## Part I

Public-key cryptosystems basics: I. Key exchange, knapsack, RSA

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The realization that a cryptosystem does not need to be symmetric/private can be seen as the single most important breakthrough in the modern cryptography and as one of the key discoveries leading to the internet and to information society.

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Supercomputer Salomon in Ostrava, with performance 1.407 petaflops was on 40th place in June 2015; best in India on 79th place.

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Ostrava's Solomon is currently on 282 position. They got a new one, called Barbora, with 8 times larger performance.

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Why they are needed? Exascale computers would allow to make extremely precise simulations of biological systems what is expected to allow to deal with such problems as climate change and growing food that could withstand drought.

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Therefore, private key cryptography is not a sufficiently good tool for massive communication capable to protect secrecy, privacy and anonymity.

## SYMMETRIC versus ASYMMETRIC CRYPTOSYSTEMS



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Encryption and decryption keys of public key cryptography could (and should) be different - we can therefore say also that public-key cryptography is asymmetric cryptography. Secret key cryptography, that has the same key for encryption and for decryption is then called also as symmetric cryptography.

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- Informatization of society was questioned because if governments had problems with key distribution how smaller companies could handle the key distribution problem without bankrupting?
- At the same time, the key distribution problem used to be considered, practically by all, as an unsolvable problem.


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Great idea was born. The problem then was to find a computational realization of this great idea. The first idea - to model locking of padlocks by doing an encryption.

## MERKLE JOINING DIFFIE-HELLMAN

After Diffie and Hellman announced their solution to the key generation problem, Ralph Merkle claimed, and could prove, that he had a similar idea some years ago.

That is the way why some people talk about Merkle-Diffie-Hellman key exchange.


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| Message | $m$ | $e$ | $e$ | $t$ | $m$ | $e$ | $a$ | $t$ | $n$ | $o$ | $o$ | $n$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Alice's encrypt. | Y | G | G | C | Y | G | H | C | J | B | B | J |

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| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Alice's encrypt. | Y | G | G | C | Y | G | H | C | J | B | B | J |
| Bob's encrypt. | L | N | N | M | L | N | O | M | E | P | P | E |

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| $a$ | $b$ | $d$ | $f$ | $g$ | $h$ | $i$ | $k$ | $l$ | $m$ | $n$ | $p$ | $q$ | $r$ | $t$ | $u$ | $v$ | $w$ | $x$ | $y$ | $z$ |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $H$ | $F$ | $S$ | $U$ | $G$ | $T$ | $A$ | $K$ | $V$ | $D$ | $E$ | $Y$ | $J$ | $B$ | $P$ | $N$ | $X$ | $W$ | $C$ | $Q$ | $R$ | $I$ | $M$ | $Z$ |

Let Bob use the encryption substitution.
 C P M G A T N O J E F W I Q B U R Y H X S D Z K L V

| Message | m | e | e | t | $m$ | $e$ | $a$ | $t$ | $n$ | $o$ | $o$ | $n$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| Alice's encrypt. | Y | G | G | C | Y | G | H | C | J | B | B | J |
| Bob's encrypt. | L | N | N | M | L | N | O | M | E | P | P | E |
| Alice's decrypt. | Z | Q | Q | X | Z | Q | L | X | K | P | P | K |

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| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $H$ | $F$ | $S$ | $U$ | $G$ | $T$ | $A$ | $K$ | $V$ | $D$ | $E$ | $Y$ | $J$ | $B$ | $P$ | $N$ | $X$ | $W$ | $C$ | $Q$ | $R$ | $I$ | $M$ | $Z$ |

Let Bob use the encryption substitution.
 C P M G A T N O J E F W I Q B U R Y H X S D Z K L V

| Message | m | e | e | t | m | e | a | t | n | o | o | n |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| Alice's encrypt. | Y | G | G | C | Y | G | H | C | J | B | B | J |
| Bob's encrypt. | L | N | N | M | L | N | O | M | E | P | P | E |
| Alice's decrypt. | Z | Q | Q | X | Z | Q | L | X | K | P | P | K |
| Bob's decrypt. | w | n | n | t | w | n | y | t | x | b | b | x |

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| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $H$ | $F$ | $S$ | $U$ | $G$ | $T$ | $A$ | $K$ | $V$ | $D$ | $E$ | $Y$ | $J$ | $B$ | $P$ | $N$ | $X$ | $W$ | $C$ | $Q$ | $R$ | $I$ | $M$ | $Z$ |

Let Bob use the encryption substitution.
 C P M G A T N O J E F W I Q B U R Y H X S D Z K L V

| Message | $m$ | $e$ | $e$ | $t$ | $m$ | $e$ | $a$ | $t$ | $n$ | $o$ | $o$ | $n$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| Alice's encrypt. | Y | G | G | C | Y | G | H | C | J | B | B | J |
| Bob's encrypt. | L | N | N | M | L | N | O | M | E | P | P | E |
| Alice's decrypt. | Z | Q | Q | X | Z | Q | L | X | K | P | P | K |
| Bob's decrypt. | w | n | n | t | w | n | y | t | x | b | b | x |

Observation The first idea does not work.

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| Message | m | e | e | t | m | e | a | t | n | o | o | n |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| Alice's encrypt. | Y | G | G | C | Y | G | H | C | J | B | B | J |
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1 Encryptions and decryptions were not commutative.

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| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
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## SECURE COMMUNICATION without ecreret keys

The idea contained in the above mention padlock protocol has been materialized by Shamir as follows:
(Shamir's "no-key algorithm")
Basic assumption: Each user $X$ has its own
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44 Bob performs the decryption to get $d_{B}\left(e_{B}(w)\right)=w$.
Disadvantage: 3 communications are needed (in such a context 3 is a too large number). Advantage: It is a perfect protocol for secret distribution of messages.

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Diffie-Hellman Protocol: If two parties, Alice and Bob, want to create a common secret key, then they first agree, somehow, on large primes $p$ and a $q<p$ of large order in $Z_{p}^{*}$ and then they perform, using a public channel, the following activities.

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## PUBLIC ESTABLISHMENT of SECRET KEYS

Main problem of the secret-key cryptography: is a need to make a secure distribution (establishment) of secret keys ahead of intended transmissions.

Diffie+Hellman solved this problem of key distribution first in 1976 by designing a protocol for secure key establishment (distribution) over public communication channels.

Diffie-Hellman Protocol: If two parties, Alice and Bob, want to create a common secret key, then they first agree, somehow, on large primes $p$ and a $q<p$ of large order in $Z_{p}^{*}$ and then they perform, using a public channel, the following activities.

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An eavesdropper seems to need, in order to determine $x$ from $\mathbf{X}, \mathbf{q}, \mathbf{p}$ and $y$ from $\mathbf{Y}, \mathbf{q}$, p, a capability to compute discrete logarithms, or to compute $q^{x y}$ from $q^{x}$ and $q^{y}$, what is believed to be infeasible.

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That is the way why some people talk now about Merkle-Diffie-Hellman key exchange.


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The protocol required still too much communication and a cooperation of both parties for quite a time.

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A search (hunt) for such a function started.

## ONE-WAY FUNCTIONS

Informally, a function $F: N \rightarrow N$ is said to be a one-way function if it is easily computable - in polynomial time - but any computation of its inverse is infeasible.

A one-way permutation is a 1-1 one-way function.


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EA more formal approach
Definition A function $f:\{0,1\}^{*} \rightarrow\{0,1\}^{*}$ is called a strongly one-way function if the following conditions are satisfied:
$1 f$ can be computed in polynomial time;
2 there are $c, \varepsilon>0$ such that $|x|^{\varepsilon} \leq|f(x)| \leq|x|^{c}$;
3 for every randomized polynomial time algorithm $A$, and any constant $c>0$, there exists an $n_{c}$ such that for $|x|=n>n_{c}$

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Candidates: Modular exponentiation: $f(x)=a^{x} \bmod n$ Modular squaring $f(x)=x^{2} \bmod n, n-a$ Blum integer Prime number multiplication $f(p, q)=p q$.

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New basic idea: To make a clever use of outcomes of computational complexity theory.

## CRYPTOGRAPHY and COMPUTATIONAL COMPLEXITY

Modern cryptography uses such encryption methods that no "enemy" can have enough computational power and time to do decryption (even those capable to use thousands of supercomputers during tens of years for encryption).

Modern cryptography is based on negative and positive results of complexity theory - on the fact that for some algorithm problems no efficient algorithm seem to exists, surprisingly, and for some "small" modifications of these problems, even more surprisingly, simple, fast and good (randomized) algorithms do exist. Examples:

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There is a list of "most wanted to factor integers". Top recent successes, using thousands of computers for months.
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Primes recognition: Is a given $n$ a prime? - fast randomized algorithms exist (1977). The existence of polynomial deterministic algorithms for primes recognition has been shown only in 2002

## COMPUTATIONALLY INFEASIBLE PROBLEMS

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Knapsack problem: Given a (knapsack - integer) vector $X=\left(x_{1}, \ldots, x_{n}\right)$ and an (integer capacity) $c$, find a binary vector $\left(b_{1}, \ldots, b_{n}\right)$ such that

$$
\sum_{i=1}^{n} b_{i} x_{i}=c .
$$

Problem is $N P$-hard in general, but easy if $x_{i}>\sum_{j=1}^{i-1} x_{j}$, for all $1<i \leq n$.

## BIRTH of PUBLIC-KEY CRYPTOGRAPHY- II.

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A way to design a trapdoor one-way function is to transform an easy case of a hard (one-way) function to a hard-looking case of such a function, that can be, however, solved easily by those knowing how the above transformation was performed.


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## FORMAL VIEW of PUBLIC-KEY CRYPTOSYSTEMS

A public-key cryptosystem consists of three fixed and publically known deterministic algorithms:

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## PROBELMS ITH a MASSIVE USE OF PKC

Once PKC is to be used broadly usual a huge machinery has to be established in a country for generating, storing and validation (of validity,....) of public keys.

## PUBLIC KEY CRYPTOGRAPHY based on KNAPSCAK PROBLEM

Interesting and important public key cryptosystems were developed on the base of the KNAPSACK PROBLEM and its modifications

## GENERAL, UNFEASIBLE, KNAPSACK PROBLEM

KNAPSACK PROBLEM: Given an integer-vector $X=\left(x_{1}, \ldots, x_{n}\right)$ and an integer $c$. Determine a binary vector $B=\left(b_{1}, \ldots, b_{n}\right)$ (if possible) such that $X B^{T}=c$.

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Algorithm - to solve knapsack problems with superincreasing vectors:
for $i=n \leftarrow$ downto 2 do
if $c \geq 2 x_{i}$ then terminate $\{$ no solution $\}$ else if $c \geq x_{i}$ then $b_{i} \leftarrow 1 ; c \leftarrow c-x_{i}$; else $b_{i}=0$;
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## KNAPSACK and MCELIECE CRYPTOSYSTEMS

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## Example

If $A=(74,82,94,83,39,99,56,49,73,99)$ and $B=(1100110101)$ then

$$
A B^{T}=
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Lemma Let $X, m, u, X^{\prime}, c, c^{\prime}$ be as defined above. Then the knapsack problem instances $\left(X, c^{\prime}\right)$ and $\left(X^{\prime}, c\right)$ have at most one solution, and if one of them has a solution, then the second one has the same solution.

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Proof Let $X^{\prime} w^{\top}=c$. Then

$$
c^{\prime} \equiv u^{-1} c \equiv u^{-1} X^{\prime} w^{T} \equiv u^{-1} u X w^{T} \equiv X w^{T}(\bmod m)
$$

Since $X$ is superincreasing and $m>2 x_{n}$ we have

$$
\begin{gathered}
\left(X w^{T}\right) \bmod m=X w^{T} \\
c^{\prime}=X w^{T} .
\end{gathered}
$$

and therefore

## DESIGN of KNAPSACK CRYPTOSYSTEMS - EXAMPLE

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In order to encrypt an English plaintext, we first encode its letters by 5-bit numbers _ 00000, A - 00001, B - 00010,... and then divide the resulting binary strings into blocks of length 10 .

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Plaintext: Encoding of AFRICA results in vectors

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w_{1}=(0000100110) \quad w_{2}=(1001001001) \quad w_{3}=(0001100001)
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Encryption:

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c_{1^{\prime}}=X^{\prime} w_{1}^{T}=3061 \quad c_{2^{\prime}}=X^{\prime} w_{2}^{T}=2081 \quad c_{3^{\prime}}=X^{\prime} w_{3}^{T}=2203
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In order to encrypt an English plaintext, we first encode its letters by 5-bit numbers _ 00000, A - 00001, B - 00010,... and then divide the resulting binary strings into blocks of length 10.

Plaintext: Encoding of AFRICA results in vectors

$$
w_{1}=(0000100110) \quad w_{2}=(1001001001) \quad w_{3}=(0001100001)
$$

Encryption:

$$
c_{1^{\prime}}=X^{\prime} w_{1}^{T}=3061 \quad c_{2^{\prime}}=X^{\prime} w_{2}^{T}=2081 \quad c_{3^{\prime}}=X^{\prime} w_{3}^{T}=2203
$$

Cryptotext: $(3061,2081,2203)$
Decryption of cryptotexts: $\quad(2163,2116,1870,3599)$
By multiplying with $u^{-1}=61(\bmod 1250)$ we get new cryptotexts (several new $c^{\prime}$ ) (693, 326, 320, 789)
And, in the binary form, solutions $B$ of equations $X B^{T}=c^{\prime}$ have the form (1101001001, 0110100010, 0000100010, 1011100101)

Therefore, the resulting plaintext is:

## DESIGN of KNAPSACK CRYPTOSYSTEMS - EXAMPLE

Example

$$
\begin{aligned}
& \mathrm{X}=(1,2,4,9,18,35,75,151,302,606) \\
& \mathrm{m}=1250, \mathrm{u}=41 \\
& \mathrm{X}^{\prime}=(41,82,164,369,738,185,575,1191,1132,1096)
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\begin{gathered}
x_{i}^{\prime}=u x_{i} \bmod m, i=1, \ldots, n, \\
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Remark. Density of super-increasing vectors of length $n$ is $\leq \frac{n}{n-1}$

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For our version of the knapsack problem the term Merkle-Hellman (Knapsack) Cryptosystem is often used.

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(McEliece suggested to use $m=10, t=50$.)

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- For a standard selection of parameters the public key is more than 521000 bits long.
- That is why cryptosystem is rarely used in practise in spite of the fact that it has some advantages comparing with RSA cryptosystem discussed next - it has more easy encoding and decoding.


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A special attention will be given in Chapter 7 to the problem of factorization of integers that play such an important role for security of RSA.
In doing that we will illustrate modern distributed techniques to factorize very large integers.

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All that means that in modern cryptography we need, for security reasons, to work with numbers that have no correspondence in the physical reality.

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$■$ SSL/TLS use a combination of PKC and SKC. SSL uses mainly RSA, TLS uses mainly ECC (Elliptic Curves Cryptography).


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In other words. If we know the RSA-encryption of unknown plaintext $w$, we can compute encryption of $w^{2}$ without knowing $w$.

Indeed, if $c=w^{e}$, then $c^{2}=\left(w^{e}\right)^{2}=w^{2 e}=\left(w^{2}\right)^{e}$.

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Method 2 It follows from the Euler Totient Theorem, see the Appendix, that

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if $m<n$ and $G C D(m, n)=1$

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w^{p-1} \equiv 1(\bmod p)
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for any $w$ and any prime $p$.

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## EXPONENTIATION by squaring

Exponentiation (modular) plays the key role in many cryptosystems. If

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$3^{10000} \bmod 13=3$
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っ100 …..」 $\rightarrow$ г1

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$■ e=3, \quad 17, \quad 65.537=2^{16}+1$


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Example of the design and of the use of RSA cryptosystems.

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- By choosing $d=2087$ we get $e=23$
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The problem was solved in 1994 by first factorizing n into one 64 -bit prime and one 65 -bit prime, and then computing the plaintext

THE MAGIC WORDS ARE SQUEMISH OSSIFRAGE
In 2002 RSA inventors received Turing award.

## Abstract of the US RSA patent $4,405,829$

The system includes a communication channel coupled to at least one terminal having an encoding device and to at least one terminal having a decoding device.

A message-to-be-transferred is enciphered to ciphertext at the encoding terminal by encoding a message as a number, $M$, in a predetermined set.

That number is then raised to a first predetermined power (associated with the intended receiver) and finally computed. The remainder of residue, $C$, is ... computed when the exponentiated number is divided by the product of two predetermined prime numbers (associated with the predetermined receiver).

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■ RSA problem: Given a public key $(n, e)$ and a cryptotext $c$ find an $m$ such that $c=m^{e}(\bmod n)$.

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- At night Rivest could not sleep, mediated and all of sudden got an idea. In the morning the paper about RSA was practically written down.


## Ron Rivest, Adi Shamir and Leonard Adleman


Copied from the brochure on LCS

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- This discovery was, however, too early and GCHQ kept it secret and they disclosed their discovery only in 1997, after RSA has been shown very successful.


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$3.2 d$ should not be smaller than $n^{\frac{1}{4}}$. (For $d<n^{\frac{1}{4}}$ a polynomial time algorithm is known to determine $d$ ).

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For example, if $p-q<2 n^{0.25}$
(which for even small 1024-bit values of $n$ is about $3 \cdot 10^{77}$ )
then factoring of $n$ is quite easy.

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Fast randomized algorithms for prime recognition has been known since 1977. One of the simplest one is due to Rabin and will be presented later.

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Several simple and some sophisticated factorization algorithms will be presented and illustrated in the following.

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In 2009 RSA-768, a 768-bits number, was factorized by a team from several institutions. Time needed would be 2000 years on a single 2.2 GHz AND Opterons. Cash price obtained - $30000 \$$.

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Question Is there enough primes (to choose again and again new ones)?
No problem, the number of primes of length 512 bit or less exceeds $10^{150}$.

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(which for even small 1024-bit values of $n$ is about $3 \cdot 10^{77}$ )
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\end{aligned}
$$

and from the multiplicative rule $e_{k}\left(w_{1}\right) e_{k}\left(w_{2}\right)=e_{k}\left(w_{1} w_{2}\right)$.
2 There is an efficient algorithm, on the next slide, to determine the plaintexts $w$ from the cryptotexts $c$ obtained from $w$ by an RSA-encryption provided the efficiently computable function half can be used as the oracle:

## RSA in PRACTICE

- 660-bits integers were already (factorized) broken in practice.
$\square$ 1024-bits integers are currently used as moduli.
- 512-bit integers can be factorized with a device costing $5.000 \$$ in about 10 minutes.
- 1024-bit integers could be factorized in 6 weeks by a device costing 10 millions of dollars.


## ATTACKS on RSA

RSA can be seen as well secure. However, this does not mean that under special circumstances some special attacks can not be successful. Two of such attacks are:

- The first attack succeeds in case the decryption exponent is not large enough. Theorem (Wiener, 1990) Let $n=p q$, where $p$ and $q$ are primes such that $q<p<2 q$ and let $(n, e)$ be such that $d e \equiv 1(\bmod \phi(n))$. If $d<\frac{1}{3} n^{1 / 4}$. then there is an efficient procedure for computing $d$.
- Timing attack P. Kocher (1995) showed that it is possible to discover the decryption exponent by carefully counting the computation times for a series of decryptions. Basic idea: Suppose that Eve is able to observes times Bob needs to decrypt several cryptotext s. Knowing cryptotext and times needed for their decryption, it is possible to determine decryption exponent.


## CASES WHEN RSA IS EASY TO BREAK

- If an user $U$ wants to broadcast a value $x$ to $n$ other users, using for a communication with a user $P_{i}$ a public key $\left(e, N_{i}\right)$, where $e$ is small, by sending $y_{i}=x^{e} \bmod N_{i}$.
- If $e=3$ and $2 / 3$ of the bits of the plaintext are known, then one can decrypt efficiently;
- If $25 \%$ of the least significant bits of the decryption exponent $d$ are known, then $d$ can be computed efficiently.
- If two plaintexts differ only in a (known) window of length $1 / 9$ of the full length and $e=3$, one can decrypt the two corresponding cryptotext.
- Wiener showed how to get secret key efficiently if $n=p q, q<p<2 q$ and $d<\frac{1}{3} n^{0.25}$.


## REFERENCES

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