## On efficient solvability of graph problems parameterized by "width" (rank-width)

## Petr Hliněný

Faculty of Informatics, Masaryk University
Botanická 68a, 60200 Brno, Czech Republic
e-mail: hlineny@fi.muni.cz http://www.fi.muni.cz/~hlineny
Talk based on joint work with R. Ganian and J. Obdržálek.

## 1 Decomposing the Input and running Dynamic Algorithms

- A typical idea for a dynamic algorithm on a recursive decomposition:
- Capture all relevant inform. about the problem on a substructure.


## 1 Decomposing the Input and running Dynamic Algorithms

- A typical idea for a dynamic algorithm on a recursive decomposition:
- Capture all relevant inform. about the problem on a substructure.
- Process this information bottom-up in the decomposition.


## 1 Decomposing the Input and running Dynamic Algorithms

- A typical idea for a dynamic algorithm on a recursive decomposition:
- Capture all relevant inform. about the problem on a substructure.
- Process this information bottom-up in the decomposition.
- Importantly, this information has size depending only on $k$ (ideally, not on the structure size), or at most polynomial size (cf. XP)...


## 1 Decomposing the Input and running Dynamic Algorithms

- A typical idea for a dynamic algorithm on a recursive decomposition:
- Capture all relevant inform. about the problem on a substructure.
- Process this information bottom-up in the decomposition.
- Importantly, this information has size depending only on $k$ (ideally, not on the structure size), or at most polynomial size (cf. XP)...
- How to understand words "all relevant information about the problem"? Use "tables"?


## 1 Decomposing the Input and running Dynamic Algorithms

- A typical idea for a dynamic algorithm on a recursive decomposition:
- Capture all relevant inform. about the problem on a substructure.
- Process this information bottom-up in the decomposition.
- Importantly, this information has size depending only on $k$ (ideally, not on the structure size), or at most polynomial size (cf. XP). . .
- How to understand words "all relevant information about the problem"? Use "tables"? Or...

Look for inspiration in traditional finite automata theory!
Theorem. [Myhill-Nerode, folklore]

## 1 Decomposing the Input and running Dynamic Algorithms

- A typical idea for a dynamic algorithm on a recursive decomposition:
- Capture all relevant inform. about the problem on a substructure.
- Process this information bottom-up in the decomposition.
- Importantly, this information has size depending only on $k$ (ideally, not on the structure size), or at most polynomial size (cf. XP). . .
- How to understand words "all relevant information about the problem"? Use "tables"? Or...

Look for inspiration in traditional finite automata theory!
Theorem. [Myhill-Nerode, folklore]
Finite automaton states (this is our information) $\Longleftrightarrow$
right congruence classes on the words (of a regular language).

## 1 Decomposing the Input and running Dynamic Algorithms

- A typical idea for a dynamic algorithm on a recursive decomposition:
- Capture all relevant inform. about the problem on a substructure.
- Process this information bottom-up in the decomposition.
- Importantly, this information has size depending only on $k$ (ideally, not on the structure size), or at most polynomial size (cf. XP). . .
- How to understand words "all relevant information about the problem"? Use "tables"? Or...

Look for inspiration in traditional finite automata theory!
Theorem. [Myhill-Nerode, folklore]
Finite automaton states (this is our information) $\Longleftrightarrow$
right congruence classes on the words (of a regular language).

- Explicit comb. extensions of this concept appeared e.g. in the works [Abrahamson and Fellows, 93], [PH, 03], or [Ganian and PH, 08].


## 2 The Concept of a Canonical Equivalence

How does the right congruence extend
from formal words with the concatention operation to, say, graphs with a kind of a "join" operation?

## 2 The Concept of a Canonical Equivalence

How does the right congruence extend
from formal words with the concatention operation to, say, graphs with a kind of a "join" operation?

- Consider the universe of structures $\mathcal{U}_{k}$ implicitly associated with
- some (small) distinguished "boundary of size $k$ " of each graph, and
- a join operation $G \otimes H$ acting on the boundaries of disjoint $G, H$.
- Let $\mathcal{P}$ be a (decision) property we study.


## 2 The Concept of a Canonical Equivalence

How does the right congruence extend
from formal words with the concatention operation to, say, graphs with a kind of a "join" operation?

- Consider the universe of structures $\mathcal{U}_{k}$ implicitly associated with
- some (small) distinguished "boundary of size $k$ " of each graph, and
- a join operation $G \otimes H$ acting on the boundaries of disjoint $G, H$.
- Let $\mathcal{P}$ be a (decision) property we study.

Definition. The canonical equivalence of $\mathcal{P}$ on $\mathcal{U}_{k}$ is defined:
$G_{1} \approx_{\mathcal{P}, k} G_{2}$ for any $G_{1}, G_{2} \in \mathcal{U}_{k}$ if and only if, for all $H \in \mathcal{U}_{k}$,

$$
G_{1} \otimes H \in \mathcal{P} \Longleftrightarrow G_{2} \otimes H \in \mathcal{P}
$$

## 2 The Concept of a Canonical Equivalence

How does the right congruence extend
from formal words with the concatention operation to, say, graphs with a kind of a "join" operation?

- Consider the universe of structures $\mathcal{U}_{k}$ implicitly associated with
- some (small) distinguished "boundary of size $k$ " of each graph, and
- a join operation $G \otimes H$ acting on the boundaries of disjoint $G, H$.
- Let $\mathcal{P}$ be a (decision) property we study.

Definition. The canonical equivalence of $\mathcal{P}$ on $\mathcal{U}_{k}$ is defined:
$G_{1} \approx_{\mathcal{P}, k} G_{2}$ for any $G_{1}, G_{2} \in \mathcal{U}_{k}$ if and only if, for all $H \in \mathcal{U}_{k}$,

$$
G_{1} \otimes H \in \mathcal{P} \Longleftrightarrow G_{2} \otimes H \in \mathcal{P}
$$

- Informally, the classes of $\approx_{\mathcal{P}, k}$ capture all information about the property $\mathcal{P}$ that can "cross" our boundary of size $k$
(regardless of the actual meaning of "boundary" and "join").


## Decision properties, or more?

Definition. The canonical equivalence of $\mathcal{P}$ on the universe $\mathcal{U}_{k}$ is defined:
$G_{1} \approx_{\mathcal{P}, k} G_{2}$ for any $G_{1}, G_{2} \in \mathcal{U}_{k}$ if and only if, for all $H \in \mathcal{U}_{k}$, $G_{1} \otimes H \in \mathcal{P} \Longleftrightarrow G_{2} \otimes H \in \mathcal{P}$

## Decision properties, or more?

Definition. The canonical equivalence of $\mathcal{P}$ on the universe $\mathcal{U}_{k}$ is defined:
$G_{1} \approx_{\mathcal{P}, k} G_{2}$ for any $G_{1}, G_{2} \in \mathcal{U}_{k}$ if and only if, for all $H \in \mathcal{U}_{k}$, $G_{1} \otimes H \in \mathcal{P} \Longleftrightarrow G_{2} \otimes H \in \mathcal{P}$.

- Not only deciding the exist. of a solution, but want to find it / optimize!


## Decision properties, or more?

Definition. The canonical equivalence of $\mathcal{P}$ on the universe $\mathcal{U}_{k}$ is defined:
$G_{1} \approx_{\mathcal{P}, k} G_{2}$ for any $G_{1}, G_{2} \in \mathcal{U}_{k}$ if and only if, for all $H \in \mathcal{U}_{k}$, $G_{1} \otimes H \in \mathcal{P} \Longleftrightarrow G_{2} \otimes H \in \mathcal{P}$.

- Not only deciding the exist. of a solution, but want to find it / optimize!
- So, let $G_{1}, G_{2}$ and $H$ be assoc. with a "solution fragment", say $\varphi$.


## Decision properties, or more?

Definition. The canonical equivalence of $\mathcal{P}$ on the universe $\mathcal{U}_{k}$ is defined:
$G_{1} \approx_{\mathcal{P}, k} G_{2}$ for any $G_{1}, G_{2} \in \mathcal{U}_{k}$ if and only if, for all $H \in \mathcal{U}_{k}$,

$$
G_{1} \otimes H \in \mathcal{P} \Longleftrightarrow G_{2} \otimes H \in \mathcal{P} .
$$

- Not only deciding the exist. of a solution, but want to find it / optimize!
- So, let $G_{1}, G_{2}$ and $H$ be assoc. with a "solution fragment", say $\varphi$.

Definition, II. The canonical equivalence of $\mathcal{P}$ on the extended universe $\mathcal{U}_{k}$ (of structures equipped with possible solution fragments) is defined:
$\left(G_{1}, \varphi_{1}\right) \approx_{\mathcal{P}, k}\left(G_{2}, \varphi_{2}\right)$ for $\left(G_{i}, \varphi_{i}\right) \in \mathcal{U}_{k}$ if and only if, for all $(H, \varphi) \in \mathcal{U}_{k}$,

$$
\left(G_{1}, \varphi_{1}\right) \otimes(H, \varphi) \models \mathcal{P} \Longleftrightarrow\left(G_{2}, \varphi_{2}\right) \otimes(H, \varphi) \models \mathcal{P}
$$

## Decision properties, or more?

Definition. The canonical equivalence of $\mathcal{P}$ on the universe $\mathcal{U}_{k}$ is defined:
$G_{1} \approx_{\mathcal{P}, k} G_{2}$ for any $G_{1}, G_{2} \in \mathcal{U}_{k}$ if and only if, for all $H \in \mathcal{U}_{k}$,

$$
G_{1} \otimes H \in \mathcal{P} \quad \Longleftrightarrow \quad G_{2} \otimes H \in \mathcal{P} .
$$

- Not only deciding the exist. of a solution, but want to find it / optimize!
- So, let $G_{1}, G_{2}$ and $H$ be assoc. with a "solution fragment", say $\varphi$.

Definition, II. The canonical equivalence of $\mathcal{P}$ on the extended universe $\mathcal{U}_{k}$ (of structures equipped with possible solution fragments) is defined:
$\left(G_{1}, \varphi_{1}\right) \approx_{\mathcal{P}, k}\left(G_{2}, \varphi_{2}\right)$ for $\left(G_{i}, \varphi_{i}\right) \in \mathcal{U}_{k}$ if and only if, for all $(H, \varphi) \in \mathcal{U}_{k}$,

$$
\left(G_{1}, \varphi_{1}\right) \otimes(H, \varphi) \models \mathcal{P} \Longleftrightarrow\left(G_{2}, \varphi_{2}\right) \otimes(H, \varphi) \models \mathcal{P} .
$$

- For simplicity, solution fragments $\varphi$ can be "embedded" in $\mathcal{U}_{k}$ and $\otimes$.


## Decision properties, or more?

Definition. The canonical equivalence of $\mathcal{P}$ on the universe $\mathcal{U}_{k}$ is defined:
$G_{1} \approx_{\mathcal{P}, k} G_{2}$ for any $G_{1}, G_{2} \in \mathcal{U}_{k}$ if and only if, for all $H \in \mathcal{U}_{k}$,

$$
G_{1} \otimes H \in \mathcal{P} \quad \Longleftrightarrow \quad G_{2} \otimes H \in \mathcal{P} .
$$

- Not only deciding the exist. of a solution, but want to find it / optimize!
- So, let $G_{1}, G_{2}$ and $H$ be assoc. with a "solution fragment", say $\varphi$.

Definition, II. The canonical equivalence of $\mathcal{P}$ on the extended universe $\mathcal{U}_{k}$ (of structures equipped with possible solution fragments) is defined:
$\left(G_{1}, \varphi_{1}\right) \approx_{\mathcal{P}, k}\left(G_{2}, \varphi_{2}\right)$ for $\left(G_{i}, \varphi_{i}\right) \in \mathcal{U}_{k}$ if and only if, for all $(H, \varphi) \in \mathcal{U}_{k}$,

$$
\left(G_{1}, \varphi_{1}\right) \otimes(H, \varphi) \models \mathcal{P} \Longleftrightarrow\left(G_{2}, \varphi_{2}\right) \otimes(H, \varphi) \models \mathcal{P} .
$$

- For simplicity, solution fragments $\varphi$ can be "embedded" in $\mathcal{U}_{k}$ and $\otimes$.
- Can, e.g., count the solutions in each class of $\approx_{\mathcal{P}, k}$, or keep an opt. one.


## 3 From a Canonical equivalence to an Algorithm

To give an algorith. usable meaning to the terms "boundary, join, and universe," we set them in the context of tree-shaped decompositions as follows...

## 3 From a Canonical equivalence to an Algorithm

To give an algorith. usable meaning to the terms "boundary, join, and universe," we set them in the context of tree-shaped decompositions as follows...
Parse trees of decompositions

- Considering a rooted ??-decomposition of a graph $G$, we build on the following correspondence: boundary size $k \leftrightarrow$ restricted bag-size / width / etc in decomposition join operator $\otimes \leftrightarrow \quad$ the way pieces of $G$ "stick together" in decomp.


## 3 From a Canonical equivalence to an Algorithm

To give an algorith. usable meaning to the terms "boundary, join, and universe," we set them in the context of tree-shaped decompositions as follows...
Parse trees of decompositions

- Considering a rooted ??-decomposition of a graph $G$, we build on the following correspondence:
boundary size $k \leftrightarrow$ restricted bag-size / width / etc in decomposition join operator $\otimes \leftrightarrow \quad$ the way pieces of $G$ "stick together" in decomp.
- This can be (visually) seen as. . .


The Myhill-Nerode theorem, and beyond
"Turn" a canonical equivalence into an algorithm. usable thing. . . The case of
t a finite canonical index, i.e. $O(f(k))$ classes in the equivalence.

## The Myhill-Nerode theorem, and beyond

"Turn" a canonical equivalence into an algorithm. usable thing. . . The case of $\star$ a finite canonical index, i.e. $O(f(k))$ classes in the equivalence.

Then immediately:
Theorem. Canonical equivalence classes $\Longleftrightarrow$ the states of a finite tree automaton $\mathcal{A}$ for the property $\mathcal{P}$.

## The Myhill-Nerode theorem, and beyond

"Turn" a canonical equivalence into an algorithm. usable thing. . . The case of * a finite canonical index, i.e. $O(f(k))$ classes in the equivalence.

Then immediately:
Theorem. Canonical equivalence classes $\Longleftrightarrow$ the states of a finite tree automaton $\mathcal{A}$ for the property $\mathcal{P}$.

- This automaton can be easily simulated in linear time.


## The Myhill-Nerode theorem, and beyond

"Turn" a canonical equivalence into an algorithm. usable thing. . . The case of太 a finite canonical index, i.e. $O(f(k))$ classes in the equivalence.

Then immediately:
Theorem. Canonical equivalence classes $\Longleftrightarrow$ the states of a finite tree automaton $\mathcal{A}$ for the property $\mathcal{P}$.

- This automaton can be easily simulated in linear time.
- A little more work can find a satisfying valuation of the free variables in $\mathcal{P}$, or to enumerate all possible solutions.


## The Myhill-Nerode theorem, and beyond

"Turn" a canonical equivalence into an algorithm. usable thing. . . The case of
$\star$ a finite canonical index, i.e. $O(f(k))$ classes in the equivalence.
Then immediately:
Theorem. Canonical equivalence classes $\Longleftrightarrow$ the states of a finite tree automaton $\mathcal{A}$ for the property $\mathcal{P}$.

- This automaton can be easily simulated in linear time.
- A little more work can find a satisfying valuation of the free variables in $\mathcal{P}$, or to enumerate all possible solutions.
- And most importantly, the transition function of $\mathcal{A}$ can be hard-coded into the algorithm!


## The Myhill-Nerode theorem, and beyond

"Turn" a canonical equivalence into an algorithm. usable thing. . . The case of
太 a finite canonical index, i.e. $O(f(k))$ classes in the equivalence.
Then immediately:
Theorem. Canonical equivalence classes $\Longleftrightarrow$ the states of a finite tree automaton $\mathcal{A}$ for the property $\mathcal{P}$.

- This automaton can be easily simulated in linear time.
- A little more work can find a satisfying valuation of the free variables in $\mathcal{P}$, or to enumerate all possible solutions.
- And most importantly, the transition function of $\mathcal{A}$ can be hard-coded into the algorithm!
$\rightarrow$ We do not need to know the equivalence classes exactly and constructively, just enough to have some (weak) estimate on them...
... and beyond Myhill-Nerode
A canonical equivalence into an algorithm. usable thing. The second case of
* a polynomial canonical index, i.e. $O\left(n^{f(k)}\right)$ classes in the equivalence:
... and beyond Myhill-Nerode
A canonical equivalence into an algorithm. usable thing. The second case of
* a polynomial canonical index, i.e. $O\left(n^{f(k)}\right)$ classes in the equivalence:

Unfortunately, no finite automaton, no hard-coded transition function... hence no immediate conclusion this time.
... and beyond Myhill-Nerode
A canonical equivalence into an algorithm. usable thing. The second case of

* a polynomial canonical index, i.e. $O\left(n^{f(k)}\right)$ classes in the equivalence:

Unfortunately, no finite automaton, no hard-coded transition function... hence no immediate conclusion this time.

- Need to precisely describe the classes of (mostly; some refinement of) the canonical equivalence of $\mathcal{P}$.
... and beyond Myhill-Nerode
A canonical equivalence into an algorithm. usable thing. The second case of
* a polynomial canonical index, i.e. $O\left(n^{f(k)}\right)$ classes in the equivalence:

Unfortunately, no finite automaton, no hard-coded transition function... hence no immediate conclusion this time.

- Need to precisely describe the classes of (mostly; some refinement of) the canonical equivalence of $\mathcal{P}$.
- Can this description be parsed along the tree in XP time?
... and beyond Myhill-Nerode
A canonical equivalence into an algorithm. usable thing. The second case of
* a polynomial canonical index, i.e. $O\left(n^{f(k)}\right)$ classes in the equivalence:

Unfortunately, no finite automaton, no hard-coded transition function... hence no immediate conclusion this time.

- Need to precisely describe the classes of (mostly; some refinement of) the canonical equivalence of $\mathcal{P}$.
- Can this description be parsed along the tree in XP time? Not clear... In other words, can we compute the assoc. "transition funct." efficiently? If so, then everything else again works smoothly as above.
... and beyond Myhill-Nerode
A canonical equivalence into an algorithm. usable thing. The second case of
* a polynomial canonical index, i.e. $O\left(n^{f(k)}\right)$ classes in the equivalence:

Unfortunately, no finite automaton, no hard-coded transition function... hence no immediate conclusion this time.

- Need to precisely describe the classes of (mostly; some refinement of) the canonical equivalence of $\mathcal{P}$.
- Can this description be parsed along the tree in XP time? Not clear... In other words, can we compute the assoc. "transition funct." efficiently? If so, then everything else again works smoothly as above.
- Both positive and negative examples will be given further.


## 4 Clique-width and Rank-width

How "tree-like" a graph is in some well-defined sense (the width)?

- A topic occuring both in pure theory (e.g. Graph Minors), and in algorithms (Fixed parameter tractability).


## 4 Clique-width and Rank-width

How "tree-like" a graph is in some well-defined sense (the width)?

- A topic occuring both in pure theory (e.g. Graph Minors), and in algorithms (Fixed parameter tractability).
- Many definitions known, e.g. tree-width, path-width, branch-width, DAG-width ...


## 4 Clique-width and Rank-width

How "tree-like" a graph is in some well-defined sense (the width)?

- A topic occuring both in pure theory (e.g. Graph Minors), and in algorithms (Fixed parameter tractability).
- Many definitions known, e.g. tree-width, path-width, branch-width, DAG-width ...

Clique-width - another graph complexity measure [Courcelle and Olariu, 00], defined by the operations on vertex-labeled $(1,2, \ldots, k)$ graphs:

- create a new vertex with label $i$,
- take the disjoint union of two labeled graphs,
- add all edges between vertices of label $i$ and label $j$,
- and relabel all vertices with label $i$ to have label $j$.


## 4 Clique-width and Rank-width

How "tree-like" a graph is in some well-defined sense (the width)?

- A topic occuring both in pure theory (e.g. Graph Minors), and in algorithms (Fixed parameter tractability).
- Many definitions known, e.g. tree-width, path-width, branch-width, DAG-width ...

Clique-width - another graph complexity measure [Courcelle and Olariu, 00], defined by the operations on vertex-labeled $(1,2, \ldots, k)$ graphs:

- create a new vertex with label $i$,
- take the disjoint union of two labeled graphs,
- add all edges between vertices of label $i$ and label $j$,
- and relabel all vertices with label $i$ to have label $j$.
$\longrightarrow$ giving the expression tree (parse tree) for clique-width.


## 4 Clique-width and Rank-width

How "tree-like" a graph is in some well-defined sense (the width)?

- A topic occuring both in pure theory (e.g. Graph Minors), and in algorithms (Fixed parameter tractability).
- Many definitions known, e.g. tree-width, path-width, branch-width, DAG-width ...

Clique-width - another graph complexity measure [Courcelle and Olariu, 00], defined by the operations on vertex-labeled $(1,2, \ldots, k)$ graphs:

- create a new vertex with label $i$,
- take the disjoint union of two labeled graphs,
- add all edges between vertices of label $i$ and label $j$,
- and relabel all vertices with label $i$ to have label $j$.
$\longrightarrow$ giving the expression tree (parse tree) for clique-width.
$\longrightarrow$ A problem - no known way how to construct an expression tree!


## Rank-decomposition

- [Oum and Seymour, 03] Bringing the branch-decomposition approach to measure "complexity" of vertex subsets $X \subseteq V(G)$ via cut-rank:

$$
\left.\varrho_{G}(X)=\text { rank of } X(G)-X, \begin{array}{ccccc}
0 & 1 & 0 & 0 & 1 \\
1 & 0 & 1 & 0 & 0 \\
1 & 0 & 0 & 1 & 1
\end{array}\right) \text { modulo 2 }
$$

## Rank-decomposition

- [Oum and Seymour, 03] Bringing the branch-decomposition approach to measure "complexity" of vertex subsets $X \subseteq V(G)$ via cut-rank:

$$
\varrho_{G}(X)=\text { rank of } X\left(\begin{array}{ccccc}
0 & 1 & 0 & 0 & 1 \\
1 & 0 & 1 & 0 & 0 \\
1 & 0 & 0 & 1 & 1
\end{array}\right) \text { modulo } 2
$$

Definition. Decompose $V(G)$ one-to-one into the leaves of a subcubic tree. Then


$$
\text { width }(e)=\varrho_{G}(X) \text { where } X \text { is displayed by } f \text { in the tree. }
$$

## Rank-decomposition

- [Oum and Seymour, 03] Bringing the branch-decomposition approach to measure "complexity" of vertex subsets $X \subseteq V(G)$ via cut-rank:

$$
\varrho_{G}(X)=\text { rank of } X\left(\begin{array}{ccccc}
0 & 1 & 0 & 0 & 1 \\
1 & 0 & 1 & 0 & 0 \\
1 & 0 & 0 & 1 & 1
\end{array}\right) \text { modulo } 2
$$

Definition. Decompose $V(G)$ one-to-one into the leaves of a subcubic tree. Then


$$
\text { width }(e)=\varrho_{G}(X) \text { where } X \text { is displayed by } f \text { in the tree. }
$$

- Rank-width $=\min _{\text {rank-decs. of } G} \max \{$ width $(f): f$ tree edge $\}$

Comparing rank-width to clique-width

- Rank-width is related to clique-width as $r w \leq c w \leq 2^{r w+1}-1$.


## Comparing rank-width to clique-width

- Rank-width is related to clique-width as $r w \leq c w \leq 2^{r w+1}-1$.
- Clique-width can really be up to exponentially higher than rank-width.


## Comparing rank-width to clique-width

- Rank-width is related to clique-width as $r w \leq c w \leq 2^{r w+1}-1$.
- Clique-width can really be up to exponentially higher than rank-width.
- [Oum and PH, 08] There is an FPT algorithm for computing an optimal width- $t$ rank-decomposition of a graph in time $O\left(f(t) \cdot n^{3}\right)$.


## Comparing rank-width to clique-width

- Rank-width is related to clique-width as $r w \leq c w \leq 2^{r w+1}-1$.
- Clique-width can really be up to exponentially higher than rank-width.
- [Oum and PH, 08] There is an FPT algorithm for computing an optimal width- $t$ rank-decomposition of a graph in time $O\left(f(t) \cdot n^{3}\right)$.

An example. Cycle $C_{5}$ and its rank-decomposition of width 2 :


## Parse trees for rank-decompositions

Unlike for tree- or clique- decompositions with obvious parse trees, what is the "boundary" and "join operation" for rank-width?
Our "boundary" includes all vertices, and "join" is just an implicit matrix.

## Parse trees for rank-decompositions

Unlike for tree- or clique- decompositions with obvious parse trees, what is the "boundary" and "join operation" for rank-width?
Our "boundary" includes all vertices, and "join" is just an implicit matrix.

- Bilinear product approach of [Courcelle and Kanté, 07]:
- boundary $\sim$ labeling lab:V(G) $\rightarrow 2^{\{1,2, \ldots, t\}}$ (multi-colouring),


## Parse trees for rank-decompositions

Unlike for tree- or clique- decompositions with obvious parse trees, what is the "boundary" and "join operation" for rank-width?

Our "boundary" includes all vertices, and "join" is just an implicit matrix.

- Bilinear product approach of [Courcelle and Kanté, 07]:
- boundary $\sim$ labeling lab:V(G) $\rightarrow 2^{\{1,2, \ldots, t\}}$ (multi-colouring),
- join $\sim$ edge $u v \leftrightarrow \operatorname{lab}(u) \cdot \operatorname{lab}(v)=1$ over $G F(2)^{t}$,
i.e. "odd intersection" of vertex labelings.


## Parse trees for rank-decompositions

Unlike for tree- or clique- decompositions with obvious parse trees, what is the "boundary" and "join operation" for rank-width?
Our "boundary" includes all vertices, and "join" is just an implicit matrix.

- Bilinear product approach of [Courcelle and Kanté, 07]:
- boundary $\sim$ labeling lab:V(G) $\rightarrow 2^{\{1,2, \ldots, t\}}$ (multi-colouring),
- join $\sim$ edge $u v \leftrightarrow \operatorname{lab}(u) \cdot \operatorname{lab}(v)=1$ over $G F(2)^{t}$,
i.e. "odd intersection" of vertex labelings.
- Join $\rightarrow$ a composition operator with relabelings $f_{1}, f_{2}, g$;

$$
\left(G_{1}, l a b^{1}\right) \otimes\left[\boldsymbol{g} \mid \boldsymbol{f}_{1}, \boldsymbol{f}_{2}\right]\left(G_{2}, l a b^{2}\right)=(H, l a b)
$$

$\Longrightarrow$ the rank-width parse tree [Ganian and PH, 08]:

## Parse trees for rank-decompositions

Unlike for tree- or clique- decompositions with obvious parse trees, what is the "boundary" and "join operation" for rank-width?
Our "boundary" includes all vertices, and "join" is just an implicit matrix.

- Bilinear product approach of [Courcelle and Kanté, 07]:
- boundary $\sim$ labeling lab:V(G) $\rightarrow 2^{\{1,2, \ldots, t\}}$ (multi-colouring),
- join $\sim$ edge $u v \leftrightarrow \operatorname{lab}(u) \cdot \operatorname{lab}(v)=1$ over $G F(2)^{t}$,
i.e. "odd intersection" of vertex labelings.
- Join $\rightarrow$ a composition operator with relabelings $f_{1}, f_{2}, g$;

$$
\left(G_{1}, l a b^{1}\right) \otimes\left[\boldsymbol{g} \mid \boldsymbol{f}_{1}, \boldsymbol{f}_{2}\right]\left(G_{2}, l a b^{2}\right)=(H, l a b)
$$

$\Longrightarrow$ the rank-width parse tree [Ganian and PH, 08]: $t$-labeling parse tree for $G \Longleftrightarrow$ rank-width of $G \leq t$.

## Parse trees for rank-decompositions

Unlike for tree- or clique- decompositions with obvious parse trees, what is the "boundary" and "join operation" for rank-width?
Our "boundary" includes all vertices, and "join" is just an implicit matrix.

- Bilinear product approach of [Courcelle and Kanté, 07]:
- boundary ~ labeling lab:V(G) $\rightarrow 2^{\{1,2, \ldots, t\}}$ (multi-colouring),
- join $\sim$ edge $u v \leftrightarrow \operatorname{lab}(u) \cdot \operatorname{lab}(v)=1$ over $G F(2)^{t}$,
i.e. "odd intersection" of vertex labelings.
- Join $\rightarrow$ a composition operator with relabelings $f_{1}, f_{2}, g$;

$$
\left(G_{1}, l a b^{1}\right) \otimes\left[\boldsymbol{g} \mid \boldsymbol{f}_{1}, \boldsymbol{f}_{2}\right]\left(G_{2}, l a b^{2}\right)=(H, l a b)
$$

$\Longrightarrow$ the rank-width parse tree [Ganian and PH, 08]: $t$-labeling parse tree for $G \Longleftrightarrow$ rank-width of $G \leq t$.

- Independently considered related notion of $R_{t}$-join decompositions by [Bui-Xuan, Telle, and Vatshelle, 08].

A parse tree. An example generating the cycle $C_{5}$ (of rank-width 2):


## 5 The nice examples: Two XP-time Algorithms

$\star$ HAM $=$ the Hamiltonian Path problem in a graph of bounded rank-width / clique-width:

## 5 The nice examples: Two XP-time Algorithms

$\star$ HAM $=$ the Hamiltonian Path problem in a graph of bounded rank-width / clique-width:

- a solution fragment $\sim$ linear forest $F$ spanning a subraph;
- a canonical equivalence class of $F \sim$ the multiset of label-pairs identifying the ends of paths in $F$.


## 5 The nice examples: Two XP-time Algorithms

* $\mathrm{HAM}=$ the Hamiltonian Path problem in a graph of bounded rank-width / clique-width:
- a solution fragment $\sim$ linear forest $F$ spanning a subraph;
- a canonical equivalence class of $F \sim$ the multiset of label-pairs identifying the ends of paths in $F$.

$1 \circ \bullet \bullet \bullet 2$
$1 \circ \bullet \bullet 2$
$1 \circ \circ 2$

2 ○. ○ 2
2 ○ ○ 2


So, the number of classes is $\leq O\left(n^{4^{r w}}\right) \sim O\left(n^{c w^{2}}\right)$, but is this enough to say?

## 5 The nice examples: Two XP-time Algorithms

* $\mathrm{HAM}=$ the Hamiltonian Path problem in a graph of bounded rank-width / clique-width:
- a solution fragment $\sim$ linear forest $F$ spanning a subraph;
- a canonical equivalence class of $F \sim$ the multiset of label-pairs identifying the ends of paths in $F$.



So, the number of classes is $\leq O\left(n^{4^{r w}}\right) \sim O\left(n^{c w^{2}}\right)$, but is this enough to say?

- No, we must give also an algorithm how to "combine / process" our information on parse trees - not hard-coded this time!
- In this particular case the processing algorithm runs very smoothly...

The second example for rank-width
$\star$ COL $=$ Chromatic Number of a graph (i.e. to output the number):

## The second example for rank-width

$\star$ COL $=$ Chromatic Number of a graph (i.e. to output the number):

- a solution fragment $\sim$ a valid colour partition of a subgraph;
- a canonical equivalence class $\sim$ the multiset of vector subspaces of $G F(2)^{r w}$ spanned by these colour parts.


## The second example for rank-width

$\star$ COL $=$ Chromatic Number of a graph (i.e. to output the number):

- a solution fragment $\sim$ a valid colour partition of a subgraph;
- a canonical equivalence class $\sim$ the multiset of vector subspaces of $G F(2)^{r w}$ spanned by these colour parts.

Note; for rank-width it is enough to know the subspace of a label set instead of the set itself - speed-up compared to clique-width.

- Again, the number of classes is $O\left(n^{2^{r w}}\right) \prec O\left(n^{2 c w}\right)$,


## The second example for rank-width

$\star$ COL $=$ Chromatic Number of a graph (i.e. to output the number):

- a solution fragment $\sim$ a valid colour partition of a subgraph;
- a canonical equivalence class $\sim$ the multiset of vector subspaces of $G F(2)^{r w}$ spanned by these colour parts.

Note; for rank-width it is enough to know the subspace of a label set instead of the set itself - speed-up compared to clique-width.

- Again, the number of classes is $O\left(n^{2^{r w}}\right) \prec O\left(n^{2 c w}\right)$,
- and there is a reasonably straightforward algorithm to "combine / process" this information on parse trees.


## 6 And the naughty ex.: the MinLOB Problem

$\star$ MinLOB $=$ Minimum Leaf Outbanching in a digraph:

- Given $G$ and $\ell$; is there an out-directed spanning tree of $G$ with $\leq \ell$ leaves?


## 6 And the naughty ex.: the MinLOB Problem

$\star$ MinLOB $=$ Minimum Leaf Outbanching in a digraph:

- Given $G$ and $\ell$; is there an out-directed spanning tree of $G$ with $\leq \ell$ leaves?
- For constant $\ell$ this problem simply generalizes Hamiltonian Path, but what for $\ell$ on the input? Quite a difference...


## 6 And the naughty ex.: the MinLOB Problem

$\star$ MinLOB $=$ Minimum Leaf Outbanching in a digraph:

- Given $G$ and $\ell$; is there an out-directed spanning tree of $G$ with $\leq \ell$ leaves?
- For constant $\ell$ this problem simply generalizes Hamiltonian Path, but what for $\ell$ on the input? Quite a difference...
- Trying the same approach as previously
- a solution fragment $\sim$ an out-forest in a subdigraph;
- a canonical equivalence class $\sim$ ???


## 6 And the naughty ex.: the MinLOB Problem

$\star$ MinLOB $=$ Minimum Leaf Outbanching in a digraph:

- Given $G$ and $\ell$; is there an out-directed spanning tree of $G$ with $\leq \ell$ leaves?
- For constant $\ell$ this problem simply generalizes Hamiltonian Path, but what for $\ell$ on the input? Quite a difference...
- Trying the same approach as previously
- a solution fragment $\sim$ an out-forest in a subdigraph;
- a canonical equivalence class $\sim$ ???

For each tree of our out-forest, the root label and the multiset of "non-leaf" labels are significant (to connect with other fragments).

## 6 And the naughty ex.: the MinLOB Problem

$\star$ MinLOB $=$ Minimum Leaf Outbanching in a digraph:

- Given $G$ and $\ell$; is there an out-directed spanning tree of $G$ with $\leq \ell$ leaves?
- For constant $\ell$ this problem simply generalizes Hamiltonian Path, but what for $\ell$ on the input? Quite a difference...
- Trying the same approach as previously
- a solution fragment $\sim$ an out-forest in a subdigraph;
- a canonical equivalence class $\sim$ ???

For each tree of our out-forest, the root label and the multiset of "non-leaf" labels are significant (to connect with other fragments).

No, this simple adaptation would give a bound exponential in $n$.

## 6 And the naughty ex.: the MinLOB Problem

$\star$ MinLOB $=$ Minimum Leaf Outbanching in a digraph:

- Given $G$ and $\ell$; is there an out-directed spanning tree of $G$ with $\leq \ell$ leaves?
- For constant $\ell$ this problem simply generalizes Hamiltonian Path, but what for $\ell$ on the input? Quite a difference...
- Trying the same approach as previously
- a solution fragment $\sim$ an out-forest in a subdigraph;
- a canonical equivalence class ~ ???

For each tree of our out-forest, the root label and the multiset of "non-leaf" labels are significant (to connect with other fragments).

No, this simple adaptation would give a bound exponential in $n$.

Actually, it looks like we face here a new situation not observed before among the known XP algorithms on bounded clique-width / rank-width graphs!

## Bounding the canonical index of MinLOB

Recall: Outbranching $\rightarrow$ a solution fragment $\sim$ out-forest $\rightarrow$ out-trees.

## Bounding the canonical index of MinLOB

Recall: Outbranching $\rightarrow$ a solution fragment $\sim$ out-forest $\rightarrow$ out-trees.
Shape of an out-tree $T=$ the pair $(a, B)$ where

- $a$ is the root label of $T$, and
- $B$ the label set of the active (i.e., non-leaf in the result) vertices.


## Bounding the canonical index of MinLOB

Recall: Outbranching $\rightarrow$ a solution fragment $\sim$ out-forest $\rightarrow$ out-trees.
Shape of an out-tree $T=$ the pair $(a, B)$ where

- $a$ is the root label of $T$, and
- $B$ the label set of the active (i.e., non-leaf in the result) vertices.

Signature of an out-forest $F=$ the triple of

- the number of vertices of $F$ to become the out-branching leaves,
- the label multiset of the active vertices of $F$, and


## Bounding the canonical index of MinLOB

Recall: Outbranching $\rightarrow$ a solution fragment $\sim$ out-forest $\rightarrow$ out-trees.
Shape of an out-tree $T=$ the pair $(a, B)$ where

- $a$ is the root label of $T$, and
- $B$ the label set of the active (i.e., non-leaf in the result) vertices.

Signature of an out-forest $F=$ the triple of

- the number of vertices of $F$ to become the out-branching leaves,
- the label multiset of the active vertices of $F$, and
- the numbers of out-trees in $F$ of every possible shape (finitely many).


## Bounding the canonical index of MinLOB

Recall: Outbranching $\rightarrow$ a solution fragment $\sim$ out-forest $\rightarrow$ out-trees.
Shape of an out-tree $T=$ the pair $(a, B)$ where

- $a$ is the root label of $T$, and
- $B$ the label set of the active (i.e., non-leaf in the result) vertices.

Signature of an out-forest $F=$ the triple of

- the number of vertices of $F$ to become the out-branching leaves,
- the label multiset of the active vertices of $F$, and
- the numbers of out-trees in $F$ of every possible shape (finitely many).

Theorem. If two out-forests have the same signature, then they are canonically equivalent for MinLOB.

## Bounding the canonical index of MinLOB

Recall: Outbranching $\rightarrow$ a solution fragment $\sim$ out-forest $\rightarrow$ out-trees.
Shape of an out-tree $T=$ the pair $(a, B)$ where

- $a$ is the root label of $T$, and
- $B$ the label set of the active (i.e., non-leaf in the result) vertices.

Signature of an out-forest $F=$ the triple of

- the number of vertices of $F$ to become the out-branching leaves,
- the label multiset of the active vertices of $F$, and
- the numbers of out-trees in $F$ of every possible shape (finitely many).

Theorem. If two out-forests have the same signature, then they are canonically equivalent for MinLOB.
$\Longrightarrow$ The number of equivalence classes of MinLOB is in XP. What about an algorithm, though?

## An XP Algorithm for MinLOB on Rank-width

Fact. Inform. on possible out-forest signs. cannot be processed on a parse tree.
So, what can we do better?

## An XP Algorithm for MinLOB on Rank-width

Fact. Inform. on possible out-forest signs. cannot be processed on a parse tree.
So, what can we do better?

- Active vertices $\rightarrow$ potentially active vertices:
- a notion bound to a particular parse tree;
- roughly saying that a vertex has been active somewhen before, and some other stays active with the same label.


## An XP Algorithm for MinLOB on Rank-width

Fact. Inform. on possible out-forest signs. cannot be processed on a parse tree. So, what can we do better?

- Active vertices $\rightarrow$ potentially active vertices:
- a notion bound to a particular parse tree;
- roughly saying that a vertex has been active somewhen before, and some other stays active with the same label.
- Signature $\rightarrow$ weak signature tracing potentialy active shapes:
- a notion suited right for dynamic processing on a parse tree.


## An XP Algorithm for MinLOB on Rank-width

Fact. Inform. on possible out-forest signs. cannot be processed on a parse tree.
So, what can we do better?

- Active vertices $\rightarrow$ potentially active vertices:
- a notion bound to a particular parse tree;
- roughly saying that a vertex has been active somewhen before, and some other stays active with the same label.
- Signature $\rightarrow$ weak signature tracing potentialy active shapes:
- a notion suited right for dynamic processing on a parse tree.

Theorem. If a "singleton" weak signature is found on a parse tree then the parsed graph contains an out-branching of the same number of leaves (constructively).

## An XP Algorithm for MinLOB on Rank-width

Fact. Inform. on possible out-forest signs. cannot be processed on a parse tree.
So, what can we do better?

- Active vertices $\rightarrow$ potentially active vertices:
- a notion bound to a particular parse tree;
- roughly saying that a vertex has been active somewhen before, and some other stays active with the same label.
- Signature $\rightarrow$ weak signature tracing potentialy active shapes:
- a notion suited right for dynamic processing on a parse tree.

Theorem. If a "singleton" weak signature is found on a parse tree then the parsed graph contains an out-branching of the same number of leaves (constructively).
$\Longrightarrow$ There is an XP algorithm for MinLOB on digraphs of bounded rankwidth / clique-width, ...

## An XP Algorithm for MinLOB on Rank-width

Fact. Inform. on possible out-forest signs. cannot be processed on a parse tree.
So, what can we do better?

- Active vertices $\rightarrow$ potentially active vertices:
- a notion bound to a particular parse tree;
- roughly saying that a vertex has been active somewhen before, and some other stays active with the same label.
- Signature $\rightarrow$ weak signature tracing potentialy active shapes:
- a notion suited right for dynamic processing on a parse tree.

Theorem. If a "singleton" weak signature is found on a parse tree then the parsed graph contains an out-branching of the same number of leaves (constructively).
$\Longrightarrow$ There is an XP algorithm for MinLOB on digraphs of bounded rankwidth / clique-width, ... but it does not fit into the Myhill-Nerode-like scheme!

## 7 Final remarks

The "naughty example" of the MinLOB problem and its XP algorithm on digraphs of bounded rank-width / clique-width raises some intrusive questions... Namely:

- Is there a better refinement of the canonical equivalence of MinLOB, i.e. one that can be directly processed along a parse tree in XP time?


## 7 Final remarks

The "naughty example" of the MinLOB problem and its XP algorithm on digraphs of bounded rank-width / clique-width raises some intrusive questions... Namely:

- Is there a better refinement of the canonical equivalence of MinLOB, i.e. one that can be directly processed along a parse tree in XP time?
- Actually, are there more similar "naughty examples"?


## 7 Final remarks

The "naughty example" of the MinLOB problem and its XP algorithm on digraphs of bounded rank-width / clique-width raises some intrusive questions... Namely:

- Is there a better refinement of the canonical equivalence of MinLOB, i.e. one that can be directly processed along a parse tree in XP time?
- Actually, are there more similar "naughty examples"?
- And more generally; is there an example of a property $\mathcal{P}$ such that the canonical equivalence of $\mathcal{P}$ has $O\left(n^{f(k)}\right)$ classes, and yet deciding $\mathcal{P}$ is not in XP wrt. the width $k$ ?


## 7 Final remarks

The "naughty example" of the MinLOB problem and its XP algorithm on digraphs of bounded rank-width / clique-width raises some intrusive questions... Namely:

- Is there a better refinement of the canonical equivalence of MinLOB, i.e. one that can be directly processed along a parse tree in XP time?
- Actually, are there more similar "naughty examples"?
- And more generally; is there an example of a property $\mathcal{P}$ such that the canonical equivalence of $\mathcal{P}$ has $O\left(n^{f(k)}\right)$ classes, and yet deciding $\mathcal{P}$ is not in XP wrt. the width $k$ ?

