# Kernelization Using Structural Parameters on Sparse Graph Classes

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Abstract. Meta-theorems for polynomial (linear) kernels have been the subject of intensive research in parameterized complexity. Heretofore, there were meta-theorems for linear kernels on graphs of bounded genus, H-minor-free graphs, and *H*-topological-minor-free graphs. To the best of our knowledge, there are no known meta-theorems for kernels for any of the larger sparse graph classes: graphs of bounded expansion, locally bounded expansion, and nowhere dense graphs. In this paper we prove meta-theorems for these three graph classes. More specifically, we show that graph problems that have finite integer index (FII) have linear kernels on graphs of bounded expansion when parameterized by the size of a modulator to constant-treedepth graphs. For graphs of locally bounded expansion, our result yields a quadratic kernel and for nowhere dense graphs, a polynomial kernel. While our parameter seem rather strong, we show that a linear kernel result on graphs of bounded expansion with a weaker parameter will necessarily fail to include some of the problems included in our framework. Moreover, we only require problems to have FII on graphs of constant treedepth. This allows us to prove linear kernels for problems such as LONGEST PATH/CYCLE, EXACT s, t-PATH, TREEWIDTH, and PATHWIDTH which do not have FII in general graphs.

## 1 Introduction

Data preprocessing has always been a part of algorithm design. The last decade has seen steady progress in the area of *kernelization*, an area which deals with the design of polynomial-time preprocessing algorithms. These algorithms compress an input instance of a parameterized problem into an equivalent output instance whose size is bounded by some (small) function of the parameter. Parameterized complexity theory guarantees the existence of such *kernels* for problems that are *fixed-parameter tractable*. Of special interest are cases for which the size of the output instance is bounded by a polynomial (or even linear) function of the parameter, the so-called *polynomial (or linear) kernels*.

Of great utility are *algorithmic meta-theorems*, results that focus on problem classes instead of single problems. In the area of graph algorithms, such meta-theorems usually have the following form: all problems that have a specific property admit an algorithm of a specific type on a specific graph class. In this paper we focus on meta-theorems for linear or polynomial kernels on sparse graph classes. After early results such as [1, 15], the first such meta-theorem due to Bodlaender et al. [5] states that problems that have *finite integer index* (FII) and are *quasi-compact* admit linear kernels on graphs of bounded genus. Fomin et al. [14] extended the result to *H*-minor-free graphs, a strictly larger class of graphs, for problems which have FII, are *bidimensional* and satisfy the *separation property*. This result was, in turn, generalized in [16] to *H*-topological-minor-free graphs, which strictly contain *H*-minor-free graphs. Here, the problems are required to have FII and to be *treewidth-bounding*.

The keystone to all these meta-theorems is *finite integer index*. Roughly speaking, a graph problem has finite integer index if there exists a finite set S of graphs such that every instance of the problem can be "represented" by a member of S. This property is the basis of the *protrusion replacement rule* whereby protrusions (pieces of the input graph satisfying certain requirements) are replaced by members of the set S. The protrusion replacement rule is a crucial ingredient to obtaining small kernels. Note that FII is not directly related to the expressibility of the problem in a certain logic. For example, HAMILTONIAN PATH has FII on general graphs whereas LONGEST PATH does not, though both are EMSO-expressible (see [5] for sufficiency conditions for MSO-expressible problems to have FII).

Although these meta-theorems (viewed in chronological order) steadily covered larger graph classes, the set of problems captured in their framework diminished as the second precondition became stricter. For H-topological-minor-free graphs this precondition is to be treewidth bounding. A (parameterized) graph problem is treewidth-bounding if YES-instances have a vertex set of size linear in the parameter deletion of which results in a graph of bounded treewidth. Such a vertex set is called a *modulator to bounded treewidth*. While treewidth-boundedness is a strong prerequisite, it is important to note that the combined properties of bidimensionality and separability (used to prove the result on H-minor-free graphs) imply treewidth-boundedness [14]. In fact, quasi-compactness may be viewed as a relaxation of the property of being treewidth-bounded. What this shows is that all meta-theorems on linear kernels for graph classes up until H-topologicalminor-free graphs implicitly used a property akin to treewidth-boundedness.

Another way of viewing the meta-theorem in [16] is as follows: when parameterized by a treewidth modulator, problems that have FII have linear kernels in *H*-topological-minor-free graphs. A natural problem therefore is to identify the least restrictive parameter that can be used to prove a meta-theorem for linear kernels for the next well-known class in the sparse-graph hierarchy, namely, graphs of bounded expansion. This class was defined by Nešetřil and Ossona de Mendez [20] and subsumes the class of *H*-topological-minor-free graphs. However, a modulator to bounded treewidth does not seem to be a useful parameter for this class. Any graph class  $\mathcal{G}$  can be transformed into a class  $\tilde{\mathcal{G}}$  of bounded expansion by replacing every graph  $G \in \mathcal{G}$  with  $\tilde{G}$ , obtained in turn by replacing each edge of G by a path on |V(G)| vertices. This transformation changes neither the treewidth nor the feedback vertex numbers of the graphs. Hence, if a treewidth-bounding graph problem (that additionally has FII) has a linear kernel on graphs of bounded expansion then, in particular, FEEDBACK VERTEX SET and TREEWIDTH *t*-VERTEX DELETION<sup>3</sup> have linear (vertex) kernels in general graphs. The best-known vertex kernel for FEEDBACK VERTEX SET in general graphs is quadratic [22], for TREEWIDTH *t*-VERTEX DELETION in general graphs is of size  $k^{g(t)}$ , where *g* is some function [13]. This strongly suggests that one would have to choose an even more restrictive parameter to prove a meta-theorem for linear kernels on graphs of bounded expansion. In particular, the parameter must not be invariant under edge subdivision. If we assume that the parameter does not increase for subgraphs, it must necessarily attain high values on paths. *Treedepth* [20] is precisely a parameter that enforces this property, since graphs of bounded treedepth are essentially degenerate graphs with no long paths. Note that bounded treedepth implies bounded treewidth.

*Our contribution.* We show that, assuming FII, a parameterization by the size of a modulator to bounded treedepth allows for linear kernels in linear time on graphs of bounded expansion. The same parameter yields quadratic kernels in graphs of locally bounded expansion and polynomial kernels in nowhere dense graphs, both strictly larger classes. In particular, nowhere dense graphs are the largest class that may still be called sparse [20]. In these results we do not require a treedepth modulator to be supplied as part of the input, as we show that it can be approximated to within a constant factor.

Furthermore, we only need FII to hold on graphs of bounded treedepth, thus including problems which do not have FII in general. Some problems that are included because of this relaxation are LONGEST PATH/CYCLE, PATHWIDTH and TREEWIDTH, none of which have polynomial kernels with respect to their standard parameters, even on sparse graphs, since they admit simple AND/OR-Compositions [4]. Problems covered by our framework include HAMILTONIAN PATH/CYCLE, several variants of DOMINATING SET, (CONNECTED) VERTEX COVER, CHORDAL VERTEX DELETION, FEEDBACK VERTEX SET, INDUCED MATCHING, and ODD CYCLE TRANSVERSAL. In particular, we cover all problems included in earlier frameworks [5, 14, 16]. We wish to emphasize, however, that this paper does not subsume these results because of our usage of a structural parameter.

To show that a parameterization by a treedepth modulator has merit outside of the sparse hierarchy, we extend the polynomial kernel result for LONGEST PATH in [6] parameterized by the vertex cover number to the weaker treedepthmodulator parameter.

Finally, notice that a kernelization result for TREEWIDTH, PATHWIDTH or LONGEST CYCLE on graphs of bounded expansion with a parameter closed under edge subdivision would automatically imply the same result for general graphs. This forms the crux of our belief that any relaxation of the treedepth parameter to prove a meta-theorem for linear kernels on graphs of bounded expansion will exclude problems akin to these three.

<sup>&</sup>lt;sup>3</sup> For problem definitions, see the appendix.

### 2 Preliminaries

We use standard graph-theoretic notation (see [10] and Appendix for any undefined terminology). All our graphs are finite and simple. Given a graph G, we use V(G) and E(G) to denote its vertex and edge sets. For convenience we assume that V(G) is a totally ordered set, and use uv instead of  $\{u, v\}$  to denote an edge of G. Since we primarily consider sparse graphs, we let |G| denote the number of vertices in the graph G. The distance  $d_G(v, w)$  between two vertices  $v, w \in V(G)$ is the length (number of edges) of a shortest v, w-path in G and  $\infty$  if v and wlie in different connected components. By  $\omega(G)$  we denote the size of the largest complete subgraph of G.

For  $S \subseteq V(G)$ , we let  $N^G(S)$  denote the set of vertices in  $V(G) \setminus S$  that have at least one neighbor in S, and for a subgraph H of G we define  $N^G(H) :=$  $N^G(V(H))$ . If X is a subset of vertices disjoint from S, then  $N^G_X(S)$  is the set  $N^G(S) \cap X$  (and similarly for  $N^G_X(H)$ ). Given a graph G and a set  $W \subseteq V(G)$ , we define  $\partial_G(W)$  as the set of vertices in W that have a neighbor in  $V \setminus W$ . Note that  $N^G(W) = \partial_G(V(G) \setminus W)$ . A graph G is d-degenerate if every subgraph G'of G contains a vertex  $v \in V(G')$  with  $deg^G(v) \leq d$ . The degeneracy of G is the smallest d such that G is d-degenerate. In the rest of the paper we drop the index G from all the notation if it is clear which graph is being referred to.

A graph problem  $\Pi$  is a set of pairs  $(G, \xi)$ , where G is a graph and  $\xi \in \mathbf{N}_0$ , such that for all graphs  $G_1, G_2$  and all  $\xi \in \mathbf{N}_0$ , if  $G_1 \cong G_2$  then  $(G_1, \xi) \in \Pi$  iff  $(G_2, \xi) \in \Pi$ . For a graph class  $\mathcal{G}$ , we define  $\Pi_{\mathcal{G}}$  as the set of pairs  $(G, \xi) \in \Pi$ such that  $G \in \mathcal{G}$ .

**Graph classes.** We denote the treewidth of a graph G by  $\mathbf{tw}(G)$  and its pathwidth by  $\mathbf{pw}(G)$ . As treedepth is not as much known measure, we provide the definition here. In this context, a *rooted forest* is a disjoint union of rooted trees. For a vertex x in a tree T of a rooted forest, the *height* (or *depth*) of x in the forest is the number of vertices in the path from the root of T to x. The *height of a rooted forest* is the maximum height of a vertex of the forest. The *closure*  $\operatorname{clos}(\mathcal{F})$  of a rooted forest  $\mathcal{F}$  is the graph with vertex set  $\bigcup_{T \in \mathcal{F}} V(T)$  and edge set  $\{xy \mid x \text{ is an ancestor of } y \text{ in } \mathcal{F}\}$ . A *treedepth decomposition* of a graph G is a rooted forest  $\mathcal{F}$  such that  $G \subseteq \operatorname{clos}(\mathcal{F})$ .

**Definition 1 (Treedepth).** The treedepth td(G) of a graph G is the minimum height of any treedepth decomposition of G.

Both treewidth and treedepth can be computed efficiently:

**Proposition 1** ([2,20]). Given a graph G with n nodes and a constant w, it is possible to decide whether G has treewidth (treedepth) at most w, and if so, to compute an optimal treewidth (treedepth) decomposition of G in time O(n).

We list some well-known facts about graphs of bounded treedepth. Proofs are omitted and can be found in [20]. If a graph has no path with more than dvertices, then its treedepth is at most d. For any graph G with  $\mathbf{td}(G) \leq d$ , it holds that (1) G has no paths with  $2^d$  vertices and, in particular, any DFS-tree of Ghas depth at most  $2^d - 1$ ; (2) G is d-degenerate and hence has at most  $d \cdot |V(G)|$  edges; (3)  $\mathbf{tw}(G) \leq \mathbf{pw}(G) \leq d-1$ . A useful way of thinking about graphs of bounded treedepth is that they are (sparse) graphs with no long paths.

**Definition 2 (Shallow minor [20]).** For  $d \in \mathbf{N}_0$ , a graph H is a shallow minor at depth d of G if there exist disjoint subsets  $V_1, \ldots, V_p$  of V(G) such that

- 1. each graph  $G[V_i]$  has radius at most d, meaning that there exists  $v_i \in V_i$  (a center) such that every vertex in  $V_i$  is within distance at most d in  $G[V_i]$ ;
- 2. there is a bijection  $\psi: V(H) \to \{V_1, \dots, V_p\}$  such that for  $u, v \in V(H)$ ,  $uv \in E(H)$  iff there is an edge in G with an endpoint each in  $\psi(u)$  and  $\psi(v)$ .

Note that if  $u, v \in V(H)$ ,  $\psi(u) = V_i$ , and  $\psi(v) = V_j$  then  $d_G(v_i, v_j) \leq (2d+1) \cdot d_H(u, v)$ . The class of shallow minors of G at depth d is denoted by  $G \nabla d$ . This notation is extended to graph classes  $\mathcal{G}$  as well:  $\mathcal{G} \nabla d = \bigcup_{G \in \mathcal{G}} G \nabla d$ .

The class of graphs of bounded expansion is defined using the notion of greatest reduced average density (grad) (see [17,21] for details). Let  $\mathcal{G}$  be a graph class. Then the greatest reduced average density of  $\mathcal{G}$  with rank d is defined as  $\nabla_d(\mathcal{G}) = \sup_{H \in \mathcal{G} \, \nabla d} (|E(H)|/|V(H)|)$ . This notation is extended to graphs via the convention  $\nabla_d(G) := \nabla_d(\{G\})$ . In particular, note that  $G \,\nabla 0$  denotes the set of subgraphs of G and hence  $2\nabla_0(G)$  is the maximum average degree of all subgraphs of G—i.e. its degeneracy.

**Definition 3 (Bounded expansion [17]).** A graph class  $\mathcal{G}$  has bounded expansion if there exists a function  $f: \mathbb{N} \to \mathbb{R}$  (called the expansion function) such that for all  $d \in \mathbb{N}$ ,  $\nabla_d(\mathcal{G}) \leq f(d)$ .

If  $\mathcal{G}$  is a graph class of bounded expansion with expansion function f, we say that  $\mathcal{G}$  has *expansion bounded by* f. An important relation we make use of later is:  $\nabla_d(G) = \nabla_0(G \nabla d)$ , i.e. the grad of G with rank d is precisely one half the maximum average degree of subgraphs of its depth d shallow minors.

#### 3 The Protrusion Machinery

We restate the main definitions of the protrusion machinery developed in [5, 14]. An *r*-protrusion in a graph is a subgraph that is separated from the rest of the graph by a small boundary and, in addition, has small treewidth:

**Definition 4 (r-protrusion [5]).** Given a graph G, a set  $W \subseteq V(G)$  is a rprotrusion of G if  $|\partial_G(W)| \leq r$  and  $\mathbf{tw}(G[W]) \leq r-1$ .<sup>4</sup> We call  $\partial_G(W)$  the boundary and |W| the size of the protrusion W. For an r-protrusion W, we call the set  $W' = W \setminus \partial_G(W)$  the restricted protrusion of W. Given a restricted r-protrusion W', we denote its extended protrusion by  $W'^+ = W' \cup N(W') = W$ .

A *t*-boundaried graph is a graph G with a set bd(G) of t distinguished vertices labeled 1 through t, called the boundary<sup>5</sup> or the terminals of G. Given a graph class  $\mathcal{G}$ , we let  $\mathcal{G}_t$  denote the class of t-boundaried graphs from  $\mathcal{G}$ . If  $W \subseteq V(G)$ 

<sup>&</sup>lt;sup>4</sup> We want the bags in a tree-decomposition of G[W] to be of size at most r.

<sup>&</sup>lt;sup>5</sup> Usually denoted by  $\partial(G)$ , but this collides with our usage of  $\partial$ .

is an r-protrusion in G, then we let  $G_W$  be the r-boundaried graph G[W] with boundary  $\partial_G(W)$ , where the vertices of  $\partial_G(W)$  are assigned labels 1 through r according to their order in B. t-boundaried graphs can be easily composed together. For t-boundaried graphs  $G_1, G_2$ , we let  $G_1 \oplus G_2$  denote the graph obtained by taking the disjoint union of  $G_1$  and  $G_2$  and identifying each vertex in  $bd(G_1)$  with the vertex in  $bd(G_2)$  with the same label, making the graph simple if necessary. In the opposite direction, for  $H \subseteq G$  with a boundary B of size t we define  $G \ominus_B H := G - (V(H) \setminus B)$  to be a t-boundaried graph with boundary B. The vertices of  $bd(G \ominus_B H)$  are assigned labels 1 through t according to their order in the graph G. To assist comprehensibility, we sometimes annotate the  $\oplus$ operator with the boundary as well.

**Definition 5 (Replacement).** Let G be a graph with a t-protrusion W and let H be a t-boundaried graph. Then replacing W by H corresponds to the operation  $(G \ominus_B G_W) \oplus_B H$ .

The following definition concerns the centerpiece of our framework. We use the FII property in our framework only for replacing protrusions.

**Definition 6 (Finite integer index; FII).** Let  $\Pi_{\mathcal{G}}$  be a graph problem restricted to a class  $\mathcal{G}$  and let  $G_1, G_2$  be two t-boundaried graphs in  $\mathcal{G}_t$ . We say that  $G_1 \equiv_{\Pi_{\mathcal{G}}, t} G_2$  if there exists an integer constant  $\Delta_{\Pi_{\mathcal{G}}, t}(G_1, G_2)$  (that depends on  $\Pi_{\mathcal{G}}$ , t, and the ordered pair  $(G_1, G_2)$ ) such that for all t-boundaried graphs  $G \in \mathcal{G}_t$  and for all  $\xi \in \mathbf{N}$ :

1.  $G_1 \oplus G \in \mathcal{G}$  iff  $G_2 \oplus G \in \mathcal{G}$ ; 2.  $(G_1 \oplus G, \xi) \in \Pi_{\mathcal{G}}$  iff  $(G_2 \oplus G, \xi + \Delta_{\Pi_{\mathcal{G}}, t}(G_1, G_2)) \in \Pi_{\mathcal{G}}$ .

Note that  $\Delta_{\Pi_{\mathcal{G},t}}(G_1,G_2) = -\Delta_{\Pi_{\mathcal{G},t}}(G_2,G_1)$ . In the case that  $(G_1 \oplus G,\xi) \notin \Pi_{\mathcal{G}}$ or  $G_1 \oplus G \notin \mathcal{G}$  for all  $G \in \mathcal{G}_t$ , we set  $\Delta_{\Pi_{\mathcal{G},t}}(G_1,G_2) = 0$ . We say that  $\Pi_{\mathcal{G}}$  has finite integer index in the class  $\mathcal{G}' \subseteq \mathcal{G}$  if, for every integer t, there are at most g(t) equivalence classes of  $\equiv_{\Pi_{\mathcal{G},t}}$  that contain at least one member of  $\mathcal{G}'$ , where g is a function that depends on t,  $\Pi_{\mathcal{G}}$  and  $\mathcal{G}'$ .

Our definition above is more general than the one in [8] in that we define a problem  $\Pi_{\mathcal{G}}$  to have FII in a subclass  $\mathcal{G}' \subseteq \mathcal{G}$  rather than in the whole class  $\mathcal{G}$ . Our prototypical problem, LONGEST PATH, does not have FII on graph classes of bounded expansion but—as shown later—does so when we restrict the treedepth to be at most some fixed constant. Thus, this relaxed notion of FII allows us to include a larger set of problems in our framework. One must, however, be careful while replacing protrusions since whatever they are replaced with must also have treedepth at most d. The following lemma shows that this can indeed be ensured. We state Lemma 1 and Reduction Rule 1 in a general setting because we hope that they might be also applicable elsewhere.

Consider a function  $\varphi \colon \mathcal{G} \to \mathbf{N}$  that maps members of a graph class to integers. In our case, we use  $\varphi \equiv \mathbf{td}$ , mapping each member of  $\mathcal{G}$  to its treedepth number. Let  $\mathcal{G}(d)$  denote the set of graphs  $G \in \mathcal{G}$  for which  $\varphi(G) \leq d$ . The problems  $\Pi_{\mathcal{G}}$  that we consider are such that for all  $d \in \mathbf{N}$ ,  $\Pi_{\mathcal{G}}$  has FII in  $\mathcal{G}(d)$ . This means that while  $\equiv_{\Pi_{\mathcal{G}},t}$  can have an infinite number of equivalence classes, for each  $d \in \mathbf{N}$ , at most g(t,d) of these equivalence classes contain a graph G with  $\varphi(G) \leq d$ , where g is some function of t, d and  $\Pi$ . For each boundary size t and  $d \in \mathbf{N}$ , we let  $\mathcal{R}_{t,\mathcal{G}(d)}$  denote a set of graphs from  $\mathcal{G}(d)$  that are representatives of these equivalence classes of  $\equiv_{\Pi_{\mathcal{G}},t}$  that contain at least one graph G with  $\varphi(G) \leq d$ .

**Lemma 1.**  $[\star]$  Fix  $c, d, t \in \mathbb{N}$ . If H is a t-boundaried graph in  $\mathcal{G}(c \cdot d)$  such that  $H \equiv_{\Pi_{\mathcal{G}}, t} H'$  for some t-boundaried graph H' in  $\mathcal{G}(d)$ , then there exists  $R \in \mathcal{R}_{t, \mathcal{G}(d)}$  such that  $R \equiv_{\Pi_{\mathcal{G}}, t} H$ .

For a graph problem  $\Pi$  that has FII in the class  $\mathcal{G}$ , we let  $\rho_{\Pi_{\mathcal{G}}}(t, d)$  denote the size of the largest representative in  $\mathcal{R}_{t,\mathcal{G}(d)}$ . Subscripts are omitted when the problem is clear from the context. Our reduction rule is formalized as follows.

**Reduction Rule 1 (Protrusion replacement)** Let  $(G, \xi) \in \Pi_{\mathcal{G}}$  and  $c, d, t \in \mathbb{N}$  be constants. Suppose that  $W \subseteq V(G)$  is a t-protrusion such that  $|W| \leq 2\rho(t, cd)$  and suppose that  $\varphi(G_W) \leq cd$ , and  $G[W] \equiv_{\Pi_{\mathcal{G}}, t} H$ , where  $\varphi(H) \leq d$ . Further let  $R \in \mathcal{R}_{t,\mathcal{G}(d)}$  be the representative of H. The protrusion replacement rule is the following:

Reduce  $(G,\xi)$  to  $(G',\xi') := ((G \ominus_B G_W) \oplus_B R, \xi + \Delta_{\Pi_G,t}(G_W,R)).$ 

The next lemma shows that this rule is indeed safe.

**Proposition 2 (Safety [16]).** If  $(G', \xi')$  is the instance obtained from one application of the protrusion Reduction rule 1 to the instance  $(G, \xi)$  of  $\Pi_{\mathcal{G}}$ , then  $G' \in \mathcal{G}$  and  $(G', \xi')$  is a YES-instance iff  $(G, \xi)$  is a YES-instance.

In what follows, when applying protrusion replacement rules, we will assume that for each  $t \in \mathbf{N}$ , we are given the set  $\mathcal{R}_{t,\mathcal{G}}$  of representatives of the equivalence classes of  $\equiv_{\Pi_{\mathcal{G}},t}$ . Note that this makes our algorithms of Section 4 non-uniform. However non-uniformity is implicitly assumed in previous work that used the protrusion machinery [5, 12–14], too.

## 4 Linear Kernels on Graphs of Bounded Expansion

In this section we show that graph-theoretic problems that have FII on fixedtreedepth graphs admit linear kernels on graphs of bounded expansion, when parameterized by the size of a modulator to constant treedepth.

**Theorem 1.** Let  $\mathcal{G}$  be a graph class of bounded expansion and for  $p \in \mathbf{N}$ , let  $\mathcal{G}(p) \subseteq \mathcal{G}$  be its subclass of graphs of treedepth  $\leq p$ . Let  $\Pi_{\mathcal{G}}$  be a graph problem that has FII on  $\mathcal{G}(p)$  for each  $p \in \mathbf{N}$  and let  $d \in \mathbf{N}$  be a constant. Then there is an algorithm that takes as input  $(G, \xi) \in \Pi_{\mathcal{G}}$  and, in time  $\mathcal{O}(|G|)$ , outputs an equivalent instance  $(G', \xi')$  such that  $|G'| = \mathcal{O}(|S|)$ , where S is an optimum treedepth-d modulator of the graph G.

For the remainder of this section we fix the meaning of  $\mathcal{G}$ ,  $\mathcal{G}(p)$ , and  $\Pi_{\mathcal{G}}$  as in the statement of Theorem 1. The proof goes in several steps. First note that we do not assume that we are given an optimal treedepth-d modulator: our proof uses an approximate modulator  $S \subseteq V(G)$  to decompose V(G) into vertex-disjoint sets  $Y_0 \uplus Y_1 \uplus \cdots \uplus Y_\ell$  such that  $S \subseteq Y_0$  and  $|Y_0| = \mathcal{O}(|S|)$  and for  $1 \leq i \leq l, Y_i$ induces a collection of connected components that have exactly the same *small* neighborhood in  $Y_0$ . We then use properties of graphs of bounded expansion to show that  $\ell = \mathcal{O}(|S|)$  and the protrusion replacement rule to replace each  $Y_i$  by a graph of constant size. Every time the protrusion replacement rule is applied,  $\xi$  is modified. This results in an equivalent instance  $(G', \xi')$  such that  $|G'| = \mathcal{O}(|S|)$ , which is what Theorem 1 claims. First lemma shows that a treedepth-*d* modulator can be efficiently approximated to within a constant.

**Lemma 2.**  $[\star]$  Fix  $d \in \mathbf{N}$ . Given a graph G, one can in polynomial time compute a subset  $S \subseteq V(G)$  such that  $\mathbf{td}(G-S) \leq d$  and |S| is at most  $2^d$  times the size of an optimal treedepth-d modulator of G. For graphs of bounded expansion, the approximation algorithm can be made to run in linear time.

To prove the size bounds on decompositions of V(G) into vertex-disjoint sets  $Y_0 \uplus Y_1 \uplus \cdots \uplus Y_\ell$ , we use the following lemma about grads of bipartite graphs.

**Lemma 3.**  $[\star]$  Let G = (X, Y, E) be a bipartite graph. Then there are at most  $2\nabla_1(G) \cdot |X|$  vertices in Y with degree greater than  $2\nabla_1(G)$ ; and  $(4^{\nabla_1(G)} + 2\nabla_1(G)) \cdot |X|$  subsets  $X' \subseteq X$  such that X' = N(u) for some  $u \in Y$ .

The proof of the next Lemma tells us how to find clusters of connected components with a small neighborhood, which will be targeted by the reduction.

**Lemma 4.** Let  $\mathcal{G}$  be a graph class with expansion bounded by  $f, G \in \mathcal{G}$  and  $S \subseteq V(G)$  be a set of vertices such that  $td(G-S) \leq d$  (d a constant). There is an algorithm that runs in time  $\mathcal{O}(|G|)$  and computes a partition, called protrusion-decomposition, of V(G) into sets  $Y_0 \uplus Y_1 \uplus \cdots \uplus Y_\ell$  such that the following hold:

- 1.  $S \subseteq Y_0 \text{ and } |Y_0| = \mathcal{O}(|S|);$
- 2. for  $1 \leq i \leq l$ ,  $Y_i$  induces a set of connected components of  $G Y_0$  that have the same neighborhood in  $Y_0$  of size at most  $2^{d+1} + 2 \cdot f(2^d)$ ;
- 3.  $\ell \leq (4^{f(2^d)} + 2f(2^d)) \cdot |S| = \mathcal{O}(|S|).$

Proof Sketch. We first construct a DFS-forest  $\mathcal{F}$  of G-S. Assume that there are q trees  $T_1, \ldots, T_q$  in this forest rooted at  $r_1, \ldots, r_q$ , respectively. Since  $\operatorname{td}(G-S) \leq d$ , the height of every tree in  $\mathcal{F}$  is at most  $2^d - 1$ . Next we construct for each  $T_i$ ,  $1 \leq i \leq q$ , a path decomposition of the subgraph of  $G[V(T_i)]$ . Suppose that  $T_i$  has leaves  $l_1, \ldots, l_s$  ordered according to their DFS-number. For  $1 \leq j \leq s$ , create a bag  $B_j$  containing the vertices on the unique path from  $l_j$  to  $r_i$  and string these bags together in the order  $B_1, \ldots, B_s$ . Clearly, this is a path decomposition  $\mathcal{P}_i$  of  $G[V(T_i)]$  with width at most  $2^d - 2$ . Note that the root  $r_i$  is in every bag of  $\mathcal{P}_i$ .

We now use a marking algorithm similar to the one in [16] to mark  $\mathcal{O}(|S|)$ bags in the path decompositions  $\mathcal{P}_1, \ldots, \mathcal{P}_q$  with the property that each marked bag can be uniquely identified with a connected subgraph of G - S that has a large neighborhood in the modulator S. We use Lemma 3 to show that the set  $\mathcal{M}$ of marked bags has at most  $2 \cdot f(2^d - 1 + 1) \cdot |S| = \mathcal{O}(|S|)$  members, allowing us to put  $Y_0 := V(\mathcal{M}) \cup S$ . We also show that each connected component in  $G - Y_0$  has less than  $t = 2 \cdot f(2^d) + 1$  neighbors in S. To complete the proof, we simply cluster the connected components of  $G - Y_0$  according to their neighborhoods in  $Y_0$  to obtain the sets  $Y_1, \ldots, Y_\ell$ . Finally, we again use Lemma 3 to show that the number  $\ell$  of clusters is at most  $(4^{f(2^d)} + 2f(2^d)) \cdot |S| = \mathcal{O}(|S|)$ , as claimed.  $\Box$ 

All which is left to show is that each cluster  $Y_i$ ,  $1 \leq i \leq \ell$ , can be reduced to constant size. Note that each cluster is separated from the rest of the graph via a small set of vertices in S and that each component of G-S has constant treedepth. These facts enable us to use the protrusion reduction rule. Recall that  $\rho(t, d)$  denotes the size of the largest representative in  $\mathcal{R}_{t,\mathcal{G}(d)}$ , for the problem  $\Pi_{\mathcal{G}}$ .

**Lemma 5.**  $[\star]$  For fixed  $d, h \in \mathbf{N}$ , let  $(G, \xi)$  be an instance of  $\Pi_{\mathcal{G}}$  and let  $S \subseteq V(G)$  be a treedepth-d modulator of G. Let  $Y_0 \uplus Y_1 \amalg \cdots \uplus Y_\ell$  be a protrusiondecomposition of G, where  $S \subseteq Y_0$  and for  $1 \leq i \leq \ell$ ,  $|N_{Y_0}(Y_i)| \leq h$ . Then one can in  $\mathcal{O}(|G|)$  time obtain an equivalent instance  $(G', \xi')$  and a protrusiondecomposition  $Y'_0 \amalg Y'_1 \boxplus \cdots \boxplus Y'_\ell$  of G' where  $Y'_0 = Y_0$ , and for  $1 \leq i \leq \ell$  it is  $|N_{Y'_0}(Y'_i)| \leq h$  and  $|Y'_i| \leq \rho(d+h, d) = \mathcal{O}(1)$ .

Proof (Theorem 1). Given an instance  $(G, \xi)$  of  $\Pi$  with  $G \in \mathcal{G}$  for a graph class  $\mathcal{G}$  with expansion bounded by  $f: \mathbf{N} \to \mathbf{R}$  and having fixed a constant  $d \in \mathbf{N}$ , we calculate a  $2^d$ -approximation S of a minimal treedepth-d-modulator using Lemma 2. In the next step, using the algorithm outlined in the proof of Lemma 4, we compute the decomposition  $Y_0 \uplus Y_1 \uplus \cdots \uplus Y_\ell$ . Each cluster  $Y_i, 1 \leq i \leq \ell$  forms a protrusion with boundary size  $|N(Y_i)| \leq 2^{d+1} + 2f(2^d) =: h$  and treedepth (and thus treewidth)  $\leq d$ . Applying the protrusion reduction rule to each individual cluster as in Lemma 5 then yields an equivalent instance  $(G', \xi')$  with

$$|V(G')| = |Y_0| + \sum_{i=1}^{c} Y'_i \leq \mathcal{O}(|S|) + \ell \cdot \rho(d + 2^{d+1} + 2f(2^d), d) = \mathcal{O}(|S|)$$

where  $Y'_i$  denote the clusters obtained through applications of the reduction rule. As G is degenerate, the above implies that  $|V(G')| + |E(G')| = \mathcal{O}(|S|)$ , too.  $\Box$ 

Some problems do not have FII in general (see [9]) but only when restricted to graphs of bounded treedepth or bounded treewidth.

**Lemma 6.**  $[\star]$  Let  $\mathcal{G}$  be any graph class and  $\mathcal{G}(d)$  be those graphs of  $\mathcal{G}$  that have treedepth at most d. The problems LONGEST PATH, LONGEST CYCLE, EXACT s,t-PATH, EXACT CYCLE restricted to  $\mathcal{G}$  have FII in  $\mathcal{G}(d) \subseteq \mathcal{G}$  for any  $d \in \mathbb{N}$ .

**Lemma 7.**  $[\star]$  Let  $\mathcal{G}$  be any graph class and  $\mathcal{G}(w)$  be those graphs of  $\mathcal{G}$  that have treewidth at most w. The problems TREEWIDTH and PATHWIDTH restricted to  $\mathcal{G}$  have FII in  $\mathcal{G}(w) \subseteq \mathcal{G}$  for any  $w \in \mathbf{N}$ .

**Corollary 1.** The following graph problems either have FII in general or on graphs of bounded treedepth, and hence have linear kernels in graphs of bounded expansion, when the parameter is the size of a modulator to constant treedepth: (CONNECTED) DOMINATING SET, r-DOMINATING SET, EFFICIENT DOM. SET, (CONNECTED) VERTEX COVER, HAMILTONIAN PATH/CYCLE, INDEPENDENT

SET, FEEDBACK VERTEX SET, EDGE DOM. SET, INDUCED MATCHING, CHORDAL VERTEX DELETION, ODD CYCLE TRANSVERSAL, INDUCED d-DEGREE SUB-GRAPH, MIN LEAF SPANNING TREE, MAX FULL DEGREE SPANNING TREE, LONGEST PATH/CYCLE, EXACT s, t-PATH, EXACT CYCLE, TREEWIDTH, PATH-WIDTH.

For a more comprehensive list of problems that have FII in general graphs (and hence fall under the purview of the above corollary), see [5].

#### 4.1 Extension to larger graph classes

We can lift our results to prove polynomial kernels in graphs of *locally bounded* expansion and the even larger class of nowhere dense graphs.

**Definition 7 (Locally bounded expansion [11]).** A graph class  $\mathcal{G}$  has locally bounded expansion if there exists a function  $f: \mathbf{N} \times \mathbf{N} \to \mathbf{R}$  (called the expansion function) such that for every graph  $G \in \mathcal{G}$  and all  $r, d \in \mathbf{N}$  and every vertex  $v \in V(G)$ , it holds that  $\nabla_r(G[N_d(v)]) \leq f(d, r)$ .

**Definition 8 (Nowhere dense [18, 19]).** A graph class  $\mathcal{G}$  is nowhere dense if for all  $r \in \mathbf{N}$  it holds that  $\omega(\mathcal{G} \nabla r) < \infty$ .

The two kernelization results that we are about to state apply to all problems listed in Section 4. In the following, let  $\mathcal{G}$  be a graph class and  $\mathcal{G}(p) \subseteq \mathcal{G}$  the subclass of graphs of treedepth at most p. Further let  $\Pi_{\mathcal{G}}$  be a graph problem that has FII on  $\mathcal{G}(p)$  for all  $p \in \mathbf{N}$ .

**Theorem 2.** Let  $\mathcal{G}$  be class of locally bounded expansion and let  $d \in \mathbf{N}$  be a constant. Then there is an algorithm that takes as input  $(G,\xi) \in \Pi_{\mathcal{G}}$  and, in polynomial time, outputs an equivalent instance  $(G',\xi')$  such that  $|G'| = O(|S|^2)$ , where S is an optimum treedepth-d modulator of the graph G.

**Theorem 3.** Let  $\mathcal{G}$  be nowhere-dense and let  $d \in \mathbf{N}$  be a constant. Then there is an algorithm that takes as input  $(G, \xi) \in \Pi_{\mathcal{G}}$  and, in polynomial time, outputs an equivalent instance  $(G', \xi')$  such that  $|G'| = \mathcal{O}(|S|^c)$  for some constant c, where Sis an optimum treedepth-d modulator of the graph G.

The proofs of Theorems 2 and 3 follow analogously to the proof of Theorem 1 using next Lemma 8 in place of Lemma 3 (see details in the appendix).

Hence the point is to generalize Lemma 3 to make it amenable to larger graph classes. We achieve that goal as follows. Let  $\#\omega(G)$  denote the number of complete subgraphs of G. For a graph class  $\mathcal{G}$  and an integer  $\ell$  we let  $\mathcal{G}_{\leq \ell} := \{H \in \mathcal{G} \mid |H| \leq \ell\}$  denote those graphs of  $\mathcal{G}$  which have size  $\leq \ell$ .

**Definition 9 (Greatest reduced average clique density).** For a graph Gand integer r we define  $\Box_r(G) = \max_{H \in G \nabla r} (\#\omega(H)/|H|)$  to be the greatest reduced clique density (clique-grad) with rank r of G. For a graph class  $\mathcal{G}$  the clique expansion with rank r is defined as  $\Box_r(\mathcal{G}) = \sup_{G \in \mathcal{G}} \Box_r(G)$ .

**Lemma 8.**  $[\star]$  Let G = (X, Y, E) be a bipartite graph let  $\mathcal{H}_X = (G \nabla 1)_{\leq |X|}$ . Then there are at most  $2\nabla_0(\mathcal{H}_X) \cdot |X|$  vertices in Y with degree larger than  $\omega(\mathcal{H}_X)$ ; and, at most  $(\Box_0(\mathcal{H}_X) + 2\nabla_0(\mathcal{H}_X)) \cdot |X|$  subsets  $X' \subseteq X$  such that X' = N(u) for some  $u \in Y$ .

## 5 Polynomial Kernel for Longest Path

In this section we show that the problem LONGEST PATH has a polynomial kernel when parameterized by a modulator to constant treedepth. Our result almost entirely closes the gap between the polynomial kernel of LONGEST PATH when parameterized by the size of a vertex cover and the no polynomial kernel result for LONGEST PATH when parameterized by the size of a modulator to pathwidth two [6].

**Lemma 9.**  $[\star]$  For fixed  $d \in \mathbf{N}, d \ge 1$ , let  $S \subseteq V(G)$  be a treedepth-d modulator of a graph G and let k = |S|. Then there is an induced subgraph G' of G and a set  $S' \subseteq V(G')$  such that: (1) G and G' are equivalent instances of LONGEST PATH (for the same path length), (2) G' and S' can be computed from G and S in polynomial time  $\mathcal{O}(k^2 \cdot |V(G)|)$ , and (3) S' is a treedepth-(d-1) modulator of G' of size  $|S'| \le (k+1)^3$ .

**Theorem 4.** Let  $d \in \mathbf{N}$  be a constant, and let the function g be defined as follows; g(0,k) = k and  $g(i,k) = g(i-1,(k+1)^3)$ . Then LONGEST PATH has a polynomial kernel of size at most g(d,k) parameterized by the size k of a modulator to treedepth d where, asymptotically,  $g(d,k) = O(k^{3^d})$ . This kernel is computable in time  $\mathcal{O}(k^2 \cdot |V(G)|)$ .

Proof. Let G be a graph, and  $S \subseteq V(G)$  a treedepth-d modulator of G. We proceed by induction on  $d \ge 0$ : For d = 0 we necessarily have S = V(G) (cf. Lemma 9) and hence immediately a kernel of size k = g(0, k). For d > 0, we apply Lemma 9 to obtain an equivalent instance G' with modulator S' of size  $k' = |S'| \le (k+1)^3$ . Then G' can be kernelized to an instance of size at most g(d-1,k') by the inductive assumption, and  $g(d-1,k') \le g(d,k)$  as desired.

## 6 Conclusions and Further Research

In this paper we presented kernelization results on graphs of bounded expansion, locally bounded expansion, and nowhere dense graphs. To the best of our knowledge, these are the very first kernelization results on these graph classes. The parameter that we use is the size of a modulator to constant treedepth graphs. Evidence suggests that any meta-theorem on linear kernels on graphs of bounded expansion that includes all the problems in Corollary 1 necessarily requires a parameter that cannot be weaker than what we have. However for problems whose solution sizes are not invariant under edge subdivisions, such as DOMINATING SET and HAMILTONIAN CYCLE, it might be possible to obtain such a result.

There are some interesting open questions regarding the polynomial kernelizability of LONGEST PATH. We conjecture that LONGEST PATH has no polynomial kernel in general graphs with the size of a modulator to a single path (of arbitrary length) as parameter. This would show that if we use the size of a modulator to a (subgraph closed) graph property as parameter, then in general graphs there exists a dichotomy for LONGEST PATH: If the graph property excludes long paths, there is a polynomial kernel; otherwise not. The polynomial kernel presented here has size  $k^{g(d)}$ , where k is the size of a treedepth-d modulator and  $g(d) = 3^d$ . Is there a kernel of size  $g(d) \cdot k^{\mathcal{O}(1)}$ , for some function g?

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## 7 Appendix

In this appendix, we state our notation, definitions, and provide complete proofs to all the lemmas and theorems that appear in this paper.

#### 7.1 Problem definitions

In this subsection, we define some of the problems that we mention in this paper.

LONGEST PATHInput:A graph G and a positive integer  $\ell$ .Problem:Does G contain a simple path of length at least  $\ell$ ?

Longest Cycle	
Input:	A graph G and a positive integer $\ell$ .
Problem:	Does G contain a simple cycle of length at least $\ell$ ?

EXACT $s, t$ -Path	
Input:	A graph G, two special vertices $s, t \in V(G)$ and a positive
	integer $\ell$ .
Problem:	Is there a simple path in G from s to t of length exactly $\ell$ ?

Exact Cycle	
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Input:A graph G and a positive integer  $\ell$ .Problem:Is there a simple cycle in G of length exactly  $\ell$ ?

Feedback	Vertex Set
Input:	A graph G and a positive integer $\ell$ .
Problem:	Is there a vertex set $S \subseteq V(G)$ with at most $\ell$ vertices such that $G - S$ is a forest?

## TREEWIDTH

Input:	A graph $G$ and a positive integer $\ell$ .
Problem:	Is the treewidth of $G$ at most $\ell$ ?

Pathwidth	
Input:	A graph $G$ and a positive integer $\ell$ .
Problem:	Is the pathwidth of $G$ at most $\ell$ ?

TREEWIDTH- $t$ Vertex Deletion	
Input:	A graph G and a positive integer $\ell$ .
Problem:	Is there a vertex set $S \subseteq V(G)$ with at most $\ell$ vertices such that the treewidth of $G - S$ is at most $t$ ?

Dominating	g Set
Input:	A graph $G = (V, E)$ and a positive integer $\ell$ .
Problem:	Is there a vertex set $S \subseteq V$ with at most $\ell$ vertices such that
	for all $u \in V \setminus S$ there exists $v \in S$ such that $uv \in E$ ?

If in addition, we require that G[S] is a connected graph then the problem is called CONNECTED DOMINATING SET.

r-Dominating Set	
Input:	A graph $G = (V, E)$ and a positive integer $\ell$ .
Problem:	Is there a vertex set $S \subseteq V$ with at most $\ell$ vertices such that
	for all $u \in V \setminus S$ there exists $v \in S$ such that $d(u, v) \leq r$ ?

Efficient I	Dominating Set
Input:	A graph $G = (V, E)$ and a positive integer $\ell$ .
Problem:	Is there an independent set $S\subseteq V$ with at most $\ell$ vertices such
	that for every $u \in V \setminus S$ there exists exactly one $v \in S$ such
	that $uv \in E$ ?

Edge Dom	INATING SET
Input: Problem:	A graph $G = (V, E)$ and a positive integer $\ell$ . Is there an edge set $S \subseteq E$ of size at most $\ell$ such that for every $e \in E \setminus S$ there exists $e' \in S$ such that $e$ and $e'$ share an endpoint?

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Induced Matching	
Input:	A graph $G = (V, E)$ and a positive integer $\ell$ .
Problem:	Is there an edge set $S \subseteq E$ of size at least $\ell$ such that S is a
	matching and for all $u, v \in V(S)$ , if $uv \in E$ then $uv \in S$ ?

CHORDAL VERTEX DELETION	
Input:	A graph $G = (V, E)$ and a positive integer $\ell$ .
Problem:	Is there a vertex set $S \subseteq V$ of size at most $\ell$ such that $G - S$ is chordal?

#### 7.2 Preliminaries

We first describe notation and the most important definitions pertaining to graphs. All our graphs are finite and simple. Given a graph G, we use V(G) and E(G) to denote its vertex and edge sets. For convenience we assume that V(G) is a totally ordered set, and use uv instead of  $\{u, v\}$  to denote an edge of G. For  $X \subseteq V(G)$ , we let G[X] denote the subgraph of G induced by X, and we define  $G - X := G[V(G) \setminus X]$ . Since we are mainly concerned with sparse graphs in this paper, we let |G| denote the number of vertices in the graph G. The distance  $d_G(v, w)$  of two vertices  $v, w \in V(G)$  is the length (number of edges) of a shortest v, w-path in G and  $\infty$  if v and w lie in different connected components of G. The diameter diam(G) of a graph is defined as  $\max_{u,v \in V(G)} \{d_G(u,v)\}$ . We denote by  $\omega(G)$  the size of the largest complete subgraph of G.

The neighborhood of a vertex  $v \in V(G)$  is the set  $N^G(v) = \{w \in V(G) | vw \in E(G)\}$ , the degree of v is  $\deg^G(v) = |N^G(v)|$ , and the closed neighborhood of v is defined as  $N^G[v] := N^G(v) \cup \{v\}$ . We extend this naturally to sets of vertices and subgraphs: For  $S \subseteq V(G)$  we let  $N^G(S)$  denote the set of vertices in  $V(G) \setminus S$  that have at least one neighbor in S, and for a subgraph H of G we define  $N^G(H) := N^G(V(H))$ . Finally, if X is a subset of vertices disjoint from S, then  $N_X^G(S)$  is the set  $N^G(S) \cap X$  (and similarly for  $N_X^G(H)$ ). Given a graph G and a set  $W \subseteq V(G)$ , we also define  $\partial_G(W)$  as the set of vertices in W that have a neighbor in  $V \setminus W$ . Note that  $N^G(W) = \partial_G(V(G) \setminus W)$ . A graph G is d-degenerate if every subgraph of  $G' \subseteq G$  contains a vertex  $v \in V(G')$  with  $\deg^G(v) \leq d$ . The degeneracy of G is the smallest d such that G is d-degenerate. In the rest of the paper we often drop the index G from all the notation if it is clear which graph is being referred to.

Given an edge e = uv of a graph G, we let G/e denote the graph obtained from G by contracting the edge e, which amounts to deleting the endpoints of e, introducing a new vertex  $w_{uv}$ , and making it adjacent to all vertices in  $(N(u) \cup N(v)) \setminus \{u, v\}$ . By contracting e = uv to the vertex w, we mean that the vertex  $w_{uv}$  is renamed as w. Subdividing an edge is, in a sense, an opposite operation to contraction. A graph G is called a  $\leq k$ -subdivision of a graph H if (some) edges of H are replaced by paths of length at most k + 1. A minor of Gis a graph obtained from a subgraph of G by contracting zero or more edges. **Definition 10 (Kernelization).** A kernelization of a parameterized problem  $(Q, \kappa)$  over the alphabet  $\Sigma$  is a polynomial-time computable function  $A: \Sigma^* \to \Sigma^*$  such that for all  $x \in \Sigma^*$ , we have

1.  $x \in Q$  if and only if  $A(x) \in Q$ , 2.  $|A(x)| \leq g(\kappa(x))$ ,

where g is some computable function. The function g is called the size of the kernel. If  $g(\kappa(x)) = \kappa(x)^{\mathcal{O}(1)}$  or  $g(\kappa(x)) = \mathcal{O}(\kappa(x))$ , we say that  $\Pi$  admits a polynomial kernel and a linear kernel, respectively.

**Definition 11 (Treewidth).** Given a graph G = (V, E), a tree-decomposition of G is an ordered pair (T, W), where T is a tree and  $W = \{W_x \subseteq V \mid x \in V(T)\}$  is a collection of vertex sets of G, with one set for each node of the tree T such that the following hold:

- 1.  $\bigcup_{x \in V(T)} W_x = V(G);$
- 2. for every edge e = uv in G, there exists  $x \in V(T)$  such that  $u, v \in W_x$ ;
- 3. for each vertex  $u \in V(G)$ , the set of nodes  $\{x \in V(T) \mid u \in W_x\}$  induces a subtree.

The vertices of the tree T are usually referred to as nodes and the sets  $W_x$  are called bags. The width of a tree-decomposition is the size of a largest bag minus one. The treewidth of G, denoted  $\mathbf{tw}(G)$ , is the smallest width of a tree-decomposition of G.

In the definition above, if we restrict T to being a path, we obtain well-known notions of a *path-decomposition* and *pathwidth*. We let  $\mathbf{pw}(G)$  denote the pathwidth of G.

#### 7.3 The Protrusion Machinery

The following lemma forms the basis of our reduction rule.

**Lemma 1.** Fix  $c, d, t \in \mathbf{N}$ . If H is a t-boundaried graph in  $\mathcal{G}(c \cdot d)$  such that  $H \equiv_{\Pi_{\mathcal{G}}, t} H'$  for some t-boundaried graph H' in  $\mathcal{G}(d)$ , then there exists  $R \in \mathcal{R}_{t, \mathcal{G}(d)}$  such that  $R \equiv_{\Pi_{\mathcal{G}}, t} H$ .

*Proof.* Since  $H \equiv_{\Pi_{\mathcal{G}},t} H'$ , the equivalence class of  $\equiv_{\Pi_{\mathcal{G}},t}$  containing H contains at least one graph from  $\mathcal{G}(d)$ , namely H' itself. By the definition of  $R_{t,\mathcal{G}(d)}$  there exists an  $R \in \mathcal{G}(d)$  that is a member of  $R_{t,\mathcal{G}(d)}$  with  $R \equiv_{\Pi_{\mathcal{G}},t} H$ .

#### 7.4 Linear Kernels on Graphs of Bounded Expansion

We begin by describing a constant-factor approximation algorithm for the treedepth of a graph.

**Lemma 2.** Fix  $d \in \mathbf{N}$ . Given a graph G, one can in polynomial time compute a subset  $S \subseteq V(G)$  such that  $\mathbf{td}(G-S) \leq d$  and |S| is at most  $2^d$  times the size of an optimal treedepth-d modulator of G. If G is from a graph class of bounded expansion, then the same can be achieved in linear time.

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*Proof.* We use the fact that any DFS-tree of a graph of treedepth d has depth at most  $2^d - 1$ . We compute a DFS-tree of the graph G and if it has depth more than  $2^d - 1$ , then  $\mathbf{td}(G) > d$ . So, we take some path P from the root of the tree of length  $2^d - 1$  and add all the  $2^d$  vertices of P into the modulator; delete V(P) from the graph and repeat. (Clearly, at least one of the vertices of P must be in any modulator.) At the end of this procedure, the DFS-tree of the remaining graph has depth at most  $2^d - 1$ . This gives us a tree (path) decomposition of the graph of width at most  $2^d - 2$ . Now use standard dynamic programming to obtain an optimum treedepth-d modulator. Since the treewidth of the remaining graph is a constant, the dynamic programming algorithm runs in time linear in the size of the graph. The overall size of the modulator has size at most  $2^d$  times the optimal solution.

For a graph G from a class of bounded expansion, we modify the iterated depthfirst search. By [17], graph classes of bounded expansion admit low treedepth coloring: Given any integer p, there exists an integer  $n_p$  such that any graph of the class can be properly vertex colored using  $n_p$  colors such that for any set of  $1 \leq i \leq p$  colors, the graph induced by the vertices that receive these i colors has tree depth at most i. Such a coloring is called a p-tree depth coloring and can be computed in linear time. Here we choose  $p = 2^d$  and obtain such a coloring for G using  $n_p$  colors. Let  $G_1, \ldots, G_r$  denote the subgraphs induced by at most  $2^d$  of these color classes where  $r < 2^{n_p} = \mathcal{O}(1)$ . Note that  $\sum_j |G_j| = \mathcal{O}(|G|)$ , since every vertex of G appears in at most a constant number of subgraphs. Any path in G of length  $2^d - 1$  must be in some subgraph  $G_j$ , for  $1 \leq j \leq r$ . For each subgraph  $G_i$ , we simply construct a treedepth decomposition, find all paths of length  $2^d - 1$ , add their vertices into the solution and delete them from the graph. The time taken to do this for each subgraph  $G_j$  is  $\mathcal{O}(|G_j|)$ . The total time taken is therefore  $\sum_{i} |G_{i}| = \mathcal{O}(|G|).$ 

The next lemma and its corollaries (Corollaries 2 and 3) are used to show how to construct a protrusion-decomposition  $Y_0 \uplus Y_1 \uplus \cdots \uplus Y_\ell$ .

#### **Lemma 3.** Let G = (X, Y, E) be a bipartite graph. Then there are at most

- 1.  $2\nabla_1(G) \cdot |X|$  vertices in Y with degree greater than  $2\nabla_1(G)$ ;
- 2.  $(4^{\nabla_1(G)} + 2\nabla_1(G)) \cdot |X|$  subsets  $X' \subseteq X$  such that X' = N(u) for some  $u \in Y$ .

*Proof.* We construct a sequence of graphs  $G_0, G_1, \ldots, G_\ell$  such that  $G_i \in G \lor 1$  for all  $0 \leq i \leq \ell$  as follows. Set  $G_0 = G$ , and for  $0 \leq i \leq \ell - 1$  construct  $G_{i+1}$  from  $G_i$  by choosing a vertex  $v \in V(G_i) \setminus X$  such that  $N(v) \subseteq X$  contains two non-adjacent vertices u, w in  $G_i$ ; if no such vertex v exists, stop with  $\ell := i$ . Set  $e_{i+1} = uv$  and contract this edge to the vertex u to obtain  $G_{i+1}$ . Recall that contracting uv to u is equivalent to deleting vertex v and adding edges between each vertex in  $N(v) \setminus u$  and u. It is clear from the construction that for  $0 \leq i \leq \ell$ ,  $X \subseteq V(G_i) \subseteq X \cup Y$ .

This process clearly terminates, as  $G_{i+1}$  has at least one more edge between vertices of X than  $G_i$ . Note that  $G_i \in G \nabla 1$  for  $0 \leq i \leq \ell$ , as the edges  $e_1, \ldots, e_{i-1}$ that were contracted to vertices in X in order to construct  $G_i$  had one endpoint each in X and Y, the endpoint in Y being deleted after each contraction. Thus,  $e_1, \ldots, e_{i-1}$  induce a set of stars in  $V(G) = V(G_0)$ , and  $G_i$  is obtained from G by contracting these stars. We therefore conclude that  $G_i$  is a depth-one shallow minor of G. In particular, this implies  $G_{\ell}[X]$  is  $2\nabla_1(G)$ -degenerate and has at most  $2\nabla_1(G) \cdot |X|$  edges. Further, note that for each  $0 \leq i \leq \ell, Y \cap V(G_i)$  is, by construction, still an independent set in  $G_i$ .

Let us now prove the first claim. To this end, assume that there is a vertex  $v \in Y \cap V(G_{\ell})$  such that  $degree(v) > 2\nabla_1(G)$ . We claim that  $G_{\ell}[N(v)]$  (where  $N(v) \subseteq X$ ) is a clique. If not, we could choose a pair of non-adjacent vertices in  $G_{\ell}[N(v)]$  and construct a  $(\ell + 1)$ -th graph for the sequence which would contradict the fact that  $G_{\ell}$  is the last graph of the sequence. However, a clique of size  $|\{v\} \cup N(v)| > 2\nabla_1(G) + 1$  is not  $2\nabla_1(G)$ -degenerate. Hence we conclude that no vertex of  $Y \cap V(G_{\ell})$  has degree larger than  $2\nabla_1(G)$  in  $G_{\ell}$  (and in G). Therefore the vertices of Y of degree greater than  $2\nabla_1(G)$  in the graph G, if there were any, must have been deleted during the edge contractions that resulted in the graph  $G_{\ell}$ . As every contraction added at least one edge between vertices in X and since  $G_{\ell}[X]$  contains at most  $2\nabla_1(G) \cdot |X|$  edges, the first claim follows.

For the second claim, consider the set  $Y' = Y \cap V(G_{\ell})$ . The neighbourhood of every vertex  $v \in Y'$  induces a clique in  $G_{\ell}[X]$ . From the degeneracy of  $G_{\ell}[X]$ , it follows that  $G_{\ell}[X]$  has at most  $2^{2\nabla_1(G)}|G_{\ell}[X]| = 4^{\nabla_1(G)} \cdot |X|$  cliques. Thus the number of subsets of X that are neighbourhoods of vertices in Y in G is at most  $(4^{\nabla_1(G)} + 2\nabla_1(G)) \cdot |X|$ , where we accounted for vertices of Y lost via contractions by the bound on the number of edges in  $G_{\ell}[X]$ .

The following two corollaries to Lemma 3 show how it can be applied in our situation.

**Corollary 2.** Let  $\mathcal{G}$  be a graph-class whose expansion is bounded by a function  $f: \mathbf{N} \to \mathbf{R}$ . Suppose that for  $G \in \mathcal{G}$  and  $S \subseteq V(G), C_1, \ldots, C_s$  are disjoint connected subgraphs of G-S satisfying the following two conditions: for  $1 \leq i \leq s$ ,  $diam(G[V(C_i)]) \leq \delta$  and  $|N_S(C_i)| > 2 \cdot f(\delta + 1)$ . Then  $s \leq 2 \cdot f(\delta + 1) \cdot |S|$ .

*Proof.* We construct an auxilliary bipartite graph  $\tilde{G}$  with partite sets S and  $Y = \{C_1, \ldots, C_s\}$ . There is an edge between  $C_i$  and  $x \in S$  iff  $x \in N_S(C_i)$ . Note that  $\tilde{G}$  is a depth- $\delta$  shallow minor of G with branch sets  $C_i, 1 \leq i \leq s$ . By Lemma 3,

$$s \leq 2\nabla_1(\tilde{G})|S| \leq 2\nabla_1(G \lor \delta)|S| = 2\nabla_{\delta+1}(G)|S| \leq 2f(\delta+1)|S|.$$

**Corollary 3.** Let  $\mathcal{G}$  be a graph-class whose expansion is bounded by a function  $f: \mathbf{N} \to \mathbf{R}$ . Suppose that for  $G \in \mathcal{G}$  and  $S \subseteq V(G), \mathcal{C}_1, \ldots, \mathcal{C}_t$  are sets of connected components of G - S such that for all  $C, C' \in \bigcup_i \mathcal{C}_i$  it holds that  $C, C' \in \mathcal{C}_j$  for some j if and only if  $N_S(C) = N_S(C')$ . Let  $\delta > 0$  be a bound on the diameter of the components, i.e. for all  $C \in \bigcup_i \mathcal{C}_i$ , diam $(G[V(C)]) \leq \delta$ . Then there can be only at most  $t \leq (4^{f(\delta+1)} + 2f(\delta+1)) \cdot |S|$  such sets  $\mathcal{C}_i$ .

*Proof.* As in the proof of Corollary 2, we construct a bipartite graph  $\tilde{G}$  with partite sets S and  $Y = \{C_1, \ldots, C_r\}$ , where the vertices  $C_j$  represent connected components in  $\bigcup_i C_i$  and  $C_j$  has an edge to  $x \in S$  iff  $x \in N_S(C_j)$ . As before,  $\tilde{G}$  is a shallow minor at depth  $\delta$  of G with branch sets  $C_j, 1 \leq j \leq r$ . By Lemma 3,

$$\begin{split} t \leqslant |\{S' \subseteq S \mid \exists C_i \in Y : N(C_i) = S'\}| \leqslant (4^{\nabla_1(G)} + 2\nabla_1(\tilde{G})) \cdot |S| \\ \leqslant (4^{\nabla_1(G \vee \delta)} + 2\nabla_1(G \vee \delta)) \cdot |S| \\ = (4^{\nabla_{\delta+1}(G)} + 2\nabla_{\delta+1}(G)) \cdot |S| \\ \leqslant (4^{f(\delta+1)} + 2f(\delta+1)) \cdot |S|. \end{split}$$

## Algorithm 1: BAG MARKING ALGORITHM

**Input:** A graph G, a subset  $S \subseteq V(G)$  such that  $\mathbf{td}(G - S) \leq d$ , and an integer t > 0.

Set  $\mathcal{M} \leftarrow \emptyset$  as the set of marked bags;

for each connected component C of G - S such that  $N_S(C) \ge t$  do Choose an arbitrary vertex  $v \in V(C)$  as a root and construct a DFS-tree starting at v:

Use the DFS-tree to obtain a path-decomposition  $\mathcal{P}_C = (P_C, \mathcal{B}_C)$  of width at most  $2^d - 2$  in which the bags are ordered from left to right;

Repeat the following loop for the path-decomposition  $\mathcal{P}_C$  of every C; while  $\mathcal{P}_C$  contains an unprocessed bag **do** 

Let B be the leftmost unprocessed bag of  $\mathcal{P}_C$ ; Let  $G_B$  denote the subgraph of G induced by the vertices in the bag B and in all bags to the left of it in  $\mathcal{P}_C$ .

[Large-subgraph marking step]

if  $G_B$  contains a connected component  $C_B$  such that  $|N_S(C_B)| \ge t$  then  $\mid \mathcal{M} \leftarrow \mathcal{M} \cup \{B\}$  and remove the vertices of B from every bag of  $\mathcal{P}_C$ ;

Bag B is now processed;

return  $Y_0 = S \cup V(\mathcal{M});$ 

**Lemma 4.** Let  $\mathcal{G}$  be a graph class with expansion bounded by  $f, G \in \mathcal{G}$  and  $S \subseteq V(G)$  be a set of vertices such that  $\mathbf{td}(G-S) \leq d$  (d a constant). There is an algorithm that runs in time  $\mathcal{O}(|G|)$  and partitions V(G) into sets  $Y_0 \uplus Y_1 \uplus \cdots \uplus Y_\ell$  such that the following hold:

- 1.  $S \subseteq Y_0$  and  $|Y_0| = O(|S|)$ ;
- 2. for  $1 \leq i \leq l$ ,  $Y_i$  induces a set of connected components of  $G Y_0$  that have the same neighborhood in  $Y_0$  of size at most  $2^{d+1} + 2 \cdot f(2^d)$ ;
- 3.  $\ell \leq (4^{f(2^d)} + 2f(2^d)) \cdot |S| = \mathcal{O}(|S|).$

Proof. We first construct a DFS-forest  $\mathcal{F}$  of G-S. Assume that there are q trees  $T_1, \ldots, T_q$  in this forest rooted at  $r_1, \ldots, r_q$ , respectively. Since  $\operatorname{td}(G-S) \leq d$ , the height of every tree in  $\mathcal{F}$  is at most  $2^d - 1$ . Next we construct for each  $T_i$ ,  $1 \leq i \leq q$ , a path decomposition of the subgraph of  $G[V(T_i)]$ . Suppose that  $T_i$  has leaves  $l_1, \ldots, l_s$  ordered according to their DFS-number. For  $1 \leq j \leq s$ , create a bag  $B_j$  containing the vertices on the unique path from  $l_j$  to  $r_i$  and string these bags together in the order  $B_1, \ldots, B_s$ . Clearly, this is a path decomposition  $\mathcal{P}_i$  of  $G[V(T_i)]$  with width at most  $2^d - 2$ . Note that the root  $r_i$  is in every bag of  $\mathcal{P}_i$ .

We now use a marking algorithm similar to the one in [16] to mark  $\mathcal{O}(|S|)$  bags in the path decompositions  $\mathcal{P}_1, \ldots, \mathcal{P}_q$  with the property that each marked bag can be uniquely identified with a connected subgraph of G - S that has a large neighborhood in the modulator S. This algorithm is described in Figure 1 in which we set t, the size of a *large neighborhood* in S, to be  $t := 2 \cdot f(2^d) + 1$ . Note that there is a one-to-one correspondence between marked bags  $\mathcal{M}$  and connected subgraphs with a neighborhood of size at least t in S. Moreover each connected subgraph has treedepth at most d and hence diameter at most  $2^d - 1$ . By Corollary 2, the number of connected subgraphs of large neighborhood and hence the number of marked bags is at most  $2 \cdot f(2^d - 1 + 1) \cdot |S| = \mathcal{O}(|S|)$ . We set  $Y_0 := V(\mathcal{M}) \cup S$ .

Now observe that each connected component in  $G - Y_0$  has less than  $t = 2 \cdot f(2^d) + 1$  neighbors in S: for every connected subgraph C with at least t neighbors in S, there exists a marked bag B. Importantly, the bag B was the *first* bag that was marked before the number of neighbors in S of any connected subgraph reached the threshold t. Hence each connected component of  $G[V(C) \setminus B]$  has degree less than t in S. Since every component is connected to at most two marked bags (in  $Y_0$ ) and since each bag is of size at most  $2^d-1$ , the size of the neighborhood of every component of  $G - Y_0$  in  $Y_0$  is at most  $2(2^d - 1) + t \leq 2^{d+1} + 2 \cdot f(2^d)$ .

To complete the proof, we simply cluster the connected components of  $G - Y_0$  according to their neighborhoods in  $Y_0$  to obtain the sets  $Y_1, \ldots, Y_\ell$ . Since each connected component of G - S is of diameter  $\delta \leq 2^d - 1$ , by Corollary 3, the number  $\ell$  of clusters is at most  $(4^{f(2^d)} + 2f(2^d)) \cdot |S| = \mathcal{O}(|S|)$ , as claimed.  $\Box$ 

Lemma 5 shows how to use the protrusion replacement rule to reduce a protrusion-decomposition. In the proof of this lemma, it will be convenient to use the following normal form of tree decompositions: A triple  $(T, \{W_x \mid x \in V(T)\}, r)$  is a *nice tree decomposition* of a graph G if  $(T, \{W_x \mid x \in V(T)\})$  is a tree decomposition of G, the tree T is rooted at node  $r \in V(T)$ , and each node of T is of one of the following four types:

- 1. a *leaf node*: a node having no children and containing exactly one vertex in its bag;
- 2. a join node: a node x having exactly two children  $y_1, y_2$ , and  $W_x = W_{y_1} = W_{y_2}$ ;
- 3. an *introduce node*: a node x having exactly one child y, and  $W_x = W_y \cup \{v\}$  for a vertex v of G with  $v \notin W_y$
- 4. a forget node: a node x having exactly one child y, and  $W_x = W_y \setminus \{v\}$  for a vertex v of G with  $v \in W_y$ .

Given a tree decomposition of a graph G of width w, one can effectively obtain in time  $\mathcal{O}(|V(G)|)$  a nice tree decomposition of G with  $\mathcal{O}(|V(G)|)$  nodes and of width at most w [7].

**Lemma 5.** For fixed  $d, h \in \mathbf{N}$ , let  $(G, \xi)$  be an instance of  $\Pi_{\mathcal{G}}$  and let  $S \subseteq V(G)$  be a treedepth-d modulator of G. Let  $Y_0 \uplus Y_1 \uplus \cdots \uplus Y_\ell$  be a protrusion-decomposition of G, where  $S \subseteq Y_0$  and for  $1 \leq i \leq \ell$ ,  $|N_{Y_0}(Y_i)| \leq h$ . Then one can in  $\mathcal{O}(|G|)$  time obtain an equivalent instance  $(G', \xi')$  and a protrusion-decomposition  $Y'_0 \uplus Y'_1 \uplus \cdots \uplus Y'_\ell$  of G' where  $Y'_0 = Y_0$ , and for  $1 \leq i \leq \ell$  it is  $|N_{Y'_0}(Y'_i)| \leq h$ and  $|Y'_i| \leq \rho(d+h, d) = \mathcal{O}(1)$ .

*Proof.* Since  $S \subseteq Y_0$  is a treedepth-*d* modulator, for all  $1 \leq i \leq \ell$ , we have  $\operatorname{td}(G[Y_i]) \leq d$  and hence  $\operatorname{tw}(G[Y_i]) \leq d - 1$ . Moreover treedepth at most *d* implies diameter at most  $2^d - 1$  for each component. For each index  $1 \leq i \leq \ell$ , our algorithm constructs a tree-decomposition of  $G[Y_i \cup N(Y_i)]$  of width d + h that satisfies certain properties that we mention below. The algorithm then uses this tree-decomposition to replace  $Y_i$  in a systematic manner using the protrusion replacement rule. The properties that this tree-decomposition satisfies enable the algorithm to perform this replacement in  $\mathcal{O}(|Y_i \cup N(Y_i)|)$  time. The total time taken to replace all sets  $Y_i$  is  $\sum_{i=1}^{\ell} |Y_i \cup N(Y_i)|$  and since by Lemma 3,  $\sum_{i=1}^{\ell} |N(Y_i)| = \mathcal{O}(|Y_0|)$ , the running time is indeed  $\mathcal{O}(|G|)$ . It therefore suffices to describe what properties our tree-decompositions satisfy and how each  $Y_i$  is replaced.

The tree-decomposition  $\mathcal{T}_i = (T_i, \{W_x \mid x \in V(T_i)\})$  of width d + h for  $G_i := G[Y_i \cup N(Y_i)]$  satisfies the following conditions:

- 1. there is a node  $r \in V(T_i)$  such that  $N(Y_i) = W_r$ ;
- 2. the tree-decomposition is nice and the leaf bags contain one vertex.

The first condition can be achieved by simply modifying the graph  $G_i$  so that  $N(Y_i)$  induces a clique, and then introducing an extra node r if no such node exists. The decomposition  $\mathcal{T}_i$  is rooted at the node r. For  $x \in V(T_i)$ , we let  $G_x$  denote the (d+h)-boundaried graph induced by the vertices in the bags of the subtree of  $T_i$  rooted at x. That is,

$$G_x = G\left[\bigcup W_y\right],$$

where the union is over all  $y \in V(T_i)$  that are descendants of x and  $bd(G_x) = W_x$ . For  $x \in V(T_i)$ , denote by  $\Lambda(x)$  the representative of  $G_x$  in  $\mathcal{R}_{d+h,\mathcal{G}(d)}$  and let  $\mu(x) = \Delta_{\Pi_{\mathcal{G}},d+h}(\Lambda(x),G_x)$ . Note that the treedepth of  $G_x$  is at most d and since  $\Pi_{\mathcal{G}}$  has FII in  $\mathcal{G}(d)$ , such a representative  $\Lambda(x)$  is indeed well founded. Moreover,  $|\Lambda(x)| \leq M$  where  $M := \rho(d+h,d)$  denotes the size of the largest representative in  $\mathcal{R}_{d+h,\mathcal{G}(d)}$ .

In order to replace  $Y_i$ , it is sufficient to know  $\Lambda(r)$  and  $\mu(r)$  which we will calculate in a bottom-up manner in  $\mathcal{O}(|Y_i|)$  time as follows. If  $y \in V(T_i)$  is a leaf node then these values can be computed in constant time. Let  $x \in V(T_i)$  be a node with exactly one child y whose  $\Lambda$  and  $\mu$  values are known. Consider the (d+h)-boundaried graph  $G'_x := (G_x \ominus_{W_y} G_y) \oplus_{W_y} \Lambda(y)$  with  $bd(G'_x) = W_x$ . We claim that  $G'_x \equiv_{\Pi_{\mathcal{G}}, d+h} G_x$ . To prove this, we need to demonstrate that for all graphs  $\tilde{G}$  and all  $\xi \in \mathbf{N}$ ,

 $(G'_x \oplus_{W_x} \tilde{G}, \xi) \in \Pi_{\mathcal{G}}$  if and only if  $(G_x \oplus_{W_x} \tilde{G}, \xi + \mu') \in \Pi_{\mathcal{G}}$ ,

where  $\mu' = \Delta_{\Pi_{\mathcal{G}}, d+h}(G'_x, G_x)$ . Now

$$(G'_x \oplus_{W_x} \tilde{G}, \xi) \in \Pi_{\mathcal{G}} \text{ iff } ((G_x \oplus_{W_y} G_y) \oplus_{W_y} \Lambda(y)) \oplus_{W_x} \tilde{G}, \xi) \in \Pi_{\mathcal{G}}$$
$$\text{ iff } ((G_x \oplus_{W_x} \tilde{G}) \oplus_{W_y} G_y) \oplus_{W_y} \Lambda(y), \xi) \in \Pi_{\mathcal{G}}$$
$$\text{ iff } ((G_x \oplus_{W_x} \tilde{G}) \oplus_{W_y} G_y) \oplus_{W_y} G_y, \xi + \mu(y)) \in \Pi_{\mathcal{G}},$$

where the last step follows because of  $\Lambda(y) \equiv_{\Pi_{\mathcal{G}}, d+h} G_y$ . Since  $(G_x \oplus_{W_x} \tilde{G}) \oplus_{W_y} G_y) \oplus_{W_y} G_y$  is just the graph  $G_x \oplus_{W_x} \tilde{G}$ , this proves our claim. In fact,  $\mu' = \mu(y)$ .

Observe that  $G'_x$  is of *constant* size, bounded from above by  $M + |W_x| \leq M + d + h = \mathcal{O}(1)$ . Although  $\Lambda(y)$  has treedepth at most d,  $G'_x$  is not guaranteed to have treedepth at most d. In fact,  $G'_x$  can have treedepth up to d+h. However since  $\operatorname{td}(G_x) \leq d$ , we can use Lemma 1 to conclude that there exists  $R \in \mathcal{R}_{d+h,\mathcal{G}(d)}$  with  $G'_x \equiv_{\Pi_{\mathcal{G}},d+h} R$ , and obtain this R in constant time since  $G'_x$  is of constant size. We set  $\Lambda(x) = R$  and  $\mu(x) = \mu(y) + \Delta_{\Pi,d+h}(G'_x, R)$ . Note that the total time spent at node x to generate these values is a constant.

Finally consider the case when  $x \in V(T_i)$  has exactly two children  $y_1$  and  $y_2$ whose  $\Lambda$  and  $\mu$  values are known. Since our tree-decomposition is nice, we have  $W_{y_1} = W_x = W_{y_2}$  and therefore  $bd(G_{y_1}) = bd(G_{y_2}) = W_x$ . Consider the (d + h)boundaried graph  $G''_x = \Lambda(y_1) \oplus_{W_x} \Lambda(y_2)$  with  $bd(G''_x) = W_x$ . Similarly as in the above case, we demonstrate that for all graphs  $\tilde{G}$  and all  $\xi \in \mathbf{N}$ ,

$$(G''_x \oplus_{W_x} \tilde{G}, \xi) \in \Pi_{\mathcal{G}}$$
 if and only if  $(G_x \oplus_{W_x} \tilde{G}, \xi + \mu'') \in \Pi_{\mathcal{G}},$ 

where  $\mu'' = \mu(y_1) + \mu(y_2)$ . Then  $G''_x$  has size at most 2M which is a constant. One can therefore, again in constant time, calculate a representative  $R \in \mathcal{R}_{d+h,\mathcal{G}(d)}$  of  $G''_x$ . Set  $\Lambda(x) = R$  and  $\mu(x) = \Delta_{\Pi,d+h}(G''_x, R)$ . This shows that one can in time  $\mathcal{O}(|Y_i|)$  obtain  $\Lambda(r)$  and  $\mu(r)$ , as desired.  $\Box$ 

The next two lemmas show that a number of problems that do not have FII in general graphs have FII in graphs of bounded treedepth.

**Lemma 6.** Let  $\mathcal{G}$  be any graph class and  $\mathcal{G}(d)$  be those graphs of  $\mathcal{G}$  that have treedepth at most d. The problems LONGEST PATH, LONGEST CYCLE, EXACT s,t-PATH, EXACT CYCLE restricted to  $\mathcal{G}$  have FII in  $\mathcal{G}(d) \subseteq \mathcal{G}$  for any  $d \in \mathbb{N}$ .

*Proof.* Let  $\Pi$  be any one of the mentioned problems restricted to  $\mathcal{G}$ , and let d, t be constants. Consider the class  $\mathcal{G}_t$  of t-boundaried graphs over  $\mathcal{G}$ , and let  $T = \{0, 1, \ldots, t\}$ .

We define a *configuration* of  $\Pi$  with respect to  $\mathcal{G}_t$  as a mutiset

$$C = \{(s_1, d_1, t_1), \dots, (s_p, d_p, t_p)\}$$

of triples from  $(T \times \mathbf{N} \times T)$ . We say a *t*-boundaried graph  $G \in \mathcal{G}_t$  satisfies the configuration C if there exists a set of (distinct) paths  $P_1, \ldots, P_p$  in G such that

- $-s_i, t_i$  can only be endvertices of  $P_i, V(P_i) \cap bd(G) \subseteq \{s_i, t_i\}$ , and  $|P_i| = d_i$ , for  $1 \leq i \leq p$ ,
- $V(P_i) \cap V(P_j) \subseteq bd(G)$  for  $1 \leq i < j \leq p$ ,
- $V(P_i) \cap V(P_j) \cap V(P_k) = \emptyset \text{ for } 1 \leq i < j < k \leq p.$

Note that, for simplicity, we identify the boundary vertices in bd(G) with their labels  $1, \ldots, t$  from T. Moreover,  $s_i, t_i$  can take the value 0 which is not contained in bd(G): semantically these tuples describe paths which intersect the boundary of G at only one or no vertex. Another special case are tuples with  $s_i = t_i$  and d = 0: those describe single vertices of the boundary. In short, a graph satisfies a configuration if it contains internally non-intersecting paths of length and endvertices prescribed by the tuples of the configuration, and no three of the paths are prescribed to have the same endvertex (hence some configurations are not satisfiable at all, but this is a small technicality).

The signature  $\sigma[G]$  of a graph  $G \in \mathcal{G}_t$  is a function from the configurations into  $\{0,1\}$  where  $\sigma[G](C) = 1$  iff G satisfies C. We claim that the equivalence relation  $\simeq_{\sigma}$  defined via

$$G_1 \simeq_{\sigma} G_2 \iff \sigma[G_1] \equiv \sigma[G_2] \text{ for } G_1, G_2 \in \mathcal{G}_t$$

is a refinement of  $\equiv_{\Pi,t}$ . We provide only a sketch for  $\Pi$  = LONGEST PATH, the proofs for the other problems work analogous. To this end we assume the contrary, that  $\sigma[G_1] \equiv \sigma[G_2]$  while  $G_1 \not\equiv_{\Pi,t} G_2$ . Up to symmetry, this means that for all integers c there exists a graph  $G_3 \in \mathcal{G}_t$  such that  $(G_1 \oplus G_3, \ell) \in \Pi$ but  $(G_2 \oplus G_3, \ell + c) \notin \Pi$ . We choose c = 0 and show the contradiction. Thus the graph  $G_1 \oplus G_3$  contains a path P of length  $\ell$  but  $G_2 \oplus G_3$  does not.

Using the implicit order given through the vertex order of P we sort the subpaths of P contained in  $P \cap G_1$  and so obtain a sequence of paths  $P_1, \ldots, P_q \subseteq G_1$ , each with at most two vertices – the ends, in  $bd(G_1)$ . By identifying each subpath  $P_i$  with the tuple  $(s_i, d_i, t_i)$  where  $d_i = |P_i|$  and  $s_i$  is the label of the start of  $P_i$  in  $bd(G_1)$  (or 0 if  $s_i \notin bd(G_1)$ ) and  $t_i$  the label of the end of  $P_i$  in  $bd(G_1)$  (ditto), we obtain a configuration  $C_P = \{(s_1, d_1, t_1), \ldots, (s_q, d_q, t_q)\}$ . Now,  $G_1$  satisfies  $C_P$  by the definition. Since  $\sigma[G_1](C_P) = \sigma[G_2](C_P)$ , there exists a set of paths  $Q_1, \ldots, Q_q \subseteq G_2$  witnessing that  $G_2$  satisfies  $C_P$ . But then  $Q_1, \ldots, Q_q$  together with  $P \cap G_3$  form a path Q of length  $\ell$  in  $G_2 \oplus G_3$ , a contradiction.

Second, although  $\simeq_{\sigma}$  is generally of infinite index, we claim that for every t, only a finite number of equivalence classes of  $\simeq_{\sigma}$  carry a representative from  $\mathcal{G}_t(d)$  – the subclass of treedepth at most d. This is rather easy since graphs of treedepth  $\leq d$  do not contain paths of length  $2^d - 1$  or longer, and so a graph  $G \in \mathcal{G}_t(d)$  can satisfy a configuration  $C = \{(s_1, d_1, t_1), \ldots, (s_p, d_p, t_p)\}$  only if  $d_i \in \{0, 1, \ldots, 2^d - 2\}$  for  $1 \leq i \leq p$ . Recall, each boundary vertex label occurs at most twice among  $s_1, t_1, \ldots, s_p, t_p$  in a satisfiable configuration. Hence only finitely many such configurations C can be satisfied by a graph from  $\mathcal{G}_t(d)$ , and consequently, finitely many function values of  $\sigma[G]$  are nonzero for any  $G \in \mathcal{G}_t(d)$  and the number of the nonempty classes of  $\simeq_{\sigma}$  restricted to  $\mathcal{G}_t(d)$  is finite.  $\Box$ 

**Lemma 7.** Let  $\mathcal{G}$  be any graph class and  $\mathcal{G}(w)$  be those graphs of  $\mathcal{G}$  that have treewidth at most w. The problems TREEWIDTH and PATHWIDTH restricted to  $\mathcal{G}$  have FII in  $\mathcal{G}(w) \subseteq \mathcal{G}$  for any  $w \in \mathbf{N}$ .

*Proof.* Let  $\Pi$  = TREEWIDTH (the proof works analogously for PATHWIDTH) restricted to  $\mathcal{G}$ , and let w, t be constants. Consider the class  $\mathcal{G}_t$  of t-boundaried graphs over  $\mathcal{G}$ , and let  $U = \{1, 2, \ldots, t\}$ . We again, for simplicity, identify the boundary vertices in a graph from  $\mathcal{G}_t$  with their labels  $1, \ldots, t$  from U.

We mimic the proof of Lemma 6 with some changes. We define a *configuration* of  $\Pi$  wrt.  $\mathcal{G}_t$  as a set  $C = \{(\mathcal{X}_1, w_1), \ldots, (\mathcal{X}_p, w_p)\}$  of pairs such that  $\mathcal{X}_i \subseteq 2^U$  and  $w_i \in \mathbb{N}$  for  $i = 1, \ldots, p$ . We say a *t*-boundaried graph  $G \in \mathcal{G}_t$  satisfies the configuration C if there exists a collection of induced subgraphs  $H_1, \ldots, H_p$  of G such that

- $-V(H_i) \cap V(H_j) \subseteq bd(G)$  for  $1 \leq i < j \leq p$ , and  $H_1 \cup \ldots \cup H_p = G$ ,
- there exists a tree decomposition  $(T_i, W_i)$ , i = 1, 2, ..., p, of the graph  $H_i$  of width at most  $w_i$ ,
- each  $X \in \mathcal{X}_i$  is a bag in this decomposition, i.e.,  $X \in \mathcal{W}_i$ .

The signature  $\sigma[G]$  of a graph  $G \in \mathcal{G}_t$  is a function from the configurations into  $\{0,1\}$  where  $\sigma[G](C) = 1$  iff G satisfies C. We claim that the equivalence relation  $\simeq_{\sigma}$  defined via

$$G_1 \simeq_{\sigma} G_2 \iff \sigma[G_1] \equiv \sigma[G_2] \text{ for } G_1, G_2 \in \mathcal{G}_t$$

is a refinement of  $\equiv_{\Pi,t}$ . To this end we assume the contrary, that  $\sigma[G_1] \equiv \sigma[G_2]$ while  $G_1 \not\equiv_{\Pi,t} G_2$ . Up to symmetry, this means that for all integers c there exists a graph  $G_3 \in \mathcal{G}_t$  such that  $(G_1 \oplus G_3, k) \in \Pi$  but  $(G_2 \oplus G_3, k + c) \notin \Pi$ . We choose c = 0 and show the contradiction. Thus the graph  $G_1 \oplus G_3$  has a tree decomposition  $(T, \mathcal{W})$  of width k but  $G_2 \oplus G_3$  does not. We will set  $B = bd(G_1)$ and assume for simplicity that  $B = bd(G_2) = bd(G_3) = U$ , i.e.,  $B \subseteq G_1 \oplus G_3$  as well as  $B \subseteq G_2 \oplus G_3$ . As B is a vertex-separator of  $G_1 \oplus G_3$ , we can assume that no bag in  $\mathcal{W}$  contains both vertices from  $G_1 \setminus B$  and from  $G_3 \setminus B$ . We will further assume that each bag in  $\mathcal{W}$  appears exactly once in the tree decomposition, that every subset  $X \subseteq B$  which is contained in some bag also exists exclusively as a bag  $X \in \mathcal{W}$ , and that for no adjacent bags their union contains both vertices from  $G_1 \setminus B$  and from  $G_3 \setminus B$  (all three conditions can easily be enforced without increasing the width of the decomposition).

We color the nodes of T with colors white, black and red according to the following criterion: every  $x \in V(T)$  is assigned the color c(x), where c(x) is

- red if  $W_x \subseteq B$ , and otherwise
- white if  $W_x \subseteq V(G_1)$  and black if  $W_x \subseteq V(G_3)$ .

The above conditions on the structure of (T, W) now imply that c partitions the nodes V(T) into  $T_{white}, T_{black}, T_{red}$ , and that no white node is adjacent to a black node in T.

From this coloring we create a collection of subtrees  $T_1, \ldots, T_q$  – the connected components of  $T - T_{black}$ . Let  $H_i$ ,  $i = 1, \ldots, q$ , be the subgraph of  $G_1$  induced

by  $\bigcup_{x \in V(T_i)} W_x$ , and let  $(T_i, \mathcal{W}_i)$  denote the corresponding tree decomposition of  $H_i$ . We denote by  $w_i$  the width of  $(T_i, \mathcal{W}_i)$  and set  $\mathcal{X}_i = \{W_x \in \mathcal{W}_i : x \in V(T_{red})\}$ . Now, the subgraphs  $H_1, \ldots, H_q$  witness that the graph  $G_1$  satisfies the configuration  $C_T = \{(\mathcal{X}_1, w_1), \ldots, (\mathcal{X}_q, w_q)\}$  by definition.

Since  $\sigma[G_1](C_T) = \sigma[G_2](C_T)$ , there exists a collection of induced subgraphs  $H'_1, \ldots, H'_q$  of  $G_2$ , and their tree decompositions  $(T'_1, \mathcal{W}'_1), \ldots, (T'_q, \mathcal{W}'_q)$  witnessing that also  $G_2$  satisfies  $C_T$  (particularly with the same widths  $w_1, \ldots, w_q$ , respectively). Moreover, for each  $x \in V(T_{red})$ , the bag  $W'_x \in \mathcal{W}'_i$  (for the appropriate *i* such that  $W_x \in \mathcal{W}_i$  above) is the same as  $W'_x = W_x \in \mathcal{W}$  on the boundary *B*. We make *T'* as the union, by identification of nodes in  $V(T_{red})$ , of  $T - V(T_{white})$  with  $T'_1 \cup \ldots \cup T'_q$ , and set  $\mathcal{W}'$  to be the union of  $\mathcal{W}$  restricted to the nodes of  $T_{black} \cup T_{red}$  with  $\mathcal{W}'_1 \cup \ldots \cup \mathcal{W}'_q$ . But then  $(T', \mathcal{W}')$  is a tree decomposition of width k in  $G_2 \oplus G_3$ , a contradiction.

Second, although  $\simeq_{\sigma}$  is generally of infinite index, we claim that for every t, only a finite number of equivalence classes of  $\simeq_{\sigma}$  carry a representative from  $\mathcal{G}_t(w)$  – the subclass of treewidth at most w. For this we claim that a graph G of treewidth  $\leq w$  can satisfy a configuration  $C = \{(\mathcal{X}_1, w_1), \ldots, (\mathcal{X}_p, w_p)\}$  only if G satisfies also the configuration  $\{(\mathcal{X}_1, w'_1), \ldots, (\mathcal{X}_p, w'_p)\}$  where  $w'_i = \min(w_i, w+t)$  for  $1 \leq i \leq p$ . To see this, notice that one can take a tree decomposition of whole G restricted to witness subgraphs  $H_i$  (notation as above) and add suitable subsets of the boundary to (some) bags, to form the witness tree decomposition for  $(\mathcal{X}_i, w'_i)$ . Moreover,  $p \leq 2^{2^t}$  as every combination of subsets of the boundary can appear at most once. Therefore, finiteness of  $\simeq_{\sigma}$  restricted to  $\mathcal{G}_t(w)$  follows as at the end of Lemma 6.

#### 7.5 Extension to larger graph classes

**Lemma 8.** Let G = (X, Y, E) be a bipartite graph and denote by  $\mathcal{H}_X = (G \nabla 1)_{\leq |X|}$ . Then there are at most

- 1.  $2\nabla_0(\mathcal{H}_X) \cdot |X|$  vertices in Y with degree larger than  $\omega(\mathcal{H}_X)$ ,
- 2.  $(\Box_0(\mathcal{H}_X) + 2\nabla_0(\mathcal{H}_X)) \cdot |X|$  subsets  $X' \subseteq X$  such that X' = N(u) for some  $u \in Y$ .

*Proof.* We construct a sequence of graphs  $G_0, G_1, \ldots, G_\ell$  analogous to the proof of Lemma 3 Note that, by construction, we have that  $G_i[X] \in \mathcal{H}_X$  for  $1 \leq i \leq \ell$ . In particular, this implies that  $G_\ell[X]$  has at most  $2\nabla_0(\mathcal{H}_X) \cdot |X|$  edges.

Let us now prove the first claim. To this end, assume that there is a vertex  $v \in Y \cap V(G_{\ell})$  such that  $\deg(v) > \omega(\mathcal{H}_X)$ . We claim that  $G_{\ell}[N(v)]$  (where  $N(v) \subseteq X$ ) is a clique. If not, we could choose a pair of non-adjacent vertices in  $G_{\ell}[N(v)]$  and construct a  $(\ell+1)$ -th graph for the sequence which would contradict the fact that  $G_{\ell}$  is the last graph of the sequence. However, the set N(v) then induces a clique of size larger than  $\omega(\mathcal{H}_X)$ , a contradiction.

Hence we conclude that no vertex of  $Y \cap V(G_{\ell})$  has degree  $> \omega(\mathcal{H}_X)$  in  $G_{\ell}$ (and thus in G). Therefore the vertices of Y of degree  $> \omega(\mathcal{H}_X)$  in the graph G, if there were any, must have been deleted during the edge contractions that resulted in the graph  $G_{\ell}$ . As every contraction added at least one edge between vertices in X and since  $G_{\ell}[X]$  contains at most  $2\nabla_0(\mathcal{H}_X) \cdot |X|$  edges, the first claim follows.

For the second claim, consider the set  $Y' = Y \cap V(G_{\ell})$ . As observed above, the neighborhood of every vertex  $v \in Y'$  induces a clique in  $G_{\ell}[X]$ . The number such sets therefore can be upper bounded by the number of cliques in  $G_{\ell}[X]$ , which in turn can be bounded as follows:

$$\#\omega(G_{\ell}[X]) = \Box_0(G_{\ell}[X])|X| \leqslant \Box_0((G \lor 1)_{\leqslant |X|})|X| = \Box_0(\mathcal{H}_X)|X|$$

In total then the number of subsets of X that are neighborhoods of vertices in Y in G is at most  $(\Box_0(\mathcal{H}_X) + 2\nabla_0(\mathcal{H}_X))|X|$ , where we accounted for vertices of Y lost via contractions by the bound on the number of edges in  $G_\ell[X]$ .

The following two corollaries are analogs of Corollary 2 and 3 and will be used in a similar fashion.

**Corollary 4.** Let  $\mathcal{G}$  be a graph-class. Suppose that for  $G \in \mathcal{G}$  and  $S \subseteq V(G)$ ,  $C_1, \ldots, C_s$  are disjoint connected subgraphs of G - S satisfying the following two conditions: for  $1 \leq i \leq s$ ,  $diam(G[V(C_i)]) \leq \delta$  and  $|N_S(C_i)| > \omega(\mathcal{H}_S)$  where  $\mathcal{H}_S = (G \bigtriangledown (\delta + 1))_{\leq |S|}$ . Then  $s \leq 2 \nabla_0(\mathcal{H}_S) \cdot |S|$ .

*Proof.* We construct an auxiliary bipartite graph  $\tilde{G}$  with partite sets S and  $Y = \{C_1, \ldots, C_s\}$ . There is an edge between  $C_i$  and  $x \in S$  iff  $x \in N_S(C_i)$ . Note that  $\tilde{G}$  is a shallow minor at depth  $\delta$  of G by the assumption, and therefore  $(\tilde{G} \nabla 1)_{\leq |S|} \subseteq \mathcal{H}_S$ . By Lemma 8,

$$s \leq 2\nabla_0((\tilde{G} \triangledown 1)_{\leq |S|})|S| \leq 2\nabla_0(\mathcal{H}_S)|S|.$$

**Corollary 5.** Let  $\mathcal{G}$  be a graph-class. Suppose that for  $G \in \mathcal{G}$  and  $S \subseteq V(G)$ ,  $\mathcal{C}_1, \ldots, \mathcal{C}_t$  are sets of connected components of G-S such that for all  $C, C' \in \bigcup_i \mathcal{C}_i$ it holds that  $C, C' \in \mathcal{C}_j$  for some j if and only if  $N_S(C) = N_S(C')$ . Let  $\delta > 0$  be a bound on the diameter of the components, i.e. for all  $C \in \bigcup_i \mathcal{C}_i$ , diam $(G[V(C)]) \leq$  $\delta$ . Then there can be only at most  $t \leq (\Box_0(\mathcal{H}_S) + 2\nabla_0(\mathcal{H}_S)) \cdot |S|$  such sets  $\mathcal{C}_i$ where again  $\mathcal{H}_S = (G \nabla (\delta + 1))_{\leq |S|}$ .

*Proof.* As in the proof of Corollary 4, we construct a bipartite graph  $\tilde{G}$  with partite sets S and  $Y = \{C_1, \ldots, C_r\}$ , where the vertices  $C_j$  represent connected components in  $\bigcup_i C_i$  and  $C_j$  has an edge to  $x \in S$  iff  $x \in N_S(C_j)$ . As before,  $\tilde{G}$  is a shallow minor at depth  $\delta$  of G and therefore  $(\tilde{G} \nabla 1)_{\leq |S|} \subseteq \mathcal{H}_S$ . By Lemma 8,

$$t \leq |\{S' \subseteq S \mid \exists C_i \in Y : N(C_i) = S'\}|$$
  
$$\leq (\Box_0((\tilde{G} \lor 1)_{\leq |S|}) + 2\nabla_0((\tilde{G} \lor 1)_{\leq |S|})) \cdot |S|$$
  
$$\leq (\Box_0(\mathcal{H}_S) + 2\nabla_0(\mathcal{H}_S)) \cdot |S|.$$

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Note that, using the notation of Lemma 8, we have the trivial bounds  $2\nabla_0(\mathcal{H}_X) \leq |X|$  and  $\Box_0(\mathcal{H}_X) \leq |X|^{\omega(\mathcal{H}_X)-1}$ . For graphs of locally bounded expansion this second bound can be improved as follows.

**Lemma 10.** Let  $\mathcal{G}$  be a graph class with local expansion bounded by  $f: \mathbf{N} \times \mathbf{N} \to \mathbf{R}$ . Then for any graph  $G \in \mathcal{G}$ , any constant c and any integer  $0 \leq \ell \leq |V(G)|$ ,  $\Box_0((G \nabla c)_{\leq \ell}) \leq 4^{f(1+c,0)}\ell$ .

*Proof.* Consider any  $H \in (G \nabla c)_{\leq \ell}$ . Note that  $H \in (G \nabla c)_{\leq \ell} \subseteq G \nabla c$ , and thus H has local expansion bounded by f'(d, r) = f(d + c, r).

We upper-bound the cliques in H iteratively as follows: pick a vertex v, count all cliques that contain v and add those to the number of cliques in H - v. Now, all cliques that contain a fixed vertex v must be contained in N[v]. As G[N[v]] is a radius-one subgraph of H, it has bounded expansion with expansion function f'(1,r) = f(1+c,r) and thus is 2f(1+c,0)-degenerate. We can now apply the result of [23], stating that every d-degenerate graph G with  $n \ge d$  vertices has at most  $2^d(n-d+1)$  cliques. Doing so we see that G[N[v]] contains at most  $2^{2f(1+c,0)}|N[v]| \le 4^{f(1+c,0)}|H| \le 4^{f(1+c,0)}\ell$  cliques. Iterating this counting over all vertices of H then yields a generous bound of  $4^{f(1+c,0)}\ell^2$  and therefore we obtain the desired bound for the clique density through division by  $\ell$ .  $\Box$ 

The following generalization of Lemma 4 follows easily using the above two corollaries.

**Lemma 11.** Let  $\mathcal{G}$  be a graph class,  $G \in \mathcal{G}$  and  $S \subseteq V(G)$  be a set of vertices such that  $\mathbf{td}(G-S) \leq d$  (d a constant). Let  $\mathcal{H}_S = (G \nabla 2^d)_{\leq |S|}$ . If  $\omega(\mathcal{H}_S)$  is a constant, then there is an algorithm that runs in time linear in |G| and partitions V(G) into sets  $Y_0 \uplus Y_1 \uplus \cdots \uplus Y_\ell$  such that the following hold:

- 1.  $S \subseteq Y_0$  and  $|Y_0| \leq 2\nabla_0(\mathcal{H}_S) \cdot |S|$ ;
- 2. for  $1 \leq i \leq \ell$ ,  $Y_i$  induces a set of connected components of  $G Y_0$  that have the same neighborhood in  $Y_0$  of size at most  $\omega(\mathcal{H}_S)$ ;
- 3.  $\ell \leq \left( \Box_0(\mathcal{H}_S) + 2\nabla_0(\mathcal{H}_S) \right) \cdot |S|.$

*Proof.* We proceed exactly as in the proof of Lemma 4 using  $t := \omega(\mathcal{H}_S)$  and the bounds from Corollary 4 and 5

We are now ready to prove the two theorems.

Proof (Proof of Theorem 2). Analogously to the proof of Theorem 1 we use Lemma 11 to obtain a protrusion-decomposition  $Y_0 \uplus Y_1 \uplus \cdots \uplus Y_\ell$  in place of Lemma 4. Let G be a graph from a class of locally bounded expansion and let d be an integer and  $S \subset V(G)$  be a treedepth-d modulator of G. It is left to show that for  $\mathcal{H}_S = (G \nabla 2^d)_{\leq |S|}$  the bounds of Lemma 11 are indeed quadratic in |S|. Clearly,  $\nabla_0(G) \leq |G|$ , thus  $\nabla_0(\mathcal{H}_S) \leq |S|$  and therefore  $|Y_0| = \mathcal{O}(|S|^2)$ . The bound  $\Box_0(\mathcal{H}_S) = \mathcal{O}(|S|)$  was proved in Lemma 10 and therefore  $\ell \leq (\Box_0(\mathcal{H}_S) + 2\nabla_0(\mathcal{H}_S))|S| = \mathcal{O}(|S|^2)$  and the claim follows.  $\Box$  Proof (Proof of Theorem 3). Analogously to the proof of Theorem 1 we use Lemma 11 to obtain a protrusion-decomposition  $Y_0 \uplus Y_1 \uplus \cdots \uplus Y_\ell$  in place of Lemma 4. Let G be a graph from a nowhere-dense graph class and let d be an integer and  $S \subset V(G)$  a treedepth-d modulator of G. It is left to show that for  $\mathcal{H}_S = (G \nabla 2^d)_{\leq |S|}$  the bounds of Lemma 11 are indeed polynomial in |S|. Clearly,  $\nabla_0(G) \leq |G|$ , thus  $\nabla_0(\mathcal{H}_S) \leq |S|$  and therefore  $|Y_0| = \mathcal{O}(|S|^2)$ .

For  $\Box_0(\mathcal{H}_S)$  we use the trivial bound of  $\Box_0(\mathcal{H}_S) \leq |S|^{\omega(\mathcal{H}_S)-1}$ , so it is left to show that  $\omega(\mathcal{H}_S)$  is a constant. As  $\mathcal{H}_S \subseteq G \lor 2^d$  and per definition of nowheredense graph classes,  $\omega(G \lor r) < \infty$  for every constant r, the claim follows.  $\Box$ 

#### 7.6 Polynomial Kernel for Longest Path

It is well-known that LONGEST PATH can be solved in linear time if the treewidth of the input graph is bounded by some constant [3]. Because of the relationship between treewidth and treedepth (see Section 2) this result carries over to treedepth.

**Proposition 3.** LONGEST PATH can be solved in linear time if the treedepth of the input graph is bounded by some constant.

**Lemma 9.** For fixed  $d \in \mathbf{N}$ ,  $d \ge 1$ , let  $S \subseteq V(G)$  be a treedepth-d modulator of a graph G and let k = |S|. Then there is an induced subgraph G' of G and a set  $S' \subseteq V(G')$  such that: (1) G and G' are equivalent instances of LONGEST PATH (for the same path length), (2) G' and S' can be computed from G and S in time  $\mathcal{O}(k^2 \cdot |V(G)|)$ , and (3) S' is a treedepth-(d-1) modulator of G' of size  $|S'| \le (k+1)^3$ .

Proof. Let  $\mathcal{U}$  be the family of vertex sets of all connected components of G - S. Since for each  $U \in \mathcal{U}$  the graph G[U] has treedepth at most d, there exists  $r_U \in U$ (the root of some treedepth d decomposition) such that  $G[U - \{r_U\}]$  has treedepth d-1. Therefore if we can find in time  $\mathcal{O}(k^2 \cdot |V(G)|)$  a subfamily  $\mathcal{U}' \subseteq \mathcal{U}$  of size at most  $(k+1)^3 - k$  such that  $G' = G[S \cup \bigcup_{U \in \mathcal{U}'} U]$  is an equivalent instance of LONGEST PATH, the claim of the lemma follows. To see this, notice that we can use vertices  $r_U$ , one for each  $U \in \mathcal{U}'$ , together with vertices from S to form treedepth-(d-1) modulator S' of G'. The modulator S' will therefore consist of k vertices from S and at most  $(k+1)^3 - k$  new vertices, one from each component of  $\mathcal{U}'$ , and so  $|S'| \leq (k+1)^3$ , as claimed.

In the rest of the proof, we show that we can find the family  $\mathcal{U}'$  :with the aforementioned properties in desired time.

Assume  $|\mathcal{U}| > k + 1$ . For all  $U \in \mathcal{U}$  and  $x, y \in S$  with  $x \neq y$  we denote

- i. by LP(U) a longest path in the graph G[U] (we choose any one if not unique), and by  $U_0 \in \mathcal{U}$  a representative achieving maximum value  $|\text{LP}(U_0)|$  over  $\mathcal{U}$ ;
- ii. by LP(x, U) a longest path starting from x in the graph  $G[\{x\} \cup U]$ , and by  $\mathcal{U}_x \subseteq \mathcal{U}$  a subfamily of  $|\mathcal{U}_x| = k+1$  ("top k+1 representatives" by |LP(x, U)|) such that for any  $U_1 \in \mathcal{U}_x$ ,  $U_2 \in \mathcal{U} \setminus \mathcal{U}_x$  it is  $|LP(x, U_1)| \ge |LP(x, U_2)|$ ;

iii. by  $\operatorname{LP}(x, y, U)$  a longest path between x and y in the graph  $G[\{x, y\} \cup U]$ , or  $\operatorname{LP}(x, y, U) = \emptyset$  if no such path exists, and analogously by  $\mathcal{U}_{x,y} \subseteq \mathcal{U}$  a subfamily of  $|\mathcal{U}_{x,y}| = k+1$  ("top k+1 representatives" by  $|\operatorname{LP}(x, y, U)|$ ) such that for any  $U_1 \in \mathcal{U}_{x,y}, U_2 \in \mathcal{U} \setminus \mathcal{U}_{x,y}$  it is  $|\operatorname{LP}(x, y, U_1)| \ge |\operatorname{LP}(x, y, U_2)|$ .

Because  $\mathbf{td}(G[U]) \leq \mathbf{td}(G[\{x\} \cup U]) \leq \mathbf{td}(G[\{x, y\} \cup U]) \leq d+2$  (a constant), it follows from Proposition 3 that  $\mathrm{LP}(U)$ ,  $\mathrm{LP}(x, U)$ ,  $\mathrm{LP}(x, y, U)$  can each be computed in linear time, and hence the whole computation of  $U_0, \mathcal{U}_x, \mathcal{U}_{x,y}$  can be done in  $\mathcal{O}(k^2 \cdot |V(G)|)$  time.

We claim that the family  $\mathcal{U}' = \{U_0\} \cup \bigcup_{x \in S} \mathcal{U}_x \cup \bigcup_{x,y \in S, x \neq y} \mathcal{U}_{x,y}$  together with S induces graph G' which satisfies the conclusion of the lemma. Clearly,  $|\mathcal{U}'| \leq {k \choose 2}(k+1) + k(k+1) + 1 = \frac{1}{2}k(k+1)^2 + 1 \leq (k+1)^3$ . It remains to show that if G has a path of length at least  $\ell$  then so does  $G' = G[S \cup \bigcup_{U \in \mathcal{U}'} U]$ .

Let P be a path of length at least  $\ell$  in G and let  $q = |V(P) \cap S| \leq k$ . Then S "cuts" P into q + 1 sections, i.e., we can write  $P = P_0 \cup P_1 \cup \ldots \cup P_q$  where  $P_i, i = 0, \ldots, q$  are mutually edge-disjoint paths disjoint from S except possibly at their ends. Suppose that  $P \not\subseteq G'$ . There are three cases to consider for the subpaths  $P_i$ :

- I. q = 0 and  $P = P_0$ . Then the length of P is at most  $|LP(U_0)|$  by the definition, and hence we can choose  $P' := LP(U_0) \subseteq G'$  straight away.
- II.  $q \ge 1$  and  $P_0 \not\subseteq G'$  or  $P_q \not\subseteq G'$ . Consider, without loss of generality, the latter case  $P_q \not\subseteq G'$  and let  $\{x\} = V(P_q) \cap S$ . Then the length of  $P_q$  is at most |LP(x,U)| for any  $U \in \mathcal{U}_x$  by the definition. Notice that each of the  $q \le k$  paths  $P_i$ ,  $i = 0, \ldots, q 1$ , can intersect only at most one component from  $\mathcal{U}$  by connectivity (and  $P_q$  is disjoint from all of  $\mathcal{U}_x$ ). Hence, at least  $k + 1 q \ge 1$  component(s) in  $\mathcal{U}_x$ , say  $U_1$ , is disjoint from whole P. Then in P we replace  $P_q$  with  $\text{LP}(x, U_1)$ .
- III.  $q \ge 1$  and  $P_i \not\subseteq G'$  where 0 < i < q. Let  $\{x, y\} = V(P_i) \cap S$ . Then the length of  $P_i$  is at most |LP(x, y, U)| for any  $U \in \mathcal{U}_{x,y}$  by the definition. For the same reason as above there exists a component  $U_2 \in \mathcal{U}_{x,y}$  not intersected by P, and we then in P replace  $P_i$  with  $\text{LP}(x, y, U_2)$ .

Repeating II, III for all sections of P, the resulting path  $P' \subseteq G'$  has length at least  $|P| \ge \ell$ , and this concludes the proof of the lemma.