

# A Tighter Insertion-based Approximation of the Graph Crossing Number

### Petr Hliněný

Faculty of Informatics, Masaryk University Botanická 68a, 60200 Brno, Czech Rep.

joint work with Markus Chimani Friedrich-Schiller-University Jena, Germany

## 1 Graph Crossing Number

**Definition**. Drawing of a graph G:

- The vertices of G are distinct points, and every edge  $e = uv \in E(G)$  is a simple curve joining u to v.
- No edge passes through another vertex, and no three edges intersect in a common point.



## 1 Graph Crossing Number

**Definition**. Drawing of a graph G:

- The vertices of G are distinct points, and every edge  $e = uv \in E(G)$  is a simple curve joining u to v.
- No edge passes through another vertex, and no three edges intersect in a common point.



**Definition.** Crossing number cr(G) is the smallest number of edge crossings in a drawing of G.

## 1 Graph Crossing Number

**Definition**. Drawing of a graph G:

- The vertices of G are distinct points, and every edge  $e = uv \in E(G)$  is a simple curve joining u to v.
- No edge passes through another vertex, and no three edges intersect in a common point.



**Definition.** Crossing number cr(G) is the smallest number of edge crossings in a drawing of G.

**Warning.** There are slight variations of the definition of crossing number, some giving different numbers! Such as counting *odd-crossing pairs* of edges. [Pelsmajer, Schaeffer, Štefankovič, 2005]...

Importance, e.g.

Т

Importance, e.g.

- VLSI design, cf. Leighton
- Graph visualization

What is hard? i.e., NP-hard

Importance, e.g.

- VLSI design, cf. Leighton
- Graph visualization

What is hard? i.e., NP-hard

• The general case (of course...); [Garey and Johnson, 1983]

Importance, e.g.

- VLSI design, cf. Leighton
- Graph visualization

What is hard? i.e., NP-hard

- The general case (of course...); [Garey and Johnson, 1983]
- The degree-3 and *minor-monotone* cases; [PH, 2004]

Importance, e.g.

- VLSI design, cf. Leighton
- Graph visualization

What is hard? i.e., NP-hard

- The general case (of course...); [Garey and Johnson, 1983]
- The degree-3 and *minor-monotone* cases; [PH, 2004]
- Even fixed rotation scheme; [Pelsmajer, Schaeffer, Štefankovič, 2007]

Importance, e.g.

- VLSI design, cf. Leighton
- Graph visualization

What is hard? i.e., NP-hard

- The general case (of course...); [Garey and Johnson, 1983]
- The degree-3 and *minor-monotone* cases; [PH, 2004]
- Even fixed rotation scheme; [Pelsmajer, Schaeffer, Štefankovič, 2007]
- Much worse hard already for planar graphs plus one edge ! [Cabello and Mohar, 2010]

Can anything be computed efficiently?

• The case of *cubic* planar graphs plus one edge; [Riskin, 1996]

- The case of *cubic* planar graphs plus one edge; [Riskin, 1996]
- FPT when parameterized by itself;

[Grohe, 2001], [Kawarabayashi and Reed, 2007]

- The case of *cubic* planar graphs plus one edge; [Riskin, 1996]
- FPT when parameterized by itself; [Grohe, 2001], [Kawarabayashi and Reed, 2007]
- An exact branch & bound approach for "real-world" graphs on up to ~ 100 vertices;
  [Chimani, Mutzel, and Bomze, 2008]

- The case of *cubic* planar graphs plus one edge; [Riskin, 1996]
- FPT when parameterized by itself; [Grohe, 2001], [Kawarabayashi and Reed, 2007]
- An exact *branch & bound* approach for "real-world" graphs on up to ~ 100 vertices;
  [Chimani, Mutzel, and Bomze, 2008]
- NO rich *natural graph class* with nontrivial and yet efficiently computable crossing number problem is known...

- The case of *cubic* planar graphs plus one edge; [Riskin, 1996]
- FPT when parameterized by itself; [Grohe, 2001], [Kawarabayashi and Reed, 2007]
- An exact branch & bound approach for "real-world" graphs on up to ~ 100 vertices;
  [Chimani, Mutzel, and Bomze, 2008]
- NO rich *natural graph class* with nontrivial and yet efficiently computable crossing number problem is known...

#### Approximations, at least?

• Up to factor  $\log^3 |V(G)| (\log^2 \cdot)$  for cr(G) + |V(G)| with bounded degrees; [Even, Guha and Schieber, 2002]

- The case of *cubic* planar graphs plus one edge; [Riskin, 1996]
- FPT when parameterized by itself; [Grohe, 2001], [Kawarabayashi and Reed, 2007]
- An exact *branch & bound* approach for "real-world" graphs on up to ~ 100 vertices;
  [Chimani, Mutzel, and Bomze, 2008]
- NO rich *natural graph class* with nontrivial and yet efficiently computable crossing number problem is known...

#### Approximations, at least?

- Up to factor  $\log^3 |V(G)| (\log^2 \cdot)$  for cr(G) + |V(G)| with bounded degrees; [Even, Guha and Schieber, 2002]
- Constant factors for surface-embedded bounded-degree graphs;
  [Gitler et al, 2007], [PH and Salazar, 2007], [PH and Chimani, 2010]

**Definition**. Given a planar graph G and a set F of additional edges (vert.?). Find a *drawing of* G + F minimizing the edge crossings ins(G, E) such that the subdrawing of G is plane.

**Definition**. Given a planar graph G and a set F of additional edges (vert.?). Find a *drawing of* G + F minimizing the edge crossings ins(G, E) such that the subdrawing of G is plane.

#### **Particular variants**

• Single edge insertion: solvable in linear time using SPQR trees (easily implementable!); [Gutwenger, Mutzel, and Weiskircher, 2005]

**Definition**. Given a planar graph G and a set F of additional edges (vert.?). Find a *drawing of* G + F minimizing the edge crossings ins(G, E) such that the subdrawing of G is plane.

#### **Particular variants**

- Single edge insertion: solvable in linear time using SPQR trees (easily implementable!);
  [Gutwenger, Mutzel, and Weiskircher, 2005]
- Single vertex insertion: solvable in polynomial time; [Chimani, Gutwenger, Mutzel, and Wolf, 2009]

**Definition**. Given a planar graph G and a set F of additional edges (vert.?). Find a *drawing of* G + F minimizing the edge crossings ins(G, E) such that the subdrawing of G is plane.

#### **Particular variants**

- Single edge insertion: solvable in linear time using SPQR trees (easily implementable!);
  [Gutwenger, Mutzel, and Weiskircher, 2005]
- Single vertex insertion: solvable in polynomial time; [Chimani, Gutwenger, Mutzel, and Wolf, 2009]
- Multiple edge insertion (MEI): for general edge set F is NP-complete;
  [Ziegler, 2001]

**Definition**. Given a planar graph G and a set F of additional edges (vert.?). Find a *drawing of* G + F minimizing the edge crossings ins(G, E) such that the subdrawing of G is plane.

#### **Particular variants**

- Single edge insertion: solvable in linear time using SPQR trees (easily implementable!);
  [Gutwenger, Mutzel, and Weiskircher, 2005]
- Single vertex insertion: solvable in polynomial time; [Chimani, Gutwenger, Mutzel, and Wolf, 2009]
- *Multiple edge insertion (MEI)*: for general edge set *F* is NP-complete; [**Ziegler**, 2001]

**Remark.** Difficulty of insertion problems comes from possible inequivalent embeddings of *G*.

• Single edge insertion  $\leftrightarrow$  almost-planar graph (near-planar) G + e

- Single edge insertion  $\leftrightarrow$  almost-planar graph (near-planar) G + e
  - cr(G+e) approximated by ins(G,e) up to factor  $\Delta(G)$ ;

[PH and Salazar, 2006]

– factor  $\lfloor \Delta(G)/2 \rfloor$ , tight;

[Cabello and Mohar, 2008]

- Single edge insertion  $\leftrightarrow$  almost-planar graph (near-planar) G + e
  - cr(G+e) approximated by ins(G,e) up to factor  $\Delta(G)$ ;

[PH and Salazar, 2006]

- factor  $\lfloor \Delta(G)/2 \rfloor$ , tight; [Cabello and Mohar, 2008]
- Single vertex insertion  $\leftrightarrow$  apex graph G + x (specif. neighbourhood)

- Single edge insertion  $\leftrightarrow$  almost-planar graph (near-planar) G + e
  - cr(G+e) approximated by ins(G,e) up to factor  $\Delta(G)$ ;
    - [PH and Salazar, 2006]
  - factor  $\lfloor \Delta(G)/2 \rfloor$ , tight; [Cabello and Mohar, 2008]
- Single vertex insertion  $\leftrightarrow$  apex graph G + x (specif. neighbourhood)
  - cr(G+x) approximated by ins(G, x) up to factor  $d(x) \cdot \lfloor \Delta(G)/2 \rfloor$ ; [Chimani, PH, and Mutzel, 2008]
  - tight factor half of that? waiting for Cabello–Mohar's turn...

- Single edge insertion  $\leftrightarrow$  almost-planar graph (near-planar) G + e
  - cr(G+e) approximated by ins(G,e) up to factor  $\Delta(G)$ ;
    - [PH and Salazar, 2006]
  - factor  $\lfloor \Delta(G)/2 \rfloor$ , tight; [Cabello and Mohar, 2008]
- Single vertex insertion  $\leftrightarrow$  apex graph G + x (specif. neighbourhood)
  - cr(G+x) approximated by ins(G, x) up to factor  $d(x) \cdot \lfloor \Delta(G)/2 \rfloor$ ; [Chimani, PH, and Mutzel, 2008]

tight factor – half of that? waiting for Cabello–Mohar's turn...

• Multiple edge insertion  $\leftrightarrow$  graph G + F (a very general case)

- Single edge insertion  $\leftrightarrow$  almost-planar graph (near-planar) G + e
  - cr(G+e) approximated by ins(G,e) up to factor  $\Delta(G)$ ;
    - [PH and Salazar, 2006]
  - factor  $\lfloor \Delta(G)/2 \rfloor$ , tight; [Cabello and Mohar, 2008]
- Single vertex insertion  $\leftrightarrow$  apex graph G + x (specif. neighbourhood)
  - cr(G+x) approximated by ins(G, x) up to factor  $d(x) \cdot \lfloor \Delta(G)/2 \rfloor$ ; [Chimani, PH, and Mutzel, 2008]
  - tight factor half of that? waiting for Cabello–Mohar's turn...
- Multiple edge insertion  $\leftrightarrow$  graph G + F (a very general case)

- cr(G + F) approximated by ins(G, F); [Chimani, PH, and Mutzel, 2008]

- however, ins(G, F) is NP-complete! (as well as finding F)

• [Chuzhoy, Makarychev, and Sidiropoulos, 2011 SODA] Using MEI, a solution to cr(G + F) for given planar G and F, with  $\leq O(\Delta(G)^3 \cdot |F| \cdot cr(G + F) + \Delta(G)^3 \cdot |F|^2)$  crossings.

- [Chuzhoy, Makarychev, and Sidiropoulos, 2011 SODA] Using MEI, a solution to cr(G + F) for given planar G and F, with  $\leq O(\Delta(G)^3 \cdot |F| \cdot cr(G + F) + \Delta(G)^3 \cdot |F|^2)$  crossings.
- Our alternative approach directly focuses on approximating MEI:

- [Chuzhoy, Makarychev, and Sidiropoulos, 2011 SODA] Using MEI, a solution to cr(G + F) for given planar G and F, with  $\leq O(\Delta(G)^3 \cdot |F| \cdot cr(G + F) + \Delta(G)^3 \cdot |F|^2)$  crossings.
- Our alternative approach directly focuses on approximating MEI:
  - only additive approximation factor for MEI ins(G, F),
  - consequently improved multiplicative factor for cr(G+F),
  - and practically implementable using SPQR trees.

- [Chuzhoy, Makarychev, and Sidiropoulos, 2011 SODA] Using MEI, a solution to cr(G + F) for given planar G and F, with  $\leq O(\Delta(G)^3 \cdot |F| \cdot cr(G + F) + \Delta(G)^3 \cdot |F|^2)$  crossings.
- Our alternative approach directly focuses on approximating MEI:
  - only additive approximation factor for MEI ins(G, F),
  - consequently improved multiplicative factor for cr(G+F),
  - and practically implementable using SPQR trees.

**Theorem 1.** Given a conn. planar graph G and an edge set F,  $F \cap E(G) = \emptyset$ , Algorithm 2 described below finds, in

$$O(|F| \cdot |V(G)| + |F|^2)$$
 time,

an approximate solution to the MEI problem for G and F with

 $\leq ins(G, F) + (\lfloor \frac{1}{2}\Delta(G) \rfloor + \frac{1}{2}) \cdot (|F|^2 - |F|)$  crossings.

### Gentle introduction to SPQR trees



- Graph broken into the *blocks* first.
- Then, for pairwise gluing on *virtual skeleton edges*, we have got
  - S-nodes for serial skeletons,
  - P-nodes for parallel skeletons,
  - *R*-nodes for 3-connected components.

• *Con-tree* = a combination of a block-cut tree with SPQR trees.

• *Con-tree* = a combination of a block-cut tree with SPQR trees.

Con-chain = a path traversing the con-tree nodes relevant for inserting a specific edge; only the *C-*, *P-*, and *R-nodes* on it do matter.

• *Con-tree* = a combination of a block-cut tree with SPQR trees.

Con-chain = a path traversing the con-tree nodes relevant for inserting a specific edge; only the *C-*, *P-*, and *R-nodes* on it do matter.

Algorithm 2. Computing an approximate solution to the multiple edge insertion problem for a connected planar graph G and new edges F.

1. Build the con-tree  $\mathcal{C}$  of G.

• *Con-tree* = a combination of a block-cut tree with SPQR trees.

Con-chain = a path traversing the con-tree nodes relevant for inserting a specific edge; only the *C-*, *P-*, and *R-nodes* on it do matter.

Algorithm 2. Computing an approximate solution to the multiple edge insertion problem for a connected planar graph G and new edges F.

- 1. Build the con-tree  $\mathcal{C}$  of G.
- 2. Using C, compute single-edge insertions (the con-chains) for each edge  $e \in F$  independently, and centrally store their *embedding preferences*.

• *Con-tree* = a combination of a block-cut tree with SPQR trees.

Con-chain = a path traversing the con-tree nodes relevant for inserting a specific edge; only the *C-*, *P-*, and *R-nodes* on it do matter.

Algorithm 2. Computing an approximate solution to the multiple edge insertion problem for a connected planar graph G and new edges F.

- 1. Build the con-tree  $\mathcal{C}$  of G.
- Using C, compute single-edge insertions (the con-chains) for each edge e ∈ F independently, and centrally store their embedding preferences.
- 3. Fix an *embedding*  $\Gamma$  of G by suitably combining the embedding preferences from step 2 (at least "one happy con-chain per node").

• *Con-tree* = a combination of a block-cut tree with SPQR trees.

Con-chain = a path traversing the con-tree nodes relevant for inserting a specific edge; only the *C-*, *P-*, and *R-nodes* on it do matter.

Algorithm 2. Computing an approximate solution to the multiple edge insertion problem for a connected planar graph G and new edges F.

- 1. Build the con-tree  $\mathcal{C}$  of G.
- Using C, compute single-edge insertions (the con-chains) for each edge e ∈ F independently, and centrally store their embedding preferences.
- 3. Fix an *embedding*  $\Gamma$  of G by suitably combining the embedding preferences from step 2 (at least "one happy con-chain per node").
- Independently compute the *insertion paths* for each edge e ∈ F into the fixed embedding Γ, as shortest dual paths.



A very informal one, neglecting all technical obstacles...

- YOU WANT PROOF? I'LL GIVE YOU PROOF!
- Identify *dirty passes* of con-chains where the con-chain embedding preferences are not happy with the fixed embedding Γ.
- Observe that con-chains rooted through the same neighbourhood are either both happy or both unhappy there.

A very informal one, neglecting all technical obstacles...

- YOU WANT PROOF? I'LL GIVE YOU PROOF!
- Identify *dirty passes* of con-chains where the con-chain embedding preferences are not happy with the fixed embedding Γ.
- Observe that con-chains rooted through the same neighbourhood are either both happy or both unhappy there.
- As every node has some happy con-chain, each dirty pass can be linked to a pair of con-chains that *split/merge* at that pass.

A very informal one, neglecting all technical obstacles...

- YOU WANT PROOF? I'LL GIVE YOU PROOF!
- Identify *dirty passes* of con-chains where the con-chain embedding preferences are not happy with the fixed embedding Γ.
- Observe that con-chains rooted through the same neighbourhood are either both happy or both unhappy there.
- As every node has some happy con-chain, each dirty pass can be linked to a pair of con-chains that *split/merge* at that pass.
- Two con-chains can split/merge twice, hence  $\leq 2\binom{|F|}{2}$  dirty passes.

A very informal one, neglecting all technical obstacles...

- Identify *dirty passes* of con-chains where the con-chain embedding preferences are not happy with the fixed embedding Γ.
- Observe that con-chains rooted through the same neighbourhood are either both happy or both unhappy there.
- As every node has some happy con-chain, each dirty pass can be linked to a pair of con-chains that *split/merge* at that pass.
- Two con-chains can split/merge twice, hence  $\leq 2\binom{|F|}{2}$  dirty passes.
- Every dirty pass is associated with a 1- or 2-cut, and the inserted edge needs  $\leq \lfloor \Delta(G)/2 \rfloor$  crossings to "pass by" it. Altogether

$$\leq ins(G, F) + \left(2\left\lfloor\frac{\Delta(G)}{2}\right\rfloor + 1\right) \cdot \binom{|F|}{2}.$$

YOU WANT PROOF?

**Theorem 3.** Given a planar graph G and an edge set F,  $F \cap E(G) = \emptyset$ , Algorithm 2 finds an approximate solution to cr(G + F) with

 $\leq \lfloor \frac{1}{2}\Delta(G) \rfloor \cdot 2|F| \cdot \operatorname{cr}(G+F) + (\lfloor \frac{1}{2}\Delta(G) \rfloor + \frac{1}{2})(|F|^2 - |F|) \quad \text{crossings.}$ 

**Theorem 3.** Given a planar graph G and an edge set F,  $F \cap E(G) = \emptyset$ , Algorithm 2 finds an approximate solution to cr(G + F) with

 $\leq \lfloor \frac{1}{2}\Delta(G) \rfloor \cdot 2|F| \cdot \operatorname{cr}(G+F) + (\lfloor \frac{1}{2}\Delta(G) \rfloor + \frac{1}{2})(|F|^2 - |F|) \quad \text{crossings.}$ 

• This improves over previous  $O(\Delta(G)^3 \cdot |F| \cdot cr(G+F) + \Delta(G)^3 \cdot |F|^2)$ 

**Theorem 3.** Given a planar graph G and an edge set F,  $F \cap E(G) = \emptyset$ , Algorithm 2 finds an approximate solution to cr(G + F) with

 $\leq \lfloor \frac{1}{2}\Delta(G) \rfloor \cdot 2|F| \cdot \operatorname{cr}(G+F) + (\lfloor \frac{1}{2}\Delta(G) \rfloor + \frac{1}{2})(|F|^2 - |F|) \quad \text{crossings.}$ 

- This improves over previous  $O(\Delta(G)^3 \cdot |F| \cdot cr(G+F) + \Delta(G)^3 \cdot |F|^2)$
- ... with a simpler algorithm and a simpler proof.

**Theorem 3.** Given a planar graph G and an edge set F,  $F \cap E(G) = \emptyset$ , Algorithm 2 finds an approximate solution to cr(G + F) with

 $\leq \lfloor \frac{1}{2}\Delta(G) \rfloor \cdot 2|F| \cdot \operatorname{cr}(G+F) + (\lfloor \frac{1}{2}\Delta(G) \rfloor + \frac{1}{2})(|F|^2 - |F|) \quad \text{crossings.}$ 

- This improves over previous  $O(\Delta(G)^3 \cdot |F| \cdot cr(G+F) + \Delta(G)^3 \cdot |F|^2)$
- ... with a simpler algorithm and a simpler proof.

### 5 Final Remark and Question

- In the MEI problem, the  $O(\Delta(G)\cdot|F|^2)$  additive factor should be replaced with as. tight

 $O(\Delta(G) \cdot |F| \log |F| + |F|^2).$ 

**Theorem 3.** Given a planar graph G and an edge set F,  $F \cap E(G) = \emptyset$ , Algorithm 2 finds an approximate solution to cr(G + F) with

 $\leq \lfloor \frac{1}{2}\Delta(G) \rfloor \cdot 2|F| \cdot \operatorname{cr}(G+F) + (\lfloor \frac{1}{2}\Delta(G) \rfloor + \frac{1}{2})(|F|^2 - |F|) \quad \text{crossings.}$ 

- This improves over previous  $O(\Delta(G)^3 \cdot |F| \cdot cr(G+F) + \Delta(G)^3 \cdot |F|^2)$
- ... with a simpler algorithm and a simpler proof.

## 5 Final Remark and Question

- In the MEI problem, the  $O(\Delta(G)\cdot|F|^2)$  additive factor should be replaced with as. tight

 $O(\Delta(G) \cdot |F| \log |F| + |F|^2).$ 

• Can the MEI (G, F) problem have, say, an FPT algorithm wrt. |F|?