute of $r$, if the $i$ th attribute is not updated, or, if the attribute is to be updated
- $F_{i}$ is an expression, involving only constants and the attributes of $r$, which gives the new value for the attribute


## Update Examples

- Make interest payments by increasing all balances by 5 percent.

$$
\text { account } \leftarrow \Pi_{B N, A N, B A L} \leftarrow B A L * 1.05 \text { (account) }
$$

where $B A L, B N$ and $A N$ stand for balance, branch-name and account-number, respectively.

- Pay all accounts with balances over $\$ 10,000$ 6 percent interest and pay all others 5 percent.

$$
\begin{gathered}
\text { account } \leftarrow \Pi_{B N, A N, B A L} \leftarrow B A L * 1.06\left(\sigma_{B A L}>10000(\text { account })\right) \\
\cup \Pi_{B N, A N, B A L} \leftarrow B A L * 1.05\left(\sigma_{B A L} \leq 10000(\text { account })\right)
\end{gathered}
$$

## Views

- In some cases, it is not desirable for all users to see the entire logical model (i.e., all the actual relations stored in the database.)
- Consider a person who needs to know a customer's loan number but has no need to see the loan amount. This person should see a relation described, in the relational algebra, by

$$
\Pi_{\text {customer-name, loan-number }}(\text { borrower } \bowtie \text { loan })
$$

- Any relation that is not part of the conceptual model but is made visible to a user as a "virtual relation" is called a view.


## View Definition

- A view is defined using the create view statement which has the form
create view vas <query expression> where <query expression> is any legal relational algebra query expression. The view name is represented by $v$.
- Once a view is defined, the view name can be used to refer to the virtual relation that the view generates.
- View definition is not the same as creating a new relation by evaluating the query epression. Rather, a view definition causes the saving of an expression to be substituted into queries using the view.


## View Examples

- Consider the view (named all-customer) consisting of branches and their customers.


## create view all-customer as

$\Pi_{\text {branch-name, customer-name }}$ (depositor $\bowtie$ account)
$\cup \Pi_{\text {branch-name, customer-name }}$ (borrower $\bowtie$ loan)

- We can find all customers of the Perryridge branch by writing:

$$
\begin{aligned}
& \Pi_{\text {customer-name }} \\
& \quad\left(\sigma_{\text {branch-name }=\text { "Perryridge" }}(\text { all-customer })\right)
\end{aligned}
$$

## Updates Through Views

- Database modifications expressed as views must be translated to modifications of the actual relations in the database.
- Consider the person who needs to see all loan data in the loan relation except amount. The view given to the person, branch-loan, is defined as:


## create view branch-loan as

$$
\Pi_{\text {branch-name, loan-number }} \text { (loan) }
$$

Since we allow a view name to appear wherever a relation name is allowed, the person may write:

$$
\text { branch-loan } \leftarrow \text { branch-loan } \cup\{(\text { "Perryridge", L-37) }\}
$$

## Updates Through Views (Cont.)

- The previous insertion must be represented by an insertion into the actual relation loan from which the view branch-loan is constructed.
- An insertion into loan requires a value for amount. The insertion can be dealt with by either
- rejecting the insertion and returning an error message to the user
- inserting a tuple ("Perryridge", L-37, null) into the loan relation


## Views Defined Using Other Views

- One view may be used in the expression defining another view
- A view relation $v_{1}$ is said to depend directly on a view relation $v_{2}$ if $v_{2}$ is used in the expression defining $v_{1}$
- A view relation $v_{1}$ is said to depend on view relation $v_{2}$ if and only if there is a path in the dependency graph from $v_{2}$ to $v_{1}$.
- A view relation $v$ is said to be recursive if it depends on itself.


## View Expansion

- A way to define the meaning of views defined in terms of other views.
- Let view $v_{1}$ be defined by an expression $e_{1}$ that may itself contain uses of view relations.
- View expansion of an expression repeats the following replacement step: repeat

Find any view relation $v_{i}$ in $e_{1}$
Replace the view relation $v_{i}$ by the expression defining $v_{i}$ until no more view relations are present in $e_{1}$

- As long as the view definitions are not recursive, this loop will terminate.

