Part I

Quantum cryptography

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A new and important feature of quantum cryptography is that security of quantum cryptographic protocols is based on the laws of nature – of quantum physics, and not on the unproven assumptions of computational complexity.

Quantum cryptography is the first area of information processing and communication in which quantum physics laws are directly exploited to bring an essential advantage in information processing.

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- Unconditionally secure basic quantum cryptographic primitives, such as bit commitment and oblivious transfer, are impossible.
- Quantum teleportation and pseudo-telepathy are possible.
- Quantum cryptography and quantum networks are already in the developmental stages. Quantum communication between satelites and ground stations were already demonstrated for 1200 km in 2016 in China. That indicates that quantum internet seems possible.

### **BASICS of QUANTUM INFORMATION PROCESSING**

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As an introduction to quantum cryptography the very basic motivations, experiments, principles, concepts and results of quantum information processing and communication will be presented in the next few slides.

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This is very likely to have important consequences for 21th century.

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Quantum physics is full of counter-intuitive, weird, mysterious and even paradoxical events.

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However, do not keep saying to yourself, if you can possibly avoid it,

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BUT HOW CAN IT BE LIKE THAT?

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However, do not keep saying to yourself, if you can possibly avoid it,

#### BUT HOW CAN IT BE LIKE THAT?

Because you will get "down the drain" into a blind alley from which nobody has yet escaped

#### NOBODY KNOWS HOW IT CAN BE LIKE THAT

Richard Feynman (1965): The character of physical law.

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## Main properties of quantum information:

- It is difficult to store, transmit and process quantum information
- There is no way to copy perfectly unknown quantum information
- Measurement of quantum information destroys it, in general.

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In classical computers, information is represented on macroscopic level by bits, which can take one of the two values

In quantum computers, information is represented on microscopic level using qubits, (quantum bits) which can take on any from the following uncountable many values

$$\alpha |0\rangle + \beta |1\rangle$$

where  $\alpha, \beta$  are arbitrary complex numbers such that

$$|\alpha|^2 + |\beta|^2 = 1.$$

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Consequently, on a quantum computer one can "compute' in a single step all  $2^n$  values of a function defined on n-bit inputs.

This enormous massive parallelism is one reason why quantum computing can be so powerful.

#### **BASIC EXPERIMENTS**

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#### **CLASSICAL EXPERIMENTS**

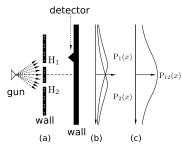


Figure 1: Experiment with bullets

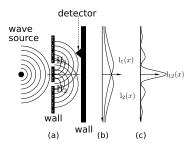
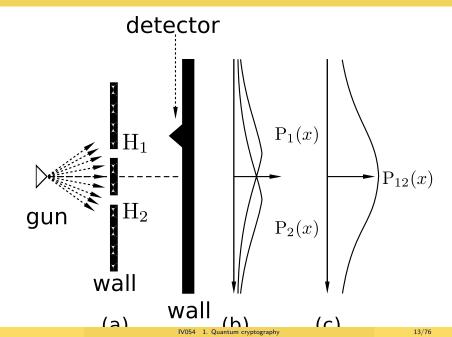
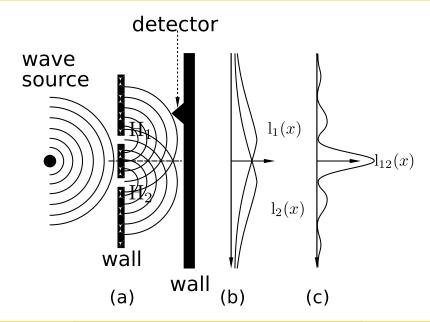


Figure 2: Experiments with waves

## **CLASSICAL EXPERIMENT** with bullets



## **CLASSICAL EXPERIMENT** with waves



## **QUANTUM EXPERIMENTS**

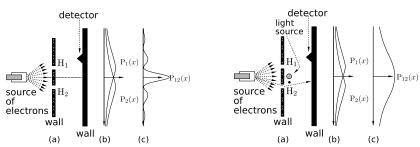
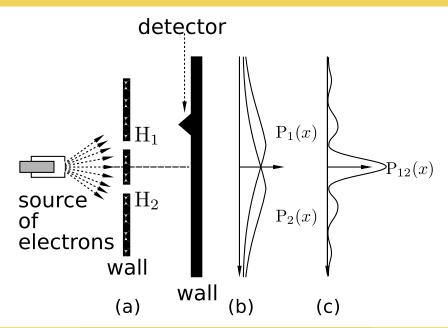


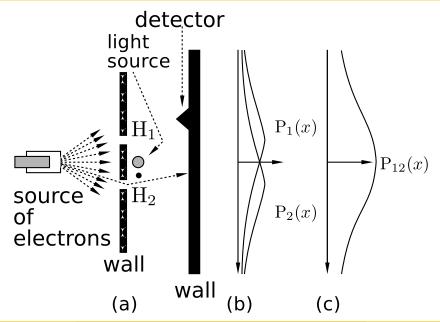
Figure 3: Two-slit experiment

Figure 4: Two-slit experiment with an observation

## TWO-SLIT EXPERIMENT



## TWO-SLIT EXPERIMENT with OBSERVATION



## THREE BASIC PRINCIPLES of QUANTUM WORLD

P1 To each transfer from a quantum state  $\phi$  to a state  $\psi$  a complex number  $\langle \psi | \phi \rangle$ 

is associated. This number is called the probability amplitude of the transfer and  $|\langle\psi|\phi\rangle|^2$ 

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 $\mbox{\bf P2}$  If a transfer from a quantum state  $\phi$  to a quantum state  $\psi$  can be decomposed into two subsequent transfers

$$\psi \leftarrow \phi' \leftarrow \phi$$

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P3 If a transfer from a state  $\phi$  to a state  $\psi$  has two independent alternatives



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Hilbert space  $H_n$  is an n-dimensional complex vector space with

#### scalar product

$$\langle \psi | \phi \rangle = \sum_{i=1}^{n} \phi_{i} \psi_{i}^{*} \text{ of vectors } | \phi \rangle = \begin{vmatrix} \phi_{1} \\ \phi_{2} \\ \vdots \\ \phi_{n} \end{vmatrix}, | \psi \rangle = \begin{vmatrix} \psi_{1} \\ \psi_{2} \\ \vdots \\ \psi_{n} \end{vmatrix},$$

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Given a basis  $B = \{|b_i\rangle\}_{i=1}^n$ , any vector  $|\psi\rangle$  from  $H_n$  can be uniquely expressed in the form:

$$|\psi\rangle = \sum_{i=1}^{n} \alpha_i |b_i\rangle.$$

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$$|\phi\rangle$$
 – ket-vector (a column vector) - an equivalent to  $\phi$ 

$$|\psi|$$
 – bra-vector (a row vector) a linear functional on H

such that 
$$\langle \psi | (|\phi\rangle) = \langle \psi | \phi \rangle$$

#### **EXAMPLES**

Example For states  $\phi = (\phi_1, \dots, \phi_n)$  and  $\psi = (\psi_1, \dots, \psi_n)$  we have

$$|\phi\rangle = \begin{pmatrix} \phi_1 \\ \dots \\ \phi_n \end{pmatrix}, \langle \phi| = (\phi_1^*, \dots, \phi_n^*); \langle \phi|\psi\rangle = \sum_{i=1}^n \phi_i^* \psi_i;$$
$$|\phi\rangle \langle \psi| = \begin{pmatrix} \phi_1 \psi_1^* & \dots & \phi_1 \psi_n^* \\ \vdots & \ddots & \vdots \\ \phi_n \psi_1^* & \dots & \phi_n \psi_n^* \end{pmatrix}$$

EVOLUTION COMPUTATION in

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QUANTUM SYSTEM HILBERT SPACE

is described by

Schrödinger linear equation

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$$|\Phi(t)\rangle = U(t)|\Phi(0)\rangle$$

where

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is the evolution operator that can be represented by a unitary matrix. A step of such an evolution is therefore a multiplication of a "unitary matrix" A with a vector  $|\psi\rangle$ , i.e. A  $|\psi\rangle$ 

## **UNITARY MATRICES**

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# A matrix A is **unitary** if

$$A \cdot A^{\dagger} = A^{\dagger} \cdot A = I$$

where the matrix  $A^{\dagger}$  is obtained from the matrix A by revolving A around the main diagonal and changing all elements by their complex conjugates.

## **QUANTUM (PROJECTION) MEASUREMENTS**

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The subspace into which projection is made is chosen **randomly** and the corresponding probability is uniquely determined by the amplitudes at the representation of  $|\phi\rangle$  as a sum of states of the subspaces.

In case an orthonormal basis  $\{\beta_i\}_{i=1}^n$  is chosen in a Hilbert space  $H_n$ , then any state  $|\phi\rangle\in H_n$  can be expressed in the form

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that if the state  $|\phi\rangle$  is measured with respect to the basis  $\{\beta_i\}_{i=1}^n$ , then the state  $|\phi\rangle$  collapses into the state  $|\beta_i\rangle$  with probability  $|a_i|^2$ .

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The classical "outcome" of the measurement of the state  $|\phi\rangle$  with respect to the basis  $\{\beta_i\}_{i=1}^n$  is the index i of that state  $|\beta_i\rangle$  into which the state  $|\phi\rangle$  collapses.

### **QUBITS**

A qubit is a quantum state in  $H_2$ 

$$|\phi\rangle = \alpha|0\rangle + \beta|1\rangle$$

where 
$$\alpha,\beta\in {\it C}$$
 are such that  $|\alpha|^2+|\beta|^2=1$  and

$$\{|0\rangle,|1\rangle\}$$
 is a (standard) basis of  ${\it H}_{2}$ 

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where  $\alpha,\beta\in {\it C}$  are such that  $|\alpha|^2+|\beta|^2=1$  and

 $\{|0\rangle,|1\rangle\}$  is a **(standard)** basis of  $H_2$ 

#### **EXAMPLE:** Representation of qubits by

- (a) electron in a Hydrogen atom
- (b) a spin-1/2 particle

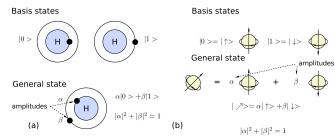


Figure 5: Qubit representations by energy levels of an electron in a hydrogen atom and by a spin-1/2 particle. The condition  $|\alpha|^2 + |\beta|^2 = 1$  is a legal one if  $|\alpha|^2$  and  $|\beta|^2$  are to be the probabilities of being in one of two basis states (of electrons or photons).

# **HILBERT SPACE** $H_2$

# STANDARD BASIS

$$\begin{pmatrix} |0\rangle, |1\rangle \\ 1 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

#### **DUAL BASIS**

$$\begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} \end{pmatrix}$$

# HILBERT SPACE H<sub>2</sub>

$$H|0\rangle = |0'\rangle$$
  $H|0'\rangle = |0\rangle$   
 $H|1\rangle = |1'\rangle$   $H|1'\rangle = |1\rangle$ 

transforms one of the basis into another one.

# HILBERT SPACE H<sub>2</sub>

$$\begin{array}{c} \text{STANDARD BASIS} & \text{DUAL BASIS} \\ |0\rangle, |1\rangle & \\ \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} & \\ \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} \end{pmatrix} \end{array}$$

# Hadamard matrix

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

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# General form of a unitary matrix of degree 2

$$U = e^{i\gamma} \begin{pmatrix} e^{i\alpha} & 0 \\ 0 & e^{-i\alpha} \end{pmatrix} \begin{pmatrix} \cos\theta & i\sin\theta \\ i\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} e^{i\beta} & 0 \\ 0 & e^{-i\beta} \end{pmatrix}$$

#### **PAULI MATRICES**

Very important one-qubit unary operators are the following Pauli operators, expressed in the standard basis as follows;

$$\sigma_{x} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_{y} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_{z} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

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Observe that Pauli matrices transform a qubit state  $|\phi\rangle=\alpha|0\rangle+\beta|1\rangle$  as follows

$$\sigma_{x}(\alpha|0\rangle + \beta|1\rangle) = \beta|0\rangle + \alpha|1\rangle$$
  

$$\sigma_{z}(\alpha|0\rangle + \beta|1\rangle) = \alpha|0\rangle - \beta|1\rangle$$
  

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Operators  $\sigma_x$ ,  $\sigma_z$  and  $\sigma_y$  represent therefore a bit error, a sign error and a bit-sign error.

# **QUANTUM MEASUREMENT of QUBITS**

#### of a qubit state

A qubit state can "contain" unboundly large amount of classical information. However, an unknown quantum state cannot be identified.

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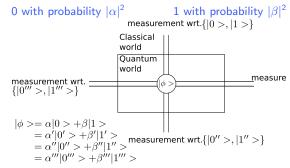
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A probability distribution  $\{(p_i, |\phi_i\rangle)\}_{i=1}^k$  on pure states is called a mixed state to which it is assigned a density operator

$$\rho = \sum_{i=1}^{n} p_i |\phi\rangle\langle\phi_i|.$$

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To two different mixed states can correspond the same density matrix.

Two mixes states with the same density matrix are physically undistinguishable.

#### **MAXIMALLY MIXED STATES**

To the maximally mixed state,

$$\left(\frac{1}{2},|0\rangle\right),\left(\frac{1}{2},|1\rangle\right)$$

representing a random bit, corresponds the density matrix

$$\frac{1}{2}\begin{pmatrix}1\\0\end{pmatrix}(1,0)+\frac{1}{2}\begin{pmatrix}0\\1\end{pmatrix}(0,1)=\frac{1}{2}\begin{pmatrix}1&0\\0&1\end{pmatrix}=\frac{1}{2}I_2$$

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Surprisingly, many other mixed states have density matrix that is the same as that of the maximally mixed state.

# QUANTUM ONE-TIME PAD CRYPTOSYSTEM

# CLASSICAL ONE-TIME PAD cryptosystem

plaintext an n-bit string p shared key an n-bit string k cryptotext an n-bit string c encoding  $c=p\oplus k$  decoding  $p=c\oplus k$ 

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plaintext: an n-qubit string  $|p\rangle = |p_1\rangle \dots |p_n\rangle$ 

shared key: two n-bit strings k,k'

cryptotext: an n-qubit string  $|c\rangle = |c_1\rangle \dots |c_n\rangle$ 

encoding:  $|c_i\rangle = \sigma_x^{k_i} \sigma_z^{k'_i} |p_i\rangle$ 

decoding:  $|p_i\rangle = \sigma_z^{k_i'} \sigma_x^{k_i} |c_i\rangle$ 

are Pauli matrices

# UNCONDITIONAL SECURITY of QUANTUM ONE-TIME PAD

In the case of encryption of a qubit

$$|\phi\rangle = \alpha|0\rangle + \beta|1\rangle$$

by QUANTUM ONE-TIME PAD cryptosystem, what is being transmitted is the mixed state

$$\Big(\frac{1}{4},|\phi\rangle\Big),\Big(\frac{1}{4},\sigma_{\mathsf{x}}|\phi\rangle\Big),\Big(\frac{1}{4},\sigma_{\mathsf{z}}|\phi\rangle\Big),\Big(\frac{1}{4},\sigma_{\mathsf{x}}\sigma_{\mathsf{z}}|\phi\rangle\Big)$$

whose density matrix is

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whose density matrix is

$$\frac{1}{2}I_{2}$$

This density matrix is identical to the density matrix corresponding to that of a random bit, that is to the mixed state

$$\left(\frac{1}{2},\ket{0}\right), \left(\frac{1}{2},\ket{1}\right)$$

# **SHANNON's THEOREMS**

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Shannon classical encryption theorem says that n bits are necessary and sufficient to encrypt securely n bits.

Quantum version of Shannon encryption theorem says that 2n classical bits are necessary and sufficient to encrypt securely n qubits.

# **COMPOSED QUANTUM SYSTEMS (1)**

## Tensor product of vectors

$$(x_1,\ldots,x_n)\otimes(y_1,\ldots,y_m)=(x_1y_1,\ldots,x_1y_m,x_2y_1,\ldots,x_2y_m,\ldots,x_2y_m,\ldots,x_ny_1,\ldots,x_ny_m)$$

Tensor product of matrices 
$$A \otimes B = \begin{pmatrix} a_{11}B & \dots & a_{1n}B \\ \vdots & & \vdots \\ a_{n1}B & \dots & a_{nn}B \end{pmatrix}$$

where 
$$A = \begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ a_{n1} & \dots & a_{nn} \end{pmatrix}$$

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Example 
$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} & 0 & 0 \\ a_{21} & a_{22} & 0 & 0 \\ 0 & 0 & a_{11} & a_{12} \\ 0 & 0 & a_{21} & a_{22} \end{pmatrix}$$

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# **COMPOSED QUANTUM SYSTEMS II**

Tensor product of Hilbert spaces  $H_1 \otimes H_2$  is the complex vector space spanned by tensor products of vectors from  $H_1$  and  $H_2$ . That corresponds to the quantum system composed of the quantum systems corresponding to Hilbert spaces  $H_1$  and  $H_2$ .

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An important difference between classical and quantum systems

A state of a compound classical (quantum) system can be (cannot be) always composed from the states of the subsystem.

## **QUANTUM REGISTERS**

A general state of a 2-qubit register is:

$$|\phi\rangle = \alpha_{00}|00\rangle + \alpha_{01}|01\rangle + \alpha_{10}|10\rangle + \alpha_{11}|11\rangle$$

where

$$|\alpha_{00}|^2 + |\alpha_{01}|^2 + |\alpha_{10}|^2 + |\alpha_{11}|^2 = 1$$

and  $|00\rangle, |01\rangle, |10\rangle, |11\rangle$  are vectors of the "standard" basis of  $H_4$ , i.e.

$$|00\rangle = \begin{pmatrix} 1\\0\\0\\0 \end{pmatrix} |01\rangle = \begin{pmatrix} 0\\1\\0\\0 \end{pmatrix} |10\rangle = \begin{pmatrix} 0\\0\\1\\0 \end{pmatrix} |11\rangle = \begin{pmatrix} 0\\0\\0\\1 \end{pmatrix}$$

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An important unitary matrix of degree 4, to transform states of 2-qubit registers:

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It holds:

$$\mathsf{CNOT}: |x, y\rangle \Rightarrow |x, x \oplus y\rangle$$

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However, CNOT can make copies of the basis states  $|0\rangle, |1\rangle$ : Indeed, for  $x \in \{0,1\}$ ,

$$CNOT(|x\rangle|0\rangle) = |x\rangle|x\rangle$$

#### **BELL STATES**

States

$$\begin{split} |\Phi^{+}\rangle &= \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle), \qquad |\Phi^{-}\rangle = \frac{1}{\sqrt{2}}(|00\rangle - |11\rangle) \\ |\Psi^{+}\rangle &= \frac{1}{\sqrt{2}}(|01\rangle + |10\rangle), \qquad |\Psi^{-}\rangle = \frac{1}{\sqrt{2}}(|01\rangle - |10\rangle) \end{split}$$

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form an orthogonal (so called Bell) basis in  $H_4$  and play an important role in quantum computing.

Theoretically, there is an observable for this basis. However, no one has been able to construct a device for Bell measurement using linear elements only.

A general state of an n-qubit register has the form:

$$|\phi\rangle=\sum_{i=0}^{2^n-1}\alpha_i|i\rangle=\sum_{i\in\{0,1\}^n}\alpha_i|i\rangle$$
, where  $\sum_{i=0}^{2^n-1}|\alpha_i|^2=1$ 

and  $|\phi\rangle$  is a vector in  $H_{2^n}$ .

<sup>&</sup>lt;sup>1</sup>The dot product is defined as follows:  $x \cdot y = \bigotimes_{i=1}^{n} x_i y_i$ .

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Operators on n-qubits registers are unitary matrices of degree  $2^n$ .

IV054 1. Quantum cryptography

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Is it difficult to create a state of an n-qubit register?

In general yes, in some important special cases not. For example, if n-qubit Hadamard transformation

$$H_n = \bigotimes_{i=1}^n H$$
.

is used then

$$|H_n|0^{(n)}\rangle = \bigotimes_{i=1}^n H|0\rangle = \bigotimes_{i=1}^n |0'\rangle = |0'^{(n)}\rangle = \frac{1}{\sqrt{2^n}} \sum_{i=0}^{2^n-1} |i\rangle = \frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} |x\rangle$$

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$$|\phi\rangle=\sum_{i=0}^{2^n-1} \alpha_i |i\rangle=\sum_{i\in\{0,1\}^n} \alpha_i |i\rangle$$
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and  $|\phi\rangle$  is a vector in  $H_{2^n}$ .

Operators on n-qubits registers are unitary matrices of degree  $2^n$ .

Is it difficult to create a state of an n-qubit register?

In general yes, in some important special cases not. For example, if n-qubit Hadamard transformation

$$H_n = \bigotimes_{i=1}^n H$$
.

is used then

$$|H_n|0^{(n)}\rangle = \bigotimes_{i=1}^n H|0\rangle = \bigotimes_{i=1}^n |0'\rangle = |0'^{(n)}\rangle = \frac{1}{\sqrt{2^n}} \sum_{i=0}^{2^n-1} |i\rangle = \frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} |x\rangle$$

and, in general, for  $x \in \{0,1\}^n$ 

$$H_n|x\rangle = \frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} (-1)^{x \cdot y} |y\rangle.$$
<sup>1</sup>

<sup>&</sup>lt;sup>1</sup>The dot product is defined as follows:  $x \cdot y = \bigotimes_{i=1}^{n} x_i y_i$ .

## **QUANTUM PARALLELISM**

lf

$$f: \{0,1,\ldots,2^n-1\} \Rightarrow \{0,1,\ldots,2^n-1\}$$

then the mapping

$$f':(x,0)\Rightarrow(x,f(x))$$

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# OBSERVE THAT IN A SINGLE COMPUTATIONAL STEP 2" VALUES OF f ARE COMPUTED!

In quantum superposition or in quantum parallelism?

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This means that subsequent measurement of other particle (on another planet) provides the same result as the measurement of the first particle. This indicate that in quantum world non-local influences, correlations, exist.

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- To increase capacity of quantum channels

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Quantum key generation, on the other hand, needs to be designed only to be secure against technology available at the moment of key generation.

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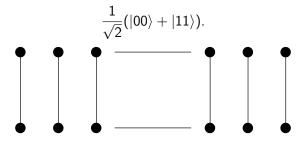
quantum key distribution (QKD)

where one can expect the first

transfer from the experimental to the application stage.

# **QUANTUM KEY GENERATION – EPR METHOD**

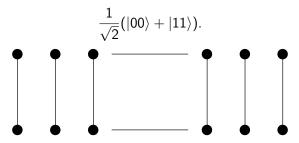
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If both of them measure their particles in the standard basis, then they get, as the classical outcome of their measurements the same random, shared and secret binary key of length n.

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An important property of photons is polarization – it refers to the bias of the electric field in the electromagnetic field of the photon.

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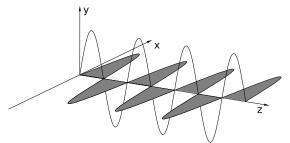


Figure: Linearly polarized photons - visualization

Both vertical and horizontal polarizations are examples of "linear polarizations"

## **CIRCULAR POLARIZATION**

If the free end of the rope is moved around in a circle, then we would get a wave that looks like a corkscrew. This would visualize circular polarization"

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If one photon is polarized diagonally and second in a perpendicular way, we speak about diagonally polarized photons.

## Generation of orthogonally polarized photons.

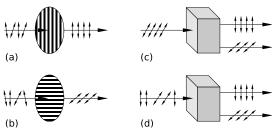


Figure: Photon polarizers and measuring devices

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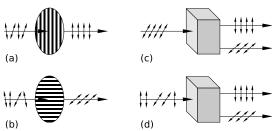


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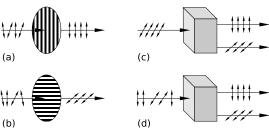


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Fig. d – a calcite crystal can be used to separate horizontally and vertically polarized photons.

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Alice is assumed to have four transmitters of photons in one of the following four polarizations  $0,\,45,\,90$  and 135 degrees

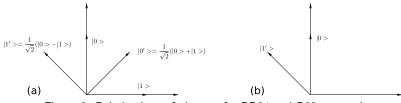


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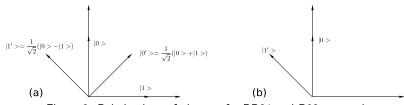


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Bob has a detector that can be set up to distinguish between rectilinear polarizations (0 and 90 degrees) or can be quickly reset to distinguish between diagonal polarizations (45 and 135 degrees).

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To send a bit 0 (1) of her first random sequence through a quantum channel Alice chooses, on the basis of her second random sequence, one of the encodings  $|0\rangle$  or  $|0'\rangle$  ( $|1\rangle$  or  $|1'\rangle$ ), i.e., in the standard or dual basis,

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0  ightarrow  0 angle	$0 \rightarrow B$	0>	0 (prob. 1)	correct
0 -7 10/	1  o D	$\frac{1}{\sqrt{2}}(\ket{0'}+\ket{1'})$	$0/1 \text{ (prob. } \frac{1}{2}\text{)}$	random
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Figure 9 shows the possible results of the measurements and their probabilities.

An example of an encoding – decoding process is in the Figure 10.

#### Raw key extraction

Bob makes public the sequence of bases he used to measure the photons he received – but not the results of the measurements – and Alice tells Bob, through a classical channel, in which cases he has chosen the same basis for measurement as she did for encoding. The corresponding bits then form the basic raw key.

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1	0	0	0	1	1	0	0	0	1	1	Alice's random sequence
$ 1\rangle$	$ 0'\rangle$	$ 0\rangle$	$ 0'\rangle$	$ 1\rangle$	$ 1'\rangle$	$ 0'\rangle$	$ 0\rangle$	$ 0\rangle$	$ 1\rangle$	$ 1'\rangle$	Alice's polarizations
0	1	1	1	0	0	1	0	0	1	0	Bob's random sequence
В	D	D	D	В	В	D	В	В	D	В	Bob's observable
1	0	R	0	1	R	0	0	0	R	R	outcomes

An example of an encoding – decoding process is in the Figure 10.

#### Raw key extraction

Bob makes public the sequence of bases he used to measure the photons he received – but not the results of the measurements – and Alice tells Bob, through a classical channel, in which cases he has chosen the same basis for measurement as she did for encoding. The corresponding bits then form the basic raw key.

1	0	0	0	1	1	0	0	0	1	1	Alice's random sequence
$ 1\rangle$	0'>	$ 0\rangle$	$ 0'\rangle$	$ 1\rangle$	$ 1'\rangle$	$ 0'\rangle$	$ 0\rangle$	$ 0\rangle$	$ 1\rangle$	$ 1'\rangle$	Alice's polarizations
0	1	1	1	0	0	1	0	0	1	0	Bob's random sequence
В	D	D	D	В	В	D	В	В	D	В	Bob's observable
1	0	R	0	1	R	0	0	0	R	R	outcomes

Figure 10: Quantum transmissions in the BB84 protocol – R stands for the case that the result of the measurement is random.

### Test for eavesdropping

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Case 2. Noisy channel. If the subsequences chosen by Alice and Bob contains more errors than the admitable error of the channel (that has to be determined from channel characteristics), then eavesdropping is assumed. Otherwise, the remaining bits are taken as the next result of the raw key generation process.

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In the case of a noisy channel for transmission it may happen that Alice and Bob have different raw keys after the key generation phase.

A way out is to use a special error correction techniques and at the end of this stage both Alice and Bob share identical keys.

Privacy amplification phase

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Privacy amplification is a method how to select a short and very secret binary string s from a longer but less secret string s'. The main idea is simple. If |s| = n, then one picks up n random subsets  $S_1, \ldots, S_n$  of bits of s' and let  $s_i$ , the i-th bit of S, be the parity of  $S_i$ . One way to do it is to take a random binary matrix of size  $|s| \times |s'|$  and to perform multiplication  $Ms'^T$ , where  $s'^T$  is the binary column vector corresponding to s'.

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The point is that even in the case where an eavesdropper knows quite a few bits of s', she will have almost no information about s.

More exactly, if Eve knows parity bits of k subsets of s', then if a random subset of bits of s' is chosen, then the probability that Eve has any information about its parity bit is

less than 
$$\frac{2^{-(n-k-1)}}{\ln 2}$$

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■ Transmissions using optical fibers to the distance of 200 km.

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- Loss of signals in the fiber. (Current error rates: 0,5 4%)
- To move from the experimental to the developmental stage.

# **QUANTUM TELEPORTATION - BASIC SETTING**

Quantum teleportation allows to transmit unknown quantum information to a very distant place in spite of impossibility to measure or to broadcast information to be transmitted.

Alice and Bob share two particles in the EPR-state

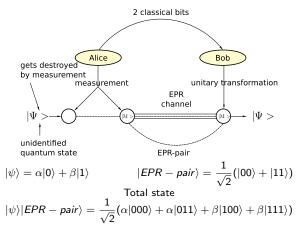
$$| extit{EPR}_{ extit{pair}}
angle = rac{1}{\sqrt{2}}(|00
angle + |11
angle)$$

and then Alice receives another particle in an unknown qubit state

$$|\psi\rangle = \alpha|\mathbf{0}\rangle + \beta|\mathbf{1}\rangle$$

Alice then measure her two particles in the Bell basis.

# **QUANTUM TELEPORTATION - BASIC SETTING I**



Alice measures her two qubits with respect to the "Bell basis":

$$\begin{split} |\Phi^{+}\rangle &= \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle) \\ |\Psi^{+}\rangle &= \frac{1}{\sqrt{2}}(|01\rangle + |10\rangle) \\ \end{split} \qquad \qquad |\Phi^{-}\rangle &= \frac{1}{\sqrt{2}}(|00\rangle - |11\rangle) \\ |\Psi^{-}\rangle &= \frac{1}{\sqrt{2}}(|01\rangle - |10\rangle) \end{split}$$

# **QUANTUM TELEPORTATION II**

Since the total state of all three particles is:

$$|\psi
angle|\mathit{EPR}-\mathit{pair}
angle=rac{1}{\sqrt{2}}(lpha|000
angle+lpha|011
angle+eta|100
angle+eta|111
angle)$$

and can be expressed also as follows:

$$|\psi
angle|EPR-\mathit{pair}
angle=|\Phi^{+}
anglerac{1}{\sqrt{2}}(lpha|0
angle+eta|1
angle)+|\Psi^{+}
anglerac{1}{\sqrt{2}}(eta|0
angle+lpha|1
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angle-lpha|1
angle)+|\Psi^{-}
anglerac{1}{\sqrt{2}}(-eta|0
angle+lpha|1
angle)$$

then the Bell measurement of the first two particles projects the state of Bob's particle into a "small modification"  $|\psi_1\rangle$  of the state  $|\psi\rangle=\alpha|0\rangle+\beta|1\rangle$ ,

$$|\Psi_1\rangle=\text{either }|\Psi\rangle\text{ or }\sigma_{{\it x}}|\Psi\rangle\text{ or }\sigma_{{\it z}}|\Psi\rangle\text{ or }\sigma_{{\it x}}\sigma_{{\it z}}|\psi\rangle$$

# **QUANTUM TELEPORTATION II**

Since the total state of all three particles is:

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and can be expressed also as follows:

$$|\psi\rangle|\mathit{EPR}-\mathit{pair}\rangle = |\Phi^{+}\rangle \frac{1}{\sqrt{2}}(\alpha|0\rangle + \beta|1\rangle) + |\Psi^{+}\rangle \frac{1}{\sqrt{2}}(\beta|0\rangle + \alpha|1\rangle) + |\Phi^{-}\rangle \frac{1}{\sqrt{2}}(\alpha|0\rangle - \beta|1\rangle) + |\Psi^{-}\rangle \frac{1}{\sqrt{2}}(-\beta|0\rangle + \alpha|1\rangle)$$

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The unknown state  $|\psi\rangle$  can therefore be obtained from  $|\psi_1\rangle$  by applying one of the four operations

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These four bits Alice needs to send to Bob using a classical channel (by email, for example).

# **QUANTUM TELEPORTATION III.**

If the first two particles of the state

$$|\psi\rangle|\textit{EPR}-\textit{pair}\rangle = |\Phi^{+}\rangle\frac{1}{\sqrt{2}}(\alpha|0\rangle+\beta|1\rangle) + |\Psi^{+}\rangle\frac{1}{\sqrt{2}}(\beta|0\rangle+\alpha|1\rangle) + |\Phi^{-}\rangle\frac{1}{\sqrt{2}}(\alpha|0\rangle-\beta|1\rangle) + |\Psi^{-}\rangle\frac{1}{\sqrt{2}}(-\beta|0\rangle+\alpha|1\rangle)$$

are measured with respect to the Bell basis then Bob's particle gets into the mixed state

$$\left(\frac{1}{4},\alpha|\mathbf{0}\rangle+\beta|\mathbf{1}\rangle\right)\oplus\left(\frac{1}{4},\alpha|\mathbf{0}\rangle-\beta|\mathbf{1}\rangle\right)\oplus\left(\frac{1}{4},\beta|\mathbf{0}\rangle+\alpha|\mathbf{1}\rangle\right)\oplus\left(\frac{1}{4},\beta|\mathbf{0}\rangle-\alpha|\mathbf{1}\rangle\right)$$

to which corresponds the density matrix

$$\frac{1}{4}\binom{\alpha^*}{\beta^*}(\alpha,\beta) + \frac{1}{4}\binom{\alpha^*}{-\beta^*}(\alpha,-\beta) + \frac{1}{4}\binom{\beta^*}{\alpha^*}(\beta,\alpha) + \frac{1}{4}\binom{\beta^*}{-\alpha^*}(\beta,-\alpha) = \frac{1}{2}I$$

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The resulting density matrix is identical to the density matrix for the mixed state

$$\left(rac{1}{2},\ket{0}
ight)\oplus\left(rac{1}{2},\ket{1}
ight)$$

Indeed, the density matrix for the last mixed state has the form

$$\frac{1}{2}\binom{1}{0}(1,0) + \frac{1}{2}\binom{0}{1}(0,1) = \frac{1}{2}I$$

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- QIPC has been shown to be more efficient in interesting/important cases.

## **UNIVERSAL SETS of QUANTUM GATES**

The main task at quantum computation is to express solution of a given problem P as a unitary matrix U and then to construct a circuit  $C_U$  with elementary quantum gates from a universal sets of quantum gates to realize U.

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A simple universal set of quantum gates consists of gates.

$$\mathit{CNOT} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}, \sigma_z^{\frac{1}{4}} = \begin{pmatrix} 1 & 0 \\ 0 & e^{\frac{\pi}{4}i} \end{pmatrix}$$

#### **FUNDAMENTAL RESULTS**

The first really satisfactory results, concerning universality of gates, have been due to Barenco et al. (1995)

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Theorem 0.2 CNOT gate and elementary rotation gates

$$R_{\alpha}(\theta) = \cos \frac{\theta}{2} I - i \sin \frac{\theta}{2} \sigma_{\alpha}$$
 for  $\alpha \in \{x, y, z\}$ 

form a universal set of gates.

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- Superposition;
- Interference;
- Entanglement;
- Measurement.

Deutsch problem: Given is a black-box function  $f: \{0,1\} \to \{0,1\}$ , how many queries are needed to find out whether f is constant or balanced:

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#### Search of an element in an unordered database of n elements:

Classically n queries are needed in the worst case

Lov Grover showed that quantumly  $\sqrt{n}$  queries are enough

# **FACTORIZATION on QUANTUM COMPUTERS**

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# **FACTORIZATION on QUANTUM COMPUTERS**

In the following we present the basic idea behind a polynomial time algorithm for quantum computers to factorize integers.

Quantum computers works with superpositions of basic quantum states on which very special (unitary) operations are applied and and very special quantum features (non-locality) are used.

Quantum computers work not with bits, that can take on any of two values 0 and 1, but with qubits (quantum bits) that can take on any of infinitely many states  $\alpha|0\rangle+\beta|1\rangle$ , where  $\alpha$  and  $\beta$  are complex numbers such that  $|\alpha|^2+|\beta|^2=1$ .

### **REDUCTIONS**

Shor's polynomial time quantum factorization algorithm is based on an understanding that factorization problem can be reduced

- first on the problem of solving a simple modular quadratic equation;
- second on the problem of finding periods of functions  $f(x) = a^x \mod n$ .

#### FIRST REDUCTION

Lemma If there is a polynomial time deterministic (randomized) [quantum] algorithm to find a nontrivial solution of the modular quadratic equations

$$a^2 \equiv 1 \pmod{n}$$
,

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Lemma If there is a polynomial time deterministic (randomized) [quantum] algorithm to find a nontrivial solution of the modular quadratic equations

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**Proof.** Let  $a \neq \pm 1$  be such that  $a^2 \equiv 1 \pmod{n}$ . Since

$$a^2-1=(a+1)(a-1),$$

if n is not prime, then a prime factor of n has to be a prime factor of either a+1 or a-1. By using Euclid's algorithm to compute

$$gcd(a+1, n)$$
 and  $gcd(a-1, n)$ 

we can find, in  $O(\lg n)$  steps, a prime factor of n.

### **SECOND REDUCTION**

The second key concept is that of the **period** of functions

$$f_{n,x}(k) = x^k \mod n.$$

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Period is the smallest integer r such that

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for any k, i.e. the smallest r such that

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for any k, i.e. the smallest r such that

$$x' \equiv 1 \pmod{n}$$
.

### AN ALGORITHM TO SOLVE EQUATION $x^2 \equiv 1 \pmod{n}$ .

- $\blacksquare$  Choose randomly 1 < a < n.
- $\square$  Compute gcd(a, n). If  $gcd(a, n) \neq 1$  we have a factor.
- $\blacksquare$  Find period r of function  $a^k \mod n$ .
- If r is odd or  $a^{r/2} \equiv \pm 1 \pmod{n}$ , then go to step 1; otherwise stop.

If this algorithm stops, then  $a^{r/2}$  is a non-trivial solution of the equation

$$x^2 \equiv 1 \pmod{n}$$
.

#### **EXAMPLE**

Let n = 15. Select a < 15 such that gcd(a, 15) = 1. {The set of such a is  $\{2, 4, 7, 8, 11, 13, 14\}$ }

Choose a = 11. Values of  $11^x \mod 15$  are then

which gives r = 2.

Hence  $a^{r/2} = 11 \pmod{15}$ . Therefore

$$gcd(15,12) = 3, gcd(15,10) = 5$$

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Hence  $a^{r/2} = 11 \pmod{15}$ . Therefore

$$gcd(15,12) = 3, \qquad gcd(15,10) = 5$$

For a = 14 we get again r = 2, but in this case

$$14^{2/2} \equiv -1 \pmod{15}$$

and the following algorithm fails.

- $\blacksquare$  Choose randomly 1 < a < n.
- $\square$  Compute gcd(a, n). If  $gcd(a, n) \neq 1$  we have a factor.
- $\blacksquare$  Find period r of function  $a^k \mod n$ .
- If r is odd or  $a^{r/2} \equiv \pm 1 \pmod{n}$ , then go to step 1; otherwise stop.

#### **EFFICIENCY of REDUCTION**

**Lemma** If 1 < a < n satisfying gcd(n, a) = 1 is selected in the above algorithm randomly and n is not a power of prime, then

$$Pr\{r \text{ is even and } a^{r/2} \not\equiv \pm 1\} \geq \frac{9}{16}.$$

- $\blacksquare$  Choose randomly 1 < a < n.
- $\square$  Compute gcd(a, n). If  $gcd(a, n) \neq 1$  we have a factor.
- If r independent of r is r independent r is r independent r in r
- If r is odd or  $a^{r/2} \equiv \pm 1 \pmod{n}$ , then go to step 1; otherwise stop.

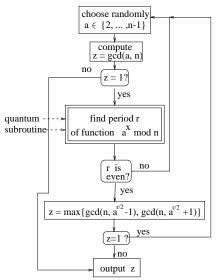
**Corollary** If there is a polynomial time randomized [quantum] algorithm to compute the period of the function

$$f_{n,a}(k) = a^k \mod n$$

then there is a polynomial time randomized [quantum] algorithm to find non-trivial solution of the equation  $a^2 \equiv 1 \pmod{n}$  (and therefore also to factorize integers).

### A GENERAL SCHEME for Shor's ALGORITHM

The following flow diagram shows the general scheme of Shor's quantum factorization algorithm



## SHOR'S QUANTUM FACTORIZATION ALGORITHM

I For given  $n, q = 2^d$ , a create states

$$\frac{1}{\sqrt{q}} \sum_{x=0}^{q-1} |n, a, q, x, \mathbf{0}\rangle \text{ and } \frac{1}{\sqrt{q}} \sum_{x=0}^{q-1} |n, a, q, x, a^x \bmod n\rangle$$

By measuring the last register the state collapses into the state

$$\frac{1}{\sqrt{A+1}}\sum_{j=0}^{A}|n,a,q,jr+l,y\rangle \text{ or, shortly } \frac{1}{\sqrt{A+1}}\sum_{j=0}^{A}|jr+l\rangle,$$

where A is the largest integer such that  $l + Ar \le q$ , r is the period of  $a^x \mod n$  and l is the offset.

In case  $A = \frac{q}{r} - 1$ , the resulting state has the form.

$$\sqrt{\frac{r}{q}}\sum_{j=0}^{\frac{q}{r}-1}|jr+l\rangle$$

By applying quantum Fourier transformation we get then the state

$$\frac{1}{\sqrt{r}}\sum_{j=0}^{r-1}e^{2\pi ilj/r}|j\frac{q}{r}\rangle.$$

By measuring the resulting state we get  $c = \frac{jq}{r}$  and if gcd(j, r) = 1, what is very