

Part I

Digital signatures

If there is a single security hole in a cryptosystem, the exposure of a cryptosystem will make sure that someone will eventually find it.

Even if this person is honest the discovery may ultimately leak to malicious parties.

It is not sufficient that a cryptographic system is very secure, or even perfectly secure - practically it is desirable that its implementations are secure enough what is very hard to achieve.

## CHAPTER 7: DIGITAL SIGNATURES

**Digital signatures are one of the most important inventions/applications of modern cryptography.**

The problem is how can a user sign (electronically) an (electronic) message in such a way that everybody (or the intended addressee only) can verify the signature and signature should be good enough also for legal purposes.

Moreover, a properly implemented digital signature should give the receiver a reason to believe that the received message was really sent by the claimed sender (**authentication of the message**) and was not altered during the transit (**integrity of the message**).

**In many countries it is already desirable, or even necessary, to use in important communications digital signatures and they have also legal significance.**

## BASIC DEFINITION

Digital signature is a digital code (generated and authenticated by a public key encryption) which is attached to an electronically transmitted document to verify its contents and the sender's identity.

## BASIC IDEAS

**Example:** Assume that each user A can use a special public-key cryptosystem  $(e_A, d_A)$ .

**One way to sign a message  $w$  by a user A, and to send  $w$  and its signature, so that any user can verify the signature,** is to apply on  $w$  (as the **signing procedure**) the mapping  $d_A$  :

**sending message+signat.:**  $(w, d_A(w)) \rightarrow$  **signature verification:**  $e_A(d_A(w)) = w?$

**One way to sign a message  $w$  by a user A so that only the user B can verify the signature,** is to apply on  $w$  (as the signing procedure) at first the mapping  $d_A$  and then, on the outcome, the mapping  $e_B$ :

**sending message+signat.:**  $(w, e_B(d_A(w))) \rightarrow$  **signature verification:**  
 $e_A(d_B(e_B(d_A(w)))) = w?$

A way to send a message  $w$ , and a signature of its hash, created by a user A, using a hash function  $h$ , so that anybody can verify the signature:

**signing the hash:**  $(w, d_A(h(w))) \rightarrow$  **signature verification:**  $h(w) = e_A(d_A(h(w)))?$

- In many ways and instances digital signatures provide a new layer of validation and security.
- **Digital signatures are both very different and also much equivalent to handwritten ones in many respects.**

Digital signatures, when properly implemented, are also more difficult to forge than handwritten signatures.

- Digital signatures employ public-key cryptography.

## DIGITAL SIGNATURES - OBSERVATION

Can we make digital signatures by digitizing our usual signature and attaching them to the messages (or documents) that need to be signed?

**No! Why? Because such signatures could be easily removed and attached to some other documents or messages.**

**Key observation:** Digital signatures have to depend not only on the signer, but also on the document/message that is being signed.

## DIGITAL SIGNATURES - BASIC REQUIREMENTS

**Basic requirements - I.** Digital signatures should be such that each user should be able to verify signatures of other users, but that should give him/her no information how to sign a message on behalf of any other user.

**Basic requirements - II** A valid digital signature should give the recipient reasons to believe that the message was created by a known sender and that it was not altered in transit.

**Note** An important difference from a handwritten signature is that **digital signature of a message is always intimately connected with the message**, and for different messages is different, whereas the **handwritten signature is adjoined to the message** and always looks the same.

Technically, a digital signature signing is performed by a **signing algorithm** and a digital signature is verified by a **verification algorithm**.

A copy of a **digital (classical)** signature is **identical (usually distinguishable) to (from)** the origin. A care has therefore to be taken that digital signatures are not misused.

This chapter contains some of the main techniques for design and verification of digital signatures (as well as some possible attacks on them).

## DIGITAL SIGNATURES - A PROBLEM

If only signature (but not the secrecy) of a message/document is of importance, then it suffices that Alice sends to Bob

$$(w, d_A(w))$$

**Caution:** Signing a message  $w$  by  $A$  for  $B$  by

$$e_B(d_A(w))$$

is O.K., but the symmetric solution, with encoding first:

$$c = d_A(e_B(w))$$

is not good.

Indeed, an active enemy, a tamperer  $T$ , can intercept the message, then can compute

$$d_T(e_A(c)) = d_T(e_B(w))$$

and can send the outcome to Bob, pretending that it is from him/tamperer (without being able to decrypt/know the message).

Any public-key cryptosystem in which the plaintext and cryptotext spaces are the same can be used for digital signature.

## WHY TO SIGN HASHES of MESSAGES and not MESSAGES THEMSELVES

Signing hashes of messages -example:

A way to send a message  $w$ , and a signature of its hash, created by a user  $A$ , using a hash function  $h$ , so that any one can verify the signature:

**signing the hash:**  $(w, d_A(h(w)))$  **signature verification:**  $h(w) = e_A(d_A(h(w)))$

There are several reasons why it is better to sign hashes of messages than messages themselves.

- **For efficiency:** Hashes are much shorter and so are their signatures - this is a way to save resources (time,...)
- **For compatibility:** Messages are typically bit strings. Digital signature schemes, such as RSA, operate often on other domains. A hash function can be used to convert an arbitrary input into the proper form.
- **For integrity:** If hashing is not used, a message has to be often split into blocks and each block signed separately. However, the receiver may not be able to find out whether all blocks have been signed and sent in the proper order.

## A GENERAL SCHEME of DIGITAL SIGNATURE SYSTEMS – SIMPLIFIED VERSION

A **digital signature system (DSS)** consists of:

- **P** - the space of possible plaintexts (messages/documents).
- **S** - the space of possible signatures.
- **K** - the space of possible keys.
- For each  $k \in K$  there is a **signing algorithm**  $sig_k$  and a corresponding **verification algorithm**  $ver_k$  such that

$$sig_k : P \rightarrow S.$$

$$ver_k : P \times S \rightarrow \{true, false\}$$

and

$$ver_k(w, s) = \begin{cases} true & \text{if } s = sig_k(w); \\ false & \text{otherwise.} \end{cases}$$

Algorithms  $sig_k$  and  $ver_k$  should be realizable in polynomial time.

**Verification algorithms can be publicly known; signing algorithms (actually only their keys) should be kept secret**

## DIGITAL SIGNATURE SCHEMES I

Digital signature schemes are basic tools for authentication messages. A digital signature scheme allows anyone to verify signature of any sender  $S$  without providing any information how to generate signatures of  $S$ .

A **Digital Signature Scheme**  $(M, S, K_s, K_v)$  is given by:

- $M$  - a set of **messages** to be signed
- $S$  - a set of possible **signatures**
- $K_s$  - a set of **private keys for signing** - one for each signer
- $K_v$  - a set of **public keys for verification** - one for each signer

Moreover, it is required that:

- For each  $k$  from  $K_s$ , there exists a single and easy to compute **signing mapping**
- For each  $k$  from  $K_v$  there exists a single and easy to compute **verification mapping**

$$sig_k: \{0, 1\}^* \times M \rightarrow S$$

$$ver_k: M \times S \rightarrow \{true, false\}$$

such that the following two conditions are satisfied:

**Correctness:**

For each message  $m$  from  $M$  and public key  $k$  from  $K_v$ , it should hold

$$\text{ver}_k(m, s) = \text{true}$$

if there is an  $r$  from  $\{0, 1\}^*$  such that

$$s = \text{sig}_l(r, m)$$

for a private key  $l$  from  $K_s$  corresponding to the public key  $k$ .

**Security:**

For any  $w$  from  $M$  and  $k$  from  $K_v$ , it should be computationally unfeasible, without the knowledge of the private key corresponding to  $k$ , to find a signature  $s$  from  $S$  such that

$$\text{ver}_k(w, s) = \text{true}.$$

Sometimes it is required that a digital signature scheme contains also a **keys generation phase**,

It is a phase that creates uniformly and randomly a secret (signing) key (from a set of potential secret keys) and outputs this secret key and the corresponding public (verification) key.

## ADDITIONAL PROPERTIES OF DIGITAL SIGNATURES

- Digital signatures can also provide so-called **non-repudiation**. That means that the signer cannot successfully claim that he did not signed a message, while also claiming that his private key remains secret.

## BREAKING DIGITAL SIGNATURE SYSTEMS

- An encryption system is considered as broken if one can determine (at least a part of) plaintexts from at least some cryptotexts (and at least sometimes).
- A digital signature system is considered as broken if one can (at least sometimes) forge (at least some) signatures.
- In both cases, a more ambitious goal is to find the private key.

Basic attack models

- KEY-ONLY ATTACK:** The attacker is only given the public verification key.
- KNOWN SIGNATURES ATTACK:** The attacker is given valid signatures for several messages known, but not chosen, by the attacker.
- CHOSEN SIGNATURES ATTACK:** The attacker is given valid signatures for several messages chosen by the attacker.
- ADAPTIVE CHOSEN SIGNATURES ATTACKS:** The attacker is given valid signatures for several messages chosen by the attacker where messages chosen may depend on previous signatures given for chosen messages.

- **Total break** of a signature scheme: The adversary manages to recover the secret key from the public key.
- **Universal forgery:** The adversary can derive from the public key an algorithm which allows to forge the signature of any message.
- **Selective forgery:** The adversary can derive from the public key a method to forge signatures of selected messages (where selection was made a priori the knowledge of the public key).
- **Existential forgery:** The adversary is able to create from the public key a valid signature of a message  $m$  (but has no control for which  $m$ ).

Observe that to forge a signature scheme means to produce a new signature - it is not forgery to obtain from the signer a valid signature.

## A DIGITAL SIGNATURE of one BIT

Let us start with a very simple, but much illustrative (though non-practical), example how to sign a single bit.

### Design of the signature scheme:

A one-way function  $f(x)$  is publicly chosen.

Two integers  $k_0$  and  $k_1$  are chosen and kept **secret** by the signer. Three items

$$f, (0, s_0), (1, s_1)$$

are made **public**, where

$$s_0 = f(k_0), s_1 = f(k_1)$$

### Signature of a bit $b$ :

$$(b, k_b).$$

### Verification of such a signature

$$s_b = f(k_b)??$$

### SECURITY?

## FROM RSA CRYPTOSYSTEM to RSA SIGNATURES

The idea of RSA cryptosystem is simple.

Public key: modulus  $n = pq$  and encryption exponent  $e$ .

Secret key: decryption exponent  $d$  and primes  $p, q$

Encryption of a message  $w$ :  $c = w^e$

Decryption of the ciphertext  $c$ :  $w = c^d$ .

Does it has a sense to change the order of these two operations: To do first

$$c = w^d$$

and then compute

$$c^e?$$

Is this a crazy idea? No, we just need to interpret outcomes of these operations differently.

Indeed,

$$s = w^d$$

should be interpreted as the signature of the message  $w$

and

$$w = s^e?$$

as a verification of such signature.

## RSA SIGNATURES and some ATTACKS on them

Let us have an RSA cryptosystem with encryption and decryption exponents  $e$  and  $d$  and modulus  $n$ .

**Signing** of a message  $w$ :

$$\sigma = w^d \bmod n$$

**Verification** of the signature  $s = \sigma$ :

$$w = \sigma^e \bmod n?$$

### Possible simple attacks

- It might happen that Bob accepts a signature not produced by Alice. Indeed, let Eve, using Alice's public key, compute  $s = w^e$  for some  $w$  and says that  $w$  is Alice's signature of  $s$ .  
Everybody trying to verify such a signature as Alice's signature gets  $w^e = w^e$ .
- Some new signatures can be produced without knowing the secret key.  
Indeed, if  $\sigma_1$  and  $\sigma_2$  are signatures for  $w_1$  and  $w_2$ , then  $\sigma_1\sigma_2$  and  $\sigma_1^{-1}$  are signatures for  $w_1w_2$  and  $w_1^{-1}$ .

## ENCRYPTIONS versus SIGNATURES - SUMMARY

Let each user  $U$  use a cryptosystem with encryption and decryption algorithms:  $e_U, d_U$

Let  $w$  be a message

### PUBLIC-KEY ENCRYPTIONS

**Encryption:**

$$e_U(w)$$

**Decryption:**

$$d_U(e_U(w))$$

### PUBLIC-KEY SIGNATURES

**Signing:**

$$d_U(w)$$

**Verification of the signature:**

$$e_U(d_U(w))$$

## RABIN SIGNATURES

A collision-resistant hash function  $h: \{0, 1\}^* \rightarrow \{0, 1\}^k$  is used for some fixed  $k$ .

**Keys generation:** The signer  $S$  chooses primes  $p, q$  of size approximately  $k/2$  and computes  $n = pq$ .  
 $n$  will be the public key  
the pair  $(p, q)$  will be the secret key.

- Signing:**
- To sign a message  $w$ , the signer chooses random string  $U$  and calculates  $h(wU)$ ;
  - If  $h(wU) \notin QR(n)$ , the signer picks a new  $U$  and repeats the process;
  - Signer solves the equation  $x^2 = h(wU) \bmod n$ ;
  - The pair  $(U, x)$  is the signature of  $w$ .

**Verification:** Given a message  $w$  and a signature  $(U, x)$  the verifier  $V$  computes  $x^2$  and  $h(wU)$  and verifies that they are equal.

## IMPORTANT FACTS

### Fact 1

If, for integers  $a, b$  and a prime  $p$ ,

$$a \equiv b \pmod{p-1}$$

then for any integer  $x$

$$x^a \equiv x^b \pmod{p}$$

### Fact 2

If  $a, b, n, x$  are integers and  $\gcd(x, n) = 1$ , then

$$a \equiv b \pmod{\phi(n)} \text{ implies } x^a \equiv x^b \pmod{n}$$

Let

$$a \equiv b \pmod{p-1}$$

then

$$x^a = x^{k(p-1)+b}$$

for some  $k$ , any  $x$  and therefore

$$x^a = x^b (x^{p-1})^k \equiv x^b \pmod{p}$$

by Fermat's little theorem.

**Design of the ElGamal digital signature system:** choose: prime  $p$ , integers  $1 \leq q \leq x \leq p$ , where  $q$  is a primitive element of  $Z_p^*$ ;

**Compute:**  $y = q^x \pmod{p}$

**key  $K = (p, q, x, y)$**

**public key**  $(p, q, y)$  - **secret key:**  $x$

**Signature of a message  $w$ :** Let  $r \in Z_{p-1}^*$  be randomly chosen and kept secret.

$$\text{sig}(w, r) = (a, b),$$

where  $a = q^r \pmod{p}$

and  $b = (w - xa)r^{-1} \pmod{p-1}$ .

**Verification:** accept a signature  $(a,b)$  of  $w$  as valid if

$$y^a a^b = q^w \pmod{p}$$

(Indeed, for some integer  $k$ :  $y^a a^b \equiv q^{ax} q^{rb} \equiv q^{ax+w-ax+k(p-1)} \equiv q^w \pmod{p}$ )

## SECURITY of ElGamal SIGNATURES

Let us analyze several ways an eavesdropper Eve can try to forge ElGamal signature (with  $x$  - secret;  $p, q$  and  $y = q^x \pmod{p}$  - public):

$$\text{sig}(w, r) = (a, b);$$

where  $r$  is random and  $a = q^r \pmod{p}$ ;  $b = (w - xa)r^{-1} \pmod{p-1}$ .

1 First suppose Eve tries to forge signature for a new message  $w$ , without knowing  $x$ .

- If Eve first chooses a value  $a$  and tries to find the corresponding  $b$ , it has to compute the discrete logarithm

$$\lg_a q^w y^{-a},$$

(because  $a^b \equiv q^{r(w-xa)r^{-1}} \equiv q^{w-xa} \equiv q^w y^{-a}$ ) what is infeasible.

- If Eve first chooses  $b$  and then tries to find  $a$ , she has to solve the equation

$$y^a a^b \equiv q^{xa} q^{rb} \equiv q^w \pmod{p}.$$

It is not known whether this equation can be solved for any given  $b$  efficiently.

2 If Eve chooses  $a$  and  $b$  and tries to determine  $w$  such that  $(a,b)$  is signature of  $w$ , then she has to compute discrete logarithm

$$\lg_q y^a a^b.$$

Hence, Eve can not sign a "random" message this way.

## From ElGamal to DSA (DIGITAL SIGNATURE STANDARD)

**DSA** is a **digital signature standard**, described on the next two slides, that is a modification of ElGamal digital signature scheme. It was proposed in August 1991 and adopted in December 1994.

Any proposal for digital signature standard has to go through a very careful scrutiny. Why?

Encryption of a message is usually done only once and therefore it usually suffices to use a cryptosystem that is secure **at the time of the encryption**.

On the other hand, a signed message could be a contract or a will and it can happen that it will be needed to verify its signature **many years after the message is signed**.

Since ElGamal signature is no more secure than discrete logarithm, it is necessary to use large  $p$ , with at least 512 bits.

However, with ElGamal this would lead to signatures with at least 1024 bits what is too much for such applications as smart cards.

In December 1994, on the proposal of the National Institute of Standards and Technology, the following **Digital Signature Algorithm (DSA)** was accepted as a **standard**.

### Design of DSA

- 1 **The following global public key components** are chosen:
  - **p** - a random  $l$ -bit prime,  $512 \leq l \leq 1024$ ,  $l = 64k$ .
  - **q** - a random 160-bit prime dividing  $p - 1$ .
  - **r** =  $h^{(p-1)/q} \bmod p$ , where  $h$  is a random primitive element of  $Z_p$ , such that  $r > 1$ ,  $r \neq 1$  (observe that  $r$  is a  $q$ -th root of 1 mod  $p$ ).
- 2 **The following user's private key component** is chosen:
  - **x** - a random integer (**once**),  $0 < x < q$ ,
- 3 The following value is also made public
  - **y** =  $r^x \bmod p$ .
- 4 Key is  $K = (p, q, r, x, y)$

### Signing and Verification

**Signing** of a 160-bit plaintext  $w$

- choose random  $0 < k < q$
- compute **a** =  $(r^k \bmod p) \bmod q$
- compute **b** =  $k^{-1}(w + xa) \bmod q$  where  $kk^{-1} \equiv 1 \pmod{q}$
- **signature**:  $\text{sig}(w, k) = (a, b)$

**Verification** of signature  $(a, b)$

- compute  $z = b^{-1} \bmod q$
- compute  $u_1 = wz \bmod q$ ,  $u_2 = az \bmod q$

verification:

$$\text{ver}_K(w, a, b) = \text{true} \Leftrightarrow (r^{u_1} y^{u_2} \bmod p) \bmod q = a$$

### From ElGamal to DSA - II

In DSA a 160 bit message is signed using 320-bit signature, but computation is done modulo with 512-1024 bits.

Observe that **y** and **a** are also **q**-roots of 1. Hence any exponents of **r, y** and **a** can be reduced modulo **q** without affecting the verification condition.

This allowed to change ElGamal verification condition:  $y^a a^b = q^w$ .

### Fiat-Shamir SIGNATURE SCHEME

Choose primes  $p, q$ , compute  $n = pq$  and choose: as a **public key** integers  $v_1, \dots, v_k$  and compute, as a **secret key**,  $s_1, \dots, s_k$ ,  $s_i = \sqrt{v_i^{-1}} \bmod n$ .

**Protocol** for Alice to sign a message  $w$ :

- 1 Alice first chooses (as a security parameter) an integer  $t$ , then  $t$  random integers  $1 \leq r_1, \dots, r_t < n$ , and computes  $x_i = r_i^2 \bmod n$ , for  $1 \leq i \leq t$ .
- 2 Alice uses a publicly known hash function  $h$  to compute  $H = h(wx_1 x_2 \dots x_t)$  and then uses the first  $kt$  bits of  $H$ , denoted as  $b_{ij}$ ,  $1 \leq i \leq t, 1 \leq j \leq k$  as follows.
- 3 Alice computes  $y_1, \dots, y_t$

$$y_i = r_i \prod_{j=1}^k s_j^{b_{ij}} \bmod n$$

- 4 Alice sends to Bob  $w$ , all  $b_{ij}$ , all  $y_i$  and also  $h$  {Bob already knows Alice's public key  $v_1, \dots, v_k$ }
- 5 Bob finally computes  $z_1, \dots, z_k$ , where

$$z_i = y_i^2 \prod_{j=1}^k v_j^{b_{ij}} \bmod n = r_i^2 \prod_{j=1}^k (v_j^{-1})^{b_{ij}} \prod_{j=1}^k v_j^{b_{ij}} = r_i^2 = x_i$$

and verifies that the first  $k \times t$  bits of  $h(wx_1 x_2 \dots x_t)$  are the  $b_{ij}$  values that Alice has sent to him.

**Security** of this signature scheme is  $2^{-kt}$ .

**Advantage** over the RSA-based signature scheme: only about 5% of modular multiplications are needed.



Alice and Bob got to jail - and, unfortunately, to different jails.

Walter, the warden, allows them to communicate by network, but he will not allow their messages to be encrypted.

**Problem:** Can Alice and Bob set up a **subliminal channel**, a covert communication channel between them, in full view of Walter, even though the messages themselves that they exchange contain no secret information?

**Story** Alice and Bob are in different jails. Walter, the warden, allows them to communicate by network, but he will not allow messages to be encrypted. Can they set up a subliminal channel, a covert communication channel between them, in full view of Walter, even though the messages themselves contain no secret information?

Yes. Alice and Bob create first the following communication scheme:

**They choose a large  $n$  and an integer  $k$  such that  $\gcd(n, k) = 1$ .**

**They calculate  $h = k^{-2} \pmod n = (k^{-1})^2 \pmod n$ .**

**They make  $h, n$  to be public key**

**They keep secret  $k$  as trapdoor information.**

Let  $w$  be secret message Alice wants to send (it has to be such that  $\gcd(w, n) = 1$ )

Denote a harmless message she uses by  $w'$  (it has to be such that  $\gcd(w', n) = 1$ )

**Signing by Alice:**

$$S_1 = \frac{1}{2} \cdot \left( \frac{w'}{w} + w \right) \pmod n$$

$$S_2 = \frac{k}{2} \cdot \left( \frac{w'}{w} - w \right) \pmod n$$

**Signature:**  $(S_1, S_2)$ . Alice then sends to Bob  $(w', S_1, S_2)$

**Signature verification method for Walter:**  $w' = S_1^2 - hS_2^2 \pmod n$

**Decryption by Bob:**  $w = \frac{w'}{(S_1 + k^{-1}S_2)} \pmod n$

## LAMPORT ONE-TIME SIGNATURES

**Lamport signature scheme** shows how to construct a **signature scheme for one use only** - from any cryptographically secure one-way function.

Let  $k$  be a positive integer and let  $M = \{0, 1\}^k$  be the set of messages.

Let  $f: Y \rightarrow Z$  be a one-way function where  $Y$  is a set of "signatures".

For  $1 \leq i \leq k, j = 0, 1$  let  $y_{ij} \in Y$  be chosen randomly and  $z_{ij} = f(y_{ij})$ .

The **key  $K$**  consists of  $2k$   $y$ 's and  $z$ 's.  $y$ 's form the **secret key**,  $z$ 's form the **public key**.

**Signing of a message**  $x = x_1 \dots x_k \in \{0, 1\}^k$

$$\text{sign}(x_1 \dots x_k) = (y_{1,x_1}, \dots, y_{k,x_k}) = (a_1, \dots, a_k) \text{ - notation}$$

and

$$\text{verif}(x_1 \dots x_k, a_1, \dots, a_k) = \text{true} \Leftrightarrow f(a_i) = z_{i,x_i}, 1 \leq i \leq k$$

Eve cannot forge a signature because she is unable to invert one-way functions.

**Important note:** Lamport signature scheme can be used safely to sign only one message. Why?

## MERKLE SIGNATURES - I.

Merkle signature scheme with a parameter  $m = 2^n$  allows to sign any of the given  $2^n$  messages (and no other).

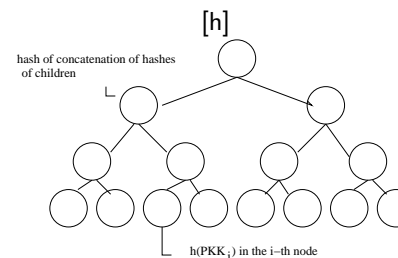
The scheme is based on so-called **hash trees** and uses a **fixed collision resistant hash function  $h$**  as well as **Lamport one-time signatures** and its security depends on their security.

**The main reason why Merkle Signature Scheme is of interest, is that it is believed to be resistant to potential attacks using quantum computers.**

- Who knows.
- The possibility of having quite soon powerful quantum computers starts to be so realistic that in US decision has been made, on a very-high level of cares for national security, that the next generation of cryptographic primitives' standards (for encryptions, digital signatures, hash functions,...) should be secure even in case quantum computers would be available.

**Public key generation - a single key for all signings.** At first one needs to generate public keys  $PK_i$  and secret keys  $SK_i$  for all  $2^n$  messages  $m_i$ , using Lamport signature scheme, and to compute also  $h(PK_i)$  for all  $i \leq 2^n$ .

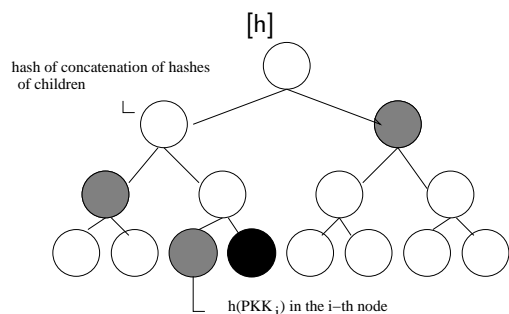
As the next step a complete binary tree with  $2^n$  leaves is designed and the value  $h(PK_i)$  is stored in the  $i$ -th leaf, counting from left to right. Moreover, to each internal node the hash of the concatenation of hashes of its two children is stored. The hash assigned this way to the root is the **public key** of the Merkle signature scheme and the tree is called **Merkle tree**. See next figure for a Merkle tree.



MERKLE SIGNATURE - III.

**Signature generation.** To sign a message  $m_i$ , this message is at first signed using the one-use signature scheme with keys  $(PK_i, SK_i)$ . **This signature plus a sequence of  $n$  hashes chosen from all those nodes that are needed to compute the hash of the root, is the Merkle signature.** See the next Figure where the one-use signature in the black node and a sequence of gray nodes form the final signature.

The verifier knows the public key - hash assigned to the root and signature created as above. This allows him to compute all hashes assigned to the root from the leaf to the root and to verify that the value assigned this way agrees with the public key - hash assigned to the root.



GMR SIGNATURE SCHEME

In 1988 Shafi Goldwasser, Silvio Micali and Ronald Rivest were the first to define rigorously security requirements for digital signature schemes.

They also presented a new signature scheme, known nowadays as **GMR signature scheme**.

It was the first signature scheme that was proven as being robust against an adaptive chosen message attacks: **an adversary who receives signatures of messages of his choice (where each message may be chosen in a way that depends on the signatures of previously chosen messages) cannot later forge the signature even of a single additional message.**

## TIMESTAMPING

There are various ways that a digital signature can be compromised.

For example: if Eve determines the secret key of Bob, then she can forge signatures of any Bob's message she likes. If this happens, authenticity of all messages signed by Bob before Eve got the secret key is to be questioned.

The key problem is that there is no way to determine when a message was signed.

A **timestamping** protocol should provide a proof that a message was signed at a certain time.

In the following **pub** denotes some publicly known information that could not be predicted before the day of the signature (for example, stock-market data).

**Timestamping by Bob of a signature** on a message **w**, using a hash function **h**.

- Bob computes  $z = h(w)$ ;
- Bob computes  $z' = h(z \parallel \text{pub})$ ; –  $\{ \parallel \}$  denotes concatenation
- Bob computes  $y = \text{sig}(z')$ ;
- Bob publishes  $(z, \text{pub}, y)$  in the next day newspaper.

It is now clear that signature could not be done after the triple  $(z, \text{pub}, y)$  was published, but also not before the date **pub** was known.

## BLIND SIGNATURES

The problem is whether Alice can make Bob to sign a message, say  $m$ , without Bob knowing  $m$ , therefore blindly.

– this would be needed, for example, in e-commerce.

She can. Blind signing can be realized by a two party protocol, between the Alice and Bob, that has the following properties.

- In order to sign (by Bob) a message  $m$ , Alice creates, using a **blinding procedure**, from the message  $m$  a new message  $m^*$  from which  $m$  can not be obtained without knowing a secret, and sends  $m^*$  to Bob for signing.
- Bob signs the message  $m^*$  to get a signature  $s_{m^*}$  (of  $m^*$ ) and sends  $s_{m^*}$  to Alice. The signing is to be done in such a way that Alice can afterwards compute, using an **unblinding procedure**, from Bob's signature  $s_{m^*}$  of  $m^*$  – Bob's signature  $s_m$  of  $m$ .

## Chaum's BLIND SIGNATURE SCHEME

This blind signature protocol combines RSA with blinding/unblinding features.

Let Bob's RSA public key be  $(n, e)$  and his private key be  $d$ .

Let  $m$  be a message,  $0 < m < n$ ,

### PROTOCOL:

- Alice chooses a random  $0 < k < n$  with  $\text{gcd}(n, k) = 1$ .
- Alice computes  $m^* = mk^e \pmod n$  and sends it to Bob (this way Alice blinds the message  $m$ ).
- Bob computed  $s^* = (m^*)^d \pmod n$  and sends  $s^*$  to Alice (this way Bob signs the blinded message  $m^*$ ).
- Alice computes  $s = k^{-1}s^* \pmod n$  to obtain Bob's signature  $m^d$  of  $m$  (This way Alice performs unblinding of  $m^*$ ).

Verification is similar to that of the RSA signature scheme.

## DIGITAL SIGNATURES with ENCRYPTION and RESENDING

Let us consider the following communication between Alice and Bob:

- 1 Alice signs the message:  $s_A(w)$ .
- 2 Alice encrypts the signed message:  $e_B(s_A(w))$  and sends it to Bob.
- 3 Bob decrypts the signed message:  $d_B(e_B(s_A(w))) = s_A(w)$ .
- 4 Bob verifies the signature and recovers the message  $v_A(s_A(w)) = w$ .

Consider now the case of resending the message as a receipt

- 5 Bob signs and encrypts the message and sends to Alice  $e_A(s_B(w))$ .
- 6 Alice decrypts the message and verifies the signature.

Assume now:  $v_x = e_x$ ,  $s_x = d_x$  for all users  $x$ .

## A SURPRISING ATTACK to the PREVIOUS SCHEME

- 1 Mallot intercepts  $e_B(s_A(w))$ .
- 2 Later Mallot sends  $e_B(s_A(w))$  to Bob pretending it is from him (from Mallot).
- 3 Bob decrypts and “verifies” the message by computing  $e_M(s_B(e_B(s_A(w)))) = e_M(s_A(w))$  – a garbage.
- 4 Bob goes on with the protocol and returns to Mallot the receipt:

$$e_M(s_B(e_M(s_A(w))))$$

- 5 Mallot can then get  $w$  (observe that  $v_x = e_x$  and  $s_x = d_x$  for each user  $x$ ).

Indeed, Mallot can compute

$$e_A(s_M(e_B(s_M(e_M(s_B(e_M(s_A(w)))))))) = w.$$

## ANOTHER MAN-IN-THE-MIDDLE ATTACK

Consider the following protocol:

- 1 Alice sends the pair  $(e_B(e_B(w)||A), B)$  to Bob.
- 2 Bob uses  $d_B$  to get  $A$  and  $w$ , and acknowledges the receipt by sending the pair  $(e_A(e_A(w)||B), A)$  to Alice.

(Here the function  $e$  and  $d$  are assumed to operate on strings and identifiers  $A, B, \dots$  are strings.)

What can an active eavesdropper  $C$  do?

- $C$  can learn  $(e_A(e_A(w)||B), A)$  and therefore  $e_A(w')$  for  $w' = e_A(w)||B$ .
- $C$  can now send to Alice the pair  $(e_A(e_A||w')||C), A)$ .
- Alice, thinking that this is the step 1 of the protocol, acknowledges the receipt by sending the pair  $(e_C(e_C(w')||A), C)$  to  $C$ .
- $C$  is now able to learn  $w'$  and therefore also  $e_A(w)$ .
- $C$  now sends to Alice the pair  $(e_A(e_A(w)||C), A)$ .
- Alice makes acknowledgment by sending the pair  $(e_C(e_C(w)||A), C)$ .
- $C$  is now able to learn  $w$ .

## PROBABILISTIC SIGNATURES SCHEMES - PSS

Let us have **integers**  $k, l, n$  such that  $k + l < n$ , a **trapdoor permutation**

$$f : D \rightarrow D, D \subset \{0, 1\}^n,$$

a **pseudorandom bit generator**

$$G : \{0, 1\}^l \rightarrow \{0, 1\}^k \times \{0, 1\}^{n-(l+k)}, \quad G(w) = (G_1(w), G_2(w))$$

and a **hash function**

$$h : \{0, 1\}^* \rightarrow \{0, 1\}^l.$$

The following PSS scheme is applicable to messages of arbitrary length.

**Signing:** of a message  $w \in \{0, 1\}^*$ .

- 1 Choose random  $r \in \{0, 1\}^k$  and compute  $m = h(w||r)$ .
- 2 Compute  $G(m) = (G_1(m), G_2(m))$  and  $y = m||(G_1(m) \oplus r)||G_2(m)$ .
- 3 **Signature** of  $w$  is  $\sigma = f^{-1}(y)$ .

**Verification** of a signed message  $(w, \sigma)$ .

- Compute  $f(\sigma)$  and decompose  $f(\sigma) = m||t||u$ , where  $|m| = l$ ,  $|t| = k$  and  $|u| = n - (k + l)$ .
- Compute  $r = t \oplus G_1(m)$ .
- Accept signature  $\sigma$  if  $h(w||r) = m$  and  $G_2(m) = u$ ; otherwise reject it.

## Diffie-Hellman PUBLIC ESTABLISHMENT of SECRET KEYS - repetition

**Main problem of the secret-key cryptography:** a need to make a secure distribution (establishment) of secret keys ahead of transmissions.

Diffie+Hellman solved this problem in 1976 by designing a protocol for secure key establishment (distribution) over public channels.

Diffie-Hellman Protocol: If two parties, Alice and Bob, want to create a common secret key, then they first agree, somehow, on a large prime  $p$  and a  $q < p$  of large order in  $Z_p^*$  and then they perform, through a public channel, the following activities.

- Alice chooses, randomly, a large  $1 \leq x < p - 1$  and computes  $X = q^x \text{ mod } p$ .
- Bob also chooses, again randomly, a large  $1 \leq y < p - 1$  and computes  $Y = q^y \text{ mod } p$ .
- Alice and Bob exchange  $X$  and  $Y$ , through a public channel, but keep  $x, y$  secret.
- Alice computes  $Y^x \text{ mod } p$  and Bob computes  $X^y \text{ mod } p$  and then each of them has the key

$$K = q^{xy} \text{ mod } p.$$

An eavesdropper seems to need, in order to determine  $x$  from  $X, q, p$  and  $y$  from  $Y, q, p$ , a capability to compute discrete logarithms, or to compute  $q^{xy}$  from  $q^x$  and  $q^y$ , what is believed to be infeasible.

Let each user  $U$  have a **signature algorithm**  $s_U$  and a **verification algorithm**  $v_U$ .  
 The following protocol allows Alice and Bob to establish a key  $K$  to use with an encryption function  $e_K$  and to avoid the man-in-the-middle attack.

- 1 Alice and Bob choose large prime  $p$  and a generator  $q \in Z_p^*$ .
- 2 Alice chooses a random  $x$  and Bob chooses a random  $y$ .
- 3 Alice computes  $q^x \pmod p$ , and Bob computes  $q^y \pmod p$ .
- 4 Alice sends  $q^x$  to Bob.
- 5 Bob computes  $K = q^{xy} \pmod p$ .
- 6 Bob sends  $q^y$  and  $e_K(s_B(q^y, q^x))$  to Alice.
- 7 Alice computes  $K = q^{xy} \pmod p$ .
- 8 Alice decrypts  $e_K(s_B(q^y, q^x))$  to obtain  $s_B(q^y, q^x)$ .
- 9 Alice gets, using an authority, Bob's verification algorithm  $v_B$ .
- 10 Alice uses  $v_B$  to verify Bob's signature.
- 11 Alice sends  $e_K(s_A(q^x, q^y))$  to Bob.
- 12 Bob decrypts, gets  $v_A$ , and verifies Alice's signature.

An enhanced version of the above protocol is known as **Station-to-Station** protocol.

The idea of a  **$(t+1, n)$  threshold signature scheme** is to distribute the power of the signing operation to  $(t+1)$  parties out of  $n$ .

A  $(t+1)$  threshold signature scheme should satisfy two conditions.

**Unforgeability** means that even if an adversary corrupts  $t$  parties, he still cannot generate a valid signature.

**Robustness** means that corrupted parties cannot prevent uncorrupted parties to generate signatures.

Shoup (2000) presented an efficient, non-interactive, robust and unforgeable threshold RSA signature schemes.

There is no proof yet whether Shoup's scheme is provably secure.

- In 1976 Diffie and Hellman were first to describe the idea of a digital signature scheme. However, they only conjectured that such schemes may exist.
- In 1977 RSA was invented that could be used to produce a primitive (not secure enough) digital signatures.
- The first widely marketed software package to offer digital signature was **Lotus Notes 1.0**, based on RSA and released in 1989
- ElGamal digital signatures were invented in 1984.
- In 1988 Goldwasser, Micali and Rivest were first to rigorously define (perfect) security of digital signature schemes.

APPENDIX

- Digital signatures are often used to implement electronic signatures - this is a broader term that refers to any electronic data that carries the intend of a signature. Not all electronic signatures use digital signatures.
- In some countries digital signatures have legal significance.
- Properly implemented digital signatures are more difficult to forge than the handwritten ones.
- Digital signatures can also provide non-repudiation. This means that the signer cannot successfully claim: (a) that he did not signed a message, (b) his private key remain secret.

DSA was adopted in US as Federal Information Processing Standard for digital signatures in 1991.

Adaptation was revised in 1996, 2000, 2009 and 2013

DSA is covered by US-patent attributed to David W. Krantz (former NSA employee). Claus P. Schnor claims that his US patent covered DSA.

## APPENDIX

APPENDIX

## GENERAL OBSERVATIONS

- Digital signatures are often used to implement electronic signatures - this is a broader term that refers to any electronic data that carries the intend of a signature. Not all electronic signatures use digital signatures.
- The first broadly marketed software package to offer digital signature was Lotus Notes 1.0, released in 1989, which used RSA algorithm

## SECURITY of ElGamal SIGNATURES

Let us analyze several ways an eavesdropper Eve can try to forge ElGamal signature (with  $x$  - secret;  $p, q$  and  $y = q^x \pmod p$  - public):

$$\text{sig}(w, r) = (a, b);$$

where  $r$  is random and  $a = q^r \pmod p$ ;  $b = (w - xa)r^{-1} \pmod{p-1}$ .

1 First suppose Eve tries to forge signature for a new message  $w$ , without knowing  $x$ .

- If Eve first chooses a value  $a$  and tries to find the corresponding  $b$ , it has to compute the discrete logarithm

$$\lg_a q^w y^{-a},$$

(because  $a^b \equiv q^{r(w-xa)r^{-1}} \equiv q^{w-xa} \equiv q^w y^{-a}$ ) what is unfeasible.

- If Eve first chooses  $b$  and then tries to find  $a$ , she has to solve the equation

$$y^a a^b \equiv q^{xa} q^{rb} \equiv q^w \pmod p.$$

It is not known whether this equation can be solved for any given  $b$  efficiently.

2 If Eve chooses  $a$  and  $b$  and tries to determine such  $w$  that  $(a,b)$  is signature of  $w$ , then she has to compute discrete logarithm

$$\lg_q y^a a^b.$$

Hence, Eve can not sign a "random" message this way.