Part I

Protocols to do seemingly impossible

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The point is that if $d = d_k d_{k-1} \dots d_1$, then at the computation of c^d , in the *i*-th iteration, a multiplication is performed only if $d_i = 1$ (and that requires time and energy).

First exam will be on December 19 at 8.00 in B410

Remaining exams will be

3.1, at 8.00 in B410

8.1, at 8.00 in B411

15.1, at 8.00 in B410

 $\begin{array}{c} 22.1 \text{ at } 8.00 \text{ in } \text{B411} \\ 23.1 \text{ at } 8.00 \text{ in } \text{B411} \end{array}$

CHAPTER 10: PROTOCOLS DOING SEEMINGLY IMPOSSIBLE

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PICTORIAL SCHEMES for PRIMITIVES of CRYPTOGRAPHIC PROTOCOLS





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Alice computes four square roots $(x_1, n - x_1)$ and $(x_2, n - x_2)$ of x and

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Bob tells Alice whether her guess was correct.

(Later, if necessary, Alice reveals p and q, and Bob reveals x.)
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Problem: In some coin tossing protocols one party can find out the outcome sooner than the second party. In such a case if she is not happy with the outcome she can disrupt the protocol – to produce reject or to say "I do not continue in performing the protocol". A way out is to require that in case of correct behavior no outcome should have probability $> \frac{1}{2}$.

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The protocol is computationally secure. Indeed, to cheat, Alice should be able to find, for randomly chosen r_1 , r_2 , such one-way function f that $f(r_1) = f(r_2)$.

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Opening phase: If required, the sender sends to the receiver additional information that enables the receiver to get **b**.

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Binding: Alice can "open" her commitment b, by revealing (opening) x and b such that B = f(b, x), but she should not be able to open a commitment (blow) B as both 0 and 1.

Each bit commitment scheme should have three properties:

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Binding: Alice can "open" her commitment b, by revealing (opening) x and b such that B = f(b, x), but she should not be able to open a commitment (blow) B as both 0 and 1.

Correctness: If both, the sender and the receiver, follow the protocol, then the receiver will always learn (recover) the committed value b.

Commitment phase:

- Alice and Bob choose a one-way function f
- Bob sends a randomly chosen r_1 to Alice
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Opening phase:

- Alice sends to Bob r_2 and b
- Bob computes $f(r_1, r_2, b)$ and compares with the value he has already received.

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- For this application the hash function h has to be one-way: from h(wr) it should be unfeasible to determine wr.

Bit commitment scheme I.

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Bit commitment scheme II. Let p be a large Blum prime, $X = Z_p^* = Y$, α be a primitive element of Z_p^* .

 $f(b,x) = \alpha^{x} \mod p, \text{ if SLB}(x) = b;$ = $\alpha^{p-x} \mod p, \text{ if SLB}(x) \neq b.$

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Binding property of this bit commitment scheme follows from the fact that in the case of discrete logarithms modulo Blum primes there is no effective way to determine second least significant bit (SLB) of the discrete logarithm.

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Observe that if at least one of the parties follows the protocol, that is it tosses a random coin, the outcome is indeed a random bit.
Each bit commitment scheme can be used to solve coin tossing problem as follows:

- Alice tosses a coin, and commits itself to its outcome b_A (say to 0 (1) if the outcome is head (tail)) and sends the commitment to Bob.
- **2** Bob also tosses a coin and sends the outcome b_B to Alice.
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Observe that if at least one of the parties follows the protocol, that is it tosses a random coin, the outcome is indeed a random bit.

Note: Observe that after step 2 Alice will know what the outcome is, but Bob does not. So Alice can disrupt the protocol if the outcome is to be not good for her. This is a weak point of this protocol.

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In case, the binding or the hiding property does not depend on the complexity of a computational problem, we speak about unconditional hiding or unconditional binding.

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Opening phase:

Alice sends r and m to Bob who then verifies whether $c = g' v^m$.

Let $com(r, m) = g^r v^m$ denote commitment to m in the commitment scheme based on discrete logarithm. If $r_1, r_2, m_1, m_2 \in Z_n$, then $com(r_1, m_1) \times com(r_2, m_2) = com(r_1 + r_2, m_1 + m_2)$.

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$$d_{\mathcal{T}}\left(\prod_{i=1}^{n} e_{\mathcal{T}}(g^{r_i})\right) = \prod_{i=1}^{n} g^{r_i} = g^r,$$

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Now, anybody can compute the result s of voting from publicly known c_i and g^r since

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s can now be derived from v^s by computing v^1, v^2, v^3, \ldots and comparing with v^s if the number of voters is not too large.

Story: Alice knows a secret and wants to send secret to Bob in such a way that he gets secret with probability $\frac{1}{2}$, and he knows whether he got secret, but Alice has no idea whether he received secret.

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Oblivious transfer problem: Design a protocol for sending a message from Alice to Bob in such a way that Bob receives the message with probability $\frac{1}{2}$ **and "garbage" with the probability** $\frac{1}{2}$. Moreover, Bob knows whether he got the message or garbage, but Alice has no idea which one he got.

An Oblivious transfer protocol:

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- Bob checks whether the number he got is congruent to x. If yes, he has received no new information. Otherwise, Bob has two different square roots modulo n and can factor n. Alice has no way of knowing whether this is the case.

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Alice has a secret ${\bf i}$ and Bob has a secret ${\bf j}$ and they both know some function ${\bf f}.$

At the end of protocol the following conditions should hold:

- Bob knows the value f(i,j), but he does not learn anything about i.
- Alice learns nothing about j and nothing about f(i,j).

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Note: The 1-out-of-2 oblivious transfer problem is the instance of the oblivious circuit evaluation problem for $i = (b_0, b_1), f(i, j) = b_j$.

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Alice BOB $X_0 \longrightarrow i$ $X_1 \longrightarrow i$
1-out-of-two oblivious transfer can be imagined as a box with three inputs and one output.

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AN IMPLEMENTATION of OBLIVIOUS TRANSFER PROTOCOLS

Alice generates two key pairs for a PKC P and sends both her public keys p₁, p₂ to Bob.

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- Alice uses her two secret keys to decrypt the message she received. One of the outcomes is garbage g, another one is k, but she does not know which one is k.

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- Alice encrypts her two secret messages, one with k, another with g and sends them to Bob.

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- Alice uses her two secret keys to decrypt the message she received. One of the outcomes is garbage g, another one is k, but she does not know which one is k.
- Alice encrypts her two secret messages, one with k, another with g and sends them to Bob.
- Bob uses S with k to decrypt both messages he got and one of the attempts is successful. Alice has no idea which one.

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- Alice sends r and b to Bob.
- Bob checks to see if $x_c = r \oplus (bc)$

MENTAL POKER PLAYING by PHONE by Alice and Bob

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Bob encrypts cards with e_B , and tells $e_B(w_1), \ldots, e_B(w_{52})$, in a randomly chosen order, to Alice.

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- Bob encrypts cards with e_B , and tells $e_B(w_1), \ldots, e_B(w_{52})$, in a randomly chosen order, to Alice.
- Alice chooses five of the items $e_B(w_i)$ as Bob's hand and tells them Bob.

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- Alice chooses five of the items $e_B(w_i)$ as Bob's hand and tells them Bob.
- I Alice chooses another five of $e_B(w_i)$, encrypts them with e_A and sends them to Bob.

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Players agree on some numbers w_1, \ldots, w_{52} as the names of 52 cards.

- Bob encrypts cards with e_B , and tells $e_B(w_1), \ldots, e_B(w_{52})$, in a randomly chosen order, to Alice.
- Alice chooses five of the items $e_B(w_i)$ as Bob's hand and tells them Bob.
- I Alice chooses another five of $e_B(w_i)$, encrypts them with e_A and sends them to Bob.
- Bob applies d_B to all five values $e_A(e_B(w_i))$ he got from Alice and sends $e_A(w_i)$ to Alice as Alice's hand. At this point both players have their hands and poker can start.

- Initial hands (sets of 5 cards) of both players are equally likely.
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- Solution Carol, who cannot decode any of the encryptions, chooses five of them randomly, encrypts them also with her key and sends Alice $e_C(e_A(w_i))$.

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- Bob and Carol decrypt encryptions they got to learn their hands.
- **5** Carol chooses randomly 5 other messages $e_A(w_i)$ from the remaining 42 and sends them to Alice.

- Alice encrypts 52 cards w_1, \ldots, w_{52} with e_A and sends encryptions, in a random order, to Bob.
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- **1** Carol chooses randomly 5 other messages $e_A(w_i)$ from the remaining 42 and sends them to Alice.
- Alice decrypt messages to learn her hand.

Additional cards can be dealt with in a similar manner. If either Bob or Carol wants a card, they take an encrypted message $e_A(w_i)$ and go through the protocol with Alice. If Alice wants a card, whoever currently has the deck sends her a card.

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ZERO-KNOWLEDGE PROOFS and CRYPTOGRAPHY

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Their applicability in cryptography is vast. For example, they are used to force malicious parties to behave honestly, according to a predetermined protocol, while maintaining privacy i.e. the protocol may require communicating parties to provide zero-knowledge proofs of the correctness of their secret-based actions (privacy-protection), without revealing these secrets.

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- By Manin: Proof is whatever convinces me.
- Zero-knowledge proofs and probabilistic proofs represent a new type of proofs proofs that provide convincing evidence – so much convincing as needed.

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By a theorem we understand in the following a claim that a specific object has a specific property. For example, that a specific graph is 3-colorable.

(A cave with a magic door opening on a secret word)

Alice knows a secret word opening the door in cave. How can she convince Bob about it without revealing this secret word?



Bob
Alice

HISTORY of NOTHING

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- They were sometimes referred to explicitly in print as "forbidden" or "evil".
- Symbol that stood for nothing was considered as an evil sign and works of Satan.
- It was not until the sixteenth century that zero began to play a useful role in commerce.

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- Speculations went on at that time that even God could not create vacuum. This idea was shot down in 1277 by Bishop Etienne Tempier who claimed that should not be no restrictions on the power of God.
- Empirically the topic of vacuum was studied only in 17th century.

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- String theory is believed to have huge number of vacua the so-called string theory landscape of it.

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Notice that the notion of zero-knowledge applies only if the statement being proven is the fact that the Prover has a certain knowledge - a secret information. Otherwise, the statement would not be proven in zero-knowledge way, since at the end of the protocol the verifier would gain an additional information - namely the information that the prover has knowledge of the required secret information.

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This is a particular case known as zero-knowledge proof of knowledge.

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Communication starts usually by a challenge of Verifier and a response of Prover. At the end, Verifier either accepts or rejects Prover's attempts to convince Verifier.

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Intuitively, one may think about interactions between verifier and prover as consisting of "tricky" questions asked by the verifier to which the prover has to reply "convincingly".

GRAPH ISOMORPHISM - EXAMPLE

Are the following two graphs isomorphic?



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Here is isomorphism of the graphs from the previous slide.







Problem 2. Show the following two graphs are not isomorphic:



EXAMPLE – GRAPH NON-ISOMORPHISM

A simple interactive proof protocol exists for a computationally very hard graph non-isomorphism problem.

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Completeness: If G_1 is not isomorphic to G_2 , then probability that Vic accepts is 1 because Peggy will have no problem to answer correctly.

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- **Peggy** determines the value j such that G_J is isomorphic to H, and sends j to Vic.
- Solution Vic checks to see if i = j.

Vic accepts Peggy's proof if i = j in each of n rounds.

Completeness: If G_1 is not isomorphic to G_2 , then probability that Vic accepts is 1 because Peggy will have no problem to answer correctly.

Soundness: If G_1 is isomorphic to G_2 , then Peggy can deceive Vic if and only if she correctly guesses n times those i's Vic chooses randomly.

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Observe that Vic's computations can be performed in polynomial time (with respect to the size of graphs).

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Different variants of zero-knowledge proof systems concern the strength of this distinguishability. In particular, perfect or statistical zero-knowledge refer to the situation where the simulator's output and the Verifier's output are indistinguishable in an information theoretic sense.

Computational zero-knowledge refer to the case there is no polynomial time distinguishability.

Very informally An interactive "proof protocol" at which a Prover tries to convince a Verifier about the truth of a statement, or about possession of a knowledge, is called "zero-knowledge" protocol if the Verifier does not learn from communication anything more except that the statement is true or that Prover has knowledge (secret) she claims to have. Very informally An interactive "proof protocol" at which a Prover tries to convince a Verifier about the truth of a statement, or about possession of a knowledge, is called "zero-knowledge" protocol if the Verifier does not learn from communication anything more except that the statement is true or that Prover has knowledge (secret) she claims to have.

Example The proof $n = 670592745 = 12345 \times 54321$ is not a zero-knowledge proof that n is not a prime.

Informally, a zero-knowledge proof is an interactive proof protocol that provides highly convincing evidence that a statement is true or that Prover has certain knowledge (of a secret) and that Prover knows a (standard) proof of it while providing not a single bit of information about the proof (knowledge or secret).

More formally A zero-knowledge proof of a theorem T is an interactive two party protocol, in which Prover is able to convince Verifier who follows the same protocol, by the overwhelming statistical evidence, that T is true, if T is indeed true, but no Prover is able to convince Verifier that T is true, if this is not so.

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In addition, during interactions, Prover does not reveal to Verifier any other information, except whether T is true or not. Consequently, whatever Verifier can do after he gets convinced, he can do just believing that T is true.

Similar arguments hold for the case Prover possesses a secret.

In the following definition both prover (P) and verifier (V) as well as a simulator (S) will be Turing machines.

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is undistinguishable from what can be obtained from the transcript of the communication between P and V for the input x.

Alice and Bob want to find out who of them is older without disclosing any other information about their age.

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= k - j.

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- Bob chooses a random $x \in \{1, ..., 100\}$, computes $k = e_A(x)$ and sends to Alice s = k j.
- Alice first computes the numbers $y_u = d_A(s + u)$; $1 \le u \le 100$, then chooses a large random prime p and computes numbers

 $z_u = y_u \mod p, \quad 1 \le u \le 100 \quad (*)$ and verifies that for all $u \ne v$

 $|z_u - z_v| \ge 2 \text{ and } z_u \neq 0 \tag{(**)}$

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Finally, Alice sends Bob the following sequence (order is important).

 $z_1, \dots, z_i, z_{i+1} + 1, \dots, z_{100} + 1, p$ as $z'_1, \dots, z'_i, z'_{i+1}, \dots, z'_{100}, p$

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Bob checks whether j-th number in the above sequence is congruent to x modulo p. If yes, Bob knows that $i \ge j$, otherwise i < j.

$$i \ge j \Rightarrow z'_j = z_j \equiv y_j = d_A(k) \equiv x \pmod{p}$$

$$i < j \Rightarrow z'_j = z_j + 1 \neq y_j = d_A(k) \equiv x \pmod{p}$$

MILLIONAIRE

- The previous problem is ofter referred to as Millionaire problem that want to know who of them is richer without disclosing any additional information about their wealth.
- The problem is also often seen as an example of two-party (multi-party) secure computation at which both parties want to know some outcomes that depends on their inputs, but they do not want to disclose any information about their inputs.

3-COLORABILITY of GRAPHS



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Are the nodes of the following graph colorable by three colors in such a way that no edge connects nodes of the same color?



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Yes, they are:


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(а)
`		·

1 red	e_1	$e_1(red) = y_1$
2 green	e_2	$e_2(green) = y_2$
3 blue	e_3	$e_3(blue) = y_3$
4 red	e_4	$e_4(red) = y_4$
5 blue	e_5	$e_5(blue) = y_5$
6 green	e_6	$e_6(green) = y_6$
		(b)

With the following protocol Peggy can convince Vic that a particular graph G, known to both of them, is 3-colorable and that Peggy knows such a coloring, without revealing to Vic any information how such coloring looks.



Protocol: Peggy colors the graph G = (V, E) with colors (red, blue, green) and she performs with Vic $|E|^2$ - times the following interactions, where v_1, \ldots, v_n are nodes of V.

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y_1	1 red	e_1	$e_1(red) = y_1$
91	2 green	e_2	$e_2(green) = y_2$
y_2 y_3	3 blue	e_3	$e_3(blue) = y_3$
	4 red	e_4	$e_4(red) = y_4$
34	5 blue	e 5	$e_5(blue) = y_5$
y_5 y_6	6 green	<i>e</i> ₆	$e_6(green) = y_6$
(a)			(b)

Protocol: Peggy colors the graph G = (V, E) with colors (red, blue, green) and she performs with Vic $|E|^2$ - times the following interactions, where v_1, \ldots, v_n are nodes of V. Peggy chooses a random permutation of colors, recolors G, and encrypts, for $i = 1, 2, \ldots, n$, the color c_i of node v_i by an encryption procedure e_i – for each i different.

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$ y_1 $	2 green	e_2	$e_2(green) = y_2$
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- Vic chooses an edge and asks Peggy to show him coloring of the corresponding nodes.
- B Peggy shows Vic entries of the table corresponding to the nodes of the chosen edge.
- I Vic performs desired encryptions to verify that nodes really have colors as shown.

(b)

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Repeat t|E| times the following steps in order soundness error be smaller than e^{-t} .

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Peggy selects a random permutation π on $\{1, 2, 3\}$ and commits herself to Vic for all values $\pi(\phi(i))$.

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- Vic checks whether colors are different and match the commitment received in the first step.

Zero-knowledge proofs for other $\ensuremath{\mathsf{NP}}\xspace$ -complete problems can be obtained using the standard reduction.

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The wide applicability of zero-knowledge proofs was first demonstrated in 1986 by Goldreich, Micali, Wigderson, who showed how to construct zero-knowledge proofs for any **NP**-set.

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- Solution Peggy creates a permutation ρ of $\{1, \ldots, n\}$ such that ρ specifies isomorphism between H and G_j and Peggy sends ρ to Vic. $\{\text{If } i = 1 \text{ Peggy takes } \rho = \pi; \text{ if } i = 2 \text{ Peggy takes } \rho = \sigma \sigma \pi, \text{ where } \sigma \text{ is a fixed } f(r) = 0$

isomorphic mapping of nodes of G_2 to G_1 .

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- Vic checks whether H provides the isomorphism between G_i and H. Vic accepts Peggy's "proof" if H is the image of G_i in each of the n rounds.

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- Peggy creates a permutation ρ of $\{1, \ldots, n\}$ such that ρ specifies isomorphism between H and G_j and Peggy sends ρ to Vic. $\{\text{If } i = 1 \text{ Peggy takes } \rho = \pi; \text{ if } i = 2 \text{ Peggy takes } \rho = \sigma \sigma \pi, \text{ where } \sigma \text{ is a fixed} \text{ isomorphic mapping of nodes of } G_2 \text{ to } G_1.\}$
- Vic checks whether H provides the isomorphism between G_i and H. Vic accepts Peggy's "proof" if H is the image of G_i in each of the n rounds.

Completeness. It is obvious that if G_1 and G_2 are isomorphic then Vic accepts with probability 1.

Input: Given are two graphs G_1 and G_2 with the set of nodes $\{1, \ldots, n\}$. Repeat the following steps n times:

- **I** Peggy chooses a random permutation π of $\{1, \ldots, n\}$, $i \in \{0, 1\}$, and computes H to be the image of G_i under the permutation π , and sends H to Vic.
- Vic chooses randomly $j \in \{1, 2\}$ and sends it to Peggy. {This way Vic asks for isomorphism between H and G_j .}
- Peggy creates a permutation ρ of $\{1, \ldots, n\}$ such that ρ specifies isomorphism between H and G_j and Peggy sends ρ to Vic. $\{\text{If } i = 1 \text{ Peggy takes } \rho = \pi; \text{ if } i = 2 \text{ Peggy takes } \rho = \sigma o \pi, \text{ where } \sigma \text{ is a fixed} \text{ isomorphic mapping of nodes of } G_2 \text{ to } G_1.\}$
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Soundness: If graphs G_1 and G_2 are not isomorphic, then Peggy can deceive Vic only if she is able to guess in each round the j Vic chooses and then sends as H the graph G_j . However, the probability that this happens is 2^{-n} .

Observe that Vic can perform all computations in polynomial time. However, why is this proof a zero-knowledge proof?

Because Vic gets convinced, by the overwhelming statistical evidence, that graphs G_1 and G_2 are isomorphic, but he does not get any information ("knowledge") that would help him to create isomorphism between G_1 and G_2 .

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Transcript has therefore the form

$$T = ((G_1, G_2); (H_1, i_1, r_1), \dots, (H_n, i_n, r_n)).$$

The essential point, which is the basis for the formal definition of zero-knowledge proof, is that Vic can forge transcript, without participating in the interactive proof, that look like "real transcripts", if graphs are isomorphic, by means of the following forging algorithm called simulator.

A simulator for the previous graph isomorphism protocol.

T = (G_1, G_2) , for j = 1 to n do A simulator for the previous graph isomorphism protocol.

- $T = (G_1, G_2),$
- for j = 1 to n do
 - Chose randomly $i_j \in \{1, 2\}$.
 - Chose ρ_j to be a random permutation of $\{1, \ldots, n\}$.
 - Compute H_j to be the image of G_{i_i} under ρ_j ;
 - Concatenate (H_j, i_j, ρ_j) at the end of T.

CONSEQUENCES and FORMAL DEFINITION

The fact that a simulator can forge transcripts has several important consequences.

- Anything Vic can compute using the information obtained from the transcript can be computed using only a forged transcript and therefore participation in such a communication does not increase Vic capability to perform any computation.
- Participation in such a proof does not allow Vic to prove isomorphism of G_1 and G_2 .
- Vic cannot convince someone else that G_1 and G_2 are isomorphic by showing the transcript because it is indistinguishable from a forged one.

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Formal definition of what this means that a forged transcript "looks like" a real one: Definition Suppose that we have an interactive proof system for a decision problem Π and a polynomial time simulator S.

Denote by $\Gamma(x)$ the set of all possible transcripts that could be produced during the interactive proof communication for a yes-instance x.

Denote F(x) the set of all possible forged transcripts produced by the simulator S. For any transcript $T \in \Gamma(x)$, let $p_{\Gamma}(T)$ denote the probability that T is the transcript produced during the interactive proof. Similarly, for $T \in F(x)$, let $p_F(T)$ denote the probability that T is the transcript produced by S.

If $\Gamma(x) = F(x)$ and, for any $T \in \Gamma(x)$, $p_{\Gamma}(T) = p_{F}(T)$, then we say that the interactive proof system is a zero-knowledge proof system.

Is the above interactive protocol for graph non-isomorphism also a zero-knowledge protool?

NO

Because....

Why?



APPENDIX

A proof is whatever convinces me (M. Even). ■ A nice proof makes us wiser (Yu. Manin). A proof is a sequence of statements each of them is either an axiom or follows from previous statements by am easy deduction rule - whether a to-be-proof is indeed a proof it should be checkeable by a computer. (A proof is therefore a computation process.)

- The concept of the proof (of a theorem from axioms) was introduced during the first golden era of mathematics, in Greece, 600-300 BC.
- Most of their proofs were actually proofs of correctness of geometric algorithms.
- After 300 BC, Greek's ideas concerning proofs were actually ignored for 2000 years.
- During the second golden era of mathematics, in 17th century, the concept of the proof did not play very important role. Famous was encouragement of those times "Go on, God will be with you" whenever rigour of some methods or correctness of some theorem was questioned.
- An understanding that proofs are important has developed again at the end of 19th century and especially at the beginning of 20th century because
 - a lot of counter-intuitive phenomena have appeared in mathematics (for example a function that is everywhere continuous but has nowhere derivative);
 - paradoxes have appeared in the set theory. For example, Does there exist a set of all sets?

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In such a setting the goal is not to prove that input is (or is not) in the given language, but that Prover knows whether the input is (or is not) in the language.